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# 2016 Annual Action Plan

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Maricopa County HOME Consortium  
and Maricopa Urban County

Maricopa County Human Services Department  
Community Development Division  
234 N. Central Ave, 3rd Floor  
Phoenix, AZ 85004

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**Note on Formatting**

The U.S. Department of Housing and Urban Development (HUD) requires recipients of HOME Investment Partnerships (HOME), Community Development Block Grant (CDBG), and Emergency Solutions Grant (ESG) funding to submit the Five Year Consolidated Plan and Annual Action Plan electronically, using an automated tool in a template prescribed by HUD. The following Plan is the downloaded version of that electronic template.

# Executive Summary

## AP-05 Executive Summary - 91.200(c), 91.220(b)

### 1. Introduction

Maricopa County Human Services Department assists low-income communities through the distribution of the Community Development Block Grant (CDBG), Home Investment Partnerships Program (HOME), and (ESG) Emergency Solutions Grants (ESG) programs. The U.S. Department of Housing and Urban Development (HUD) annually determines the allocation amounts for these programs. In order to receive grant funds, Maricopa County must submit a five-year Consolidated Plan and an Annual Action Plan to HUD for approval. The FY 2016-17 Annual Action Plan is the second year of the of the five-year Consolidated Plan.

Maricopa County is the lead agency for the Maricopa HOME Consortium. The Maricopa HOME Consortium is comprised of a wide variety of units of local governments with diverse housing and community development needs. The members of the HOME Consortium are Avondale, Chandler, Gilbert, Glendale, Peoria, Scottsdale, Surprise, Tempe and the Maricopa Urban County. The Maricopa Urban County includes Buckeye, El Mirage, Gila Bend, Goodyear, Guadalupe, Litchfield Park, Queen Creek, Tolleson, Wickenburg, Youngtown and areas of unincorporated Maricopa County.

The 2015-2020 Consolidated Plan and FY2016 Annual Action Plan represent the Maricopa HOME Consortium and Urban County's vision for addressing affordable housing, community development, supportive housing, and homeless needs through regional collaboration. The Consolidated Plan contains a strategic plan for addressing these needs and a specific one-year action plan for the use of U.S. Department of Housing and Urban Development (HUD) formula grant funds. It is a comprehensive plan that contains priorities, goals, performance outcome objectives, and implementation strategies for each of the plan's elements. The Annual Action Plan is a one-year plan that describes the activities that the Maricopa HOME Consortium will fund and implement. It also describes activities that will be undertaken to support needs as described in the Consolidated Plan.

### 2. Summarize the objectives and outcomes identified in the Plan

Maricopa County in collaboration with the Maricopa HOME Consortium plan on accomplishing the following specific objectives as identified in the Annual Action Plan:

- Rehabilitate up to 18 homeownership units
- Provide homeownership opportunities for up to 12 households
- Provide homebuyer assistance for up to 14 households
- Rehabilitate up to 6 affordable rental units
- Provide tenant based rental assistance for up to 15 households

Within the Maricopa Urban County jurisdiction, the following accomplishments are anticipated during FY2016 (excluding housing activities described above):

- Assist up to 15 households with medium to long term rapid re-housing support
- Assist up to 1,900 homeless persons
- Provide up to 9 emergency repairs, minor, and moderate home repairs
- Assist up to 469 persons and households with a variety of public services including homeless services
- Assist up to 1,185 persons through public facility support
- Assist up to 3,792 persons through public improvements/infrastructure support

### **3. Evaluation of past performance**

Maricopa County evaluates its past performance every year as part of the Consolidated Annual Performance and Evaluation Report (CAPER) as required by HUD. The FY2014-15 CAPER was completed in September of 2015 and evaluated the Maricopa HOME Consortium's performance for FY2014 and the fifth and final year of the FY2010-2014 Consolidated Plan. The past performance of the Maricopa HOME Consortium and Maricopa Urban County are reflected on the following tables. This information indicates performance levels for many categories exceeded the goals established in the FY2010-2014 Maricopa HOME Consortium Consolidated Plan and the FY2010-2014 Maricopa Urban County Consolidated Plan.

### **4. Summary of Citizen Participation Process and consultation process**

Maricopa County Human Services Department is the lead agency responsible of the Maricopa HOME Consortium, and as such it is the responsible entity for completing the FY 2016 Annual Action Plan which covers HOME funds, Maricopa County's CDBG allocation, and Maricopa County's ESG allocation. The draft was developed by Maricopa County with input from each of the participating jurisdictions that are the members of the HOME Consortium. Each of the HOME Consortium member jurisdictions has undertaken a citizen participation and consultation process, and their respective CDBG Annual Action Plans are incorporated into this Plan by reference. Specific activities undertaken by Maricopa County are summarized below and address both the Maricopa HOME Consortium in its entirety and the Maricopa Urban County. Refer to the Appendix of this plan to review specific contributions to the Annual Action Plan as provided by individual Consortium members.

Consultation included a combination of meetings with Consortium members and Urban County cities/towns; stakeholder interviews; two community input hearings; newspaper advertisements; website announcements; public notices placed in lobbies of HSD, HAMC, and public libraries; and two public meetings with hearings to solicit comments on the draft Annual Action Plan. Citizen participation is strongly encouraged and includes the following opportunities for input:

- Public hearings to solicit citizen input were held on January 21, 2016 with the Maricopa HOME Consortium and on January 27, 2016 with the Maricopa County Community Development Advisory Committee (CDAC).
- Units of local government that are members of the Maricopa Urban County are provided the opportunity to provide input and comments on the draft plan. Individual HOME Consortium members themselves execute a consultation and public input process.
- A 30-day public comment period for public review of the draft Maricopa HOME Consortium FY 2016-17 Annual Action Plan began March 11, 2016 and extended through April 13, 2016. Open house public forums on the draft Plans were held on March 17, 2016 at the Maricopa HOME Consortium meeting and on April 13, 2016 at the Community Development Advisory Committee (CDAC) meeting.
- Public hearing dates and comment periods were published per the adopted Maricopa HOME Consortium and Urban County Citizen Participation Plan.
- The Maricopa County Board of Supervisors was provided the Annual Action Plan for review and provided approval at their regularly scheduled meeting on May 4, 2016.

Amendments to the Annual Action Plan are done per the guidelines in the Consolidated Plan and provide citizens the opportunity to review and comment.

## **5. Summary of public comments**

The public comment period occurred from March 17, 2016 to April 13, 2016. The public could provide comment to Maricopa County by phone, email, letter, or in person by visiting the MCHSD office during the public comment period to review the draft FY2016 Annual Action Plan. The public were able to offer input on the draft Annual Action Plan by attending public hearings on March 17, 2016 and April 13, 2016. There were no public comments received.

The public were able to offer input on priorities by attending public hearings on January 21, 2016 and January 27, 2016. No public comments were received at these hearings.

## **6. Summary of comments or views not accepted and the reasons for not accepting them**

No public comments were received during the public comment period or at the public hearings on January 21, 2016, January 27, 2016, March 17, 2016, and April 13, 2016.

## **7. Summary**

The FY2016-17 Annual Action Plan represents the vision for affordable housing, supportive housing, and homeless needs for the Maricopa HOME Consortium in addition to the housing and non-housing, community development needs of the Maricopa Urban County jurisdiction. The completed 2015-2020 Consolidated Plan guides the distribution of Community Development Block Grant (CDBG), HOME Investment Partnerships Program (HOME), and Emergency Solutions Grant (ESG) funds for each Annual

Action Plan over five years from FY2015 to FY2019. The FY 2016-17 Annual Action Plan is the second year of the of the five-year Consolidated Plan. The Annual Action Plan is based on the Consolidated Plan's elements that identify housing, homeless, community development needs, available resources, and establishes a strategic plan for meeting those needs. The plan also incorporates a comprehensive and coordinated strategy for implementing programs that utilize the CDBG, HOME and ESG program funds. The Annual Action Plan also identifies any funds being leveraged to address identified priorities and goals.

The Appendix of the Annual Action Plan includes input received from Maricopa HOME Consortium member jurisdictions and the corresponding sections. No public comments were received during the public comment period or at the public hearings on January 21, 2016, January 27, 2016, March 17, 2016, and April 13, 2016.

## PR-05 Lead & Responsible Agencies - 91.200(b)

### 1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	MARICOPA COUNTY	Maricopa County Human Services Department - CD
HOME Administrator	MARICOPA COUNTY	Maricopa County Human Services Department - CD
ESG Administrator	MARICOPA COUNTY	Maricopa County Human Services Department - CD

Table 1 – Responsible Agencies

### Narrative

The lead agency for preparing the FY2015-2020 Consolidated Plan was the Maricopa County Human Services Department – Community Development Division (MCHSD). The department is responsible for the administration of the Community Development Block Grant (CDBG), HOME Investment Partnership Program (HOME), and Emergency Solutions Grant (ESG) grant programs.

### Consolidated Plan and Annual Action Plan Public Contact Information

For questions regarding the FY2015-2020 Consolidated Plan, FY2016-17 Annual Action Plan, or other questions contact the program administrator. The administrator for the CDBG, HOME and ESG programs is:

Amy Jacobson  
Assistant Director, Maricopa County Human Services Department - Community Development Division  
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Phoenix, AZ 85004  
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## **AP-10 Consultation - 91.100, 91.200(b), 91.215(l)**

### **1. Introduction**

Maricopa County is dedicated to obtaining input from citizens, municipal officials, non-profit agencies, non-profit developers, private developers, governmental agencies, and the Regional Continuum of Care as part of the Consolidated and Annual Action Plan processes. For the 2016 Annual Action Plan, Consultation included a combination of meetings with Consortium members and Urban County cities/towns; stakeholder interviews; two community input hearings; newspaper advertisements; website announcements; public notices placed in lobbies of HSD, HAMC, and public libraries; and two public meetings with hearings to solicit comments on the draft Annual Action Plan. Citizen participation is strongly encouraged throughout the processes of short and long range departmental planning, plan implementation, and assessment of plan effectiveness.

Please refer to the Appendix to review additional Consortium member contributions to this section.

### **Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).**

The HOME Consortium member cities meet monthly to discuss supported housing activities within the region. At these meeting information is disseminated related to HOME requirements, project status, expenditure and commitment rates and trainings. Members of the Maricopa HOME Consortium regularly coordinate with private industry, businesses and developers to foster the production of affordable housing and projects for special needs/homeless populations. Members also work closely with the real estate, construction and finance industries to implement other ongoing HOME and CDBG supported housing rehabilitation and production activities. Coordination activities by members are discussed at length throughout the Plan containing additional discussion provided by consortium members.

Efforts to enhance coordination between public and assisted housing providers and private and government health , mental health, and service agencies includes HAMC housing choice voucher attrition, where a portion of vouchers are used for homeless families and/or individuals. Other members work closely with applicable housing authorities to develop strategies to assist housing low income and homeless families. Currently, our region is developing relationships with Mercy Maricopa Integrated Care to coordinate and provide wrap around services for homeless persons in permanent supportive housing. The Family Housing Hub and Welcome Center coordinated entry system are assisting homeless families and individuals with assessment of needs, carrying out diversion activities, making connections to the most appropriate housing intervention and progressive engagement.

A Funders Collaborative of public and private partners was created to address homelessness in our community, since homeless is a regional issue. The Collaborative has grown into a model for countywide collaboration. Convened by Valley of the Sun United Way (VSUW), and composed of VSUW,

Maricopa County, Arizona Department of Housing (ADOH), Arizona Department of Economic Security (ADES), and the City of Phoenix, it has increased coordination in government, bolstered advocates, educated policymakers, and redirected service providers to find long-term solutions to end homelessness.

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

All members of the Maricopa HOME Consortium are committed to addressing the needs of homeless persons and persons at risk of experiencing homelessness. Maricopa HOME Consortium members participate in a regional Continuum of Care plan in collaboration with the all other jurisdictions in Maricopa County. The Maricopa Association of Governments (MAG) plans and administers the Continuum of Care. Jurisdictions work together to develop the Continuum of Care plan, provide human services to the homeless, and identify and address gaps in service. The CoC provides direction on planning and policy issues that impact the homeless population by making updates to the Regional Plan to End Homelessness and a consolidated application to the U.S. Department of Housing and Urban Development in support of programming that assists the county homeless and at risk population.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction’s area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS.**

Maricopa County regularly consults with and attends multiple meetings organized by the Maricopa Association of Governments (MAG), the lead agency for the Maricopa Regional Continuum of Care structure. Some of the community meetings include committees, ESG Collaborative, Performance Standards Data Quality (PSDQ), and Continuum of Care Board Meetings. Through consultation efforts with MAG and the Continuum of Care, members of the Maricopa County aligned the priorities of the County’s homeless strategies with Continuum-wide priorities for homelessness. The Maricopa HOME Consortium continues to actively participate in the Continuum of Care and contribute in determining its priorities for homeless persons and those at risk of experiencing homelessness.

Administered by Community Information and Referral (CIR), the Maricopa County Homeless Management Information System (HMIS) is continually being improved and refined. Maricopa County and the City of Glendale participate with the other regional ESG recipients and MAG in efforts to strengthen funding, policies and procedures for the operation and administration of the Maricopa County HMIS. Maricopa County and the City of Glendale both draw information from the HMIS to ensure all ESG performance standards are being met. Maricopa County is involved in a Funders Collaborative which is made up of the City of Phoenix Human Services Department, Arizona Department of Housing, Valley of the Sun United Way, and Maricopa County Human Services Department. The

collaborative are making improvements to shelter systems; components include coordinated entry, shelter capacity issues, and rapid re-housing. Funders meet monthly to discuss how public and private resources are allocated and align to standards. In addition, the ESG Collaborative makes up performance standards, monitoring policies and procedures, and shares best practices on the administration on HMIS.

**2. Agencies, groups, organizations and others who participated in the process and consultations**

Please see the following table for agencies, groups, committees, and organizations who participated in the process and consultations.

**Table 2 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	Housing Authority of Maricopa County
	<b>Agency/Group/Organization Type</b>	Housing PHA Other government - County
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	<p>Consultation with the Housing Authority of Maricopa County by email and areas of improved coordination were identified as a result of the consultation. Possible areas proposed by HAMC for improved coordination include:</p> <ul style="list-style-type: none"> <li>• Link our housing to MCHSD priorities in HOME. An example of where this is done well is COP’s linkage of their PH capital needs with HOME fund priorities.</li> <li>• Improved coordination of infrastructure and needs in our jurisdiction with CDBG funds. Similar to above, infrastructure improvements are linked to COP housing improvements.</li> <li>• Coordination of MCHSD homeless funding and programming to the priorities HAMC already has in place in its programming (Public Housing, Housing Choice Voucher, and the Bridge to Permanency program).</li> <li>• Consider set asides for multifamily for our properties.</li> </ul>

2	<b>Agency/Group/Organization</b>	A.R.M. Save The Family
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-homeless Neighborhood Organization
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Non-Homeless Special Needs Lead-based Paint Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The agency was consulted through attendance at a public hearing.
3	<b>Agency/Group/Organization</b>	25 Cities
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-homeless Other government - County Regional organization Planning organization
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homelessness Needs - Veterans Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation provided during Maricopa County's participation in the 25 Cities group which focuses on planning, homeless services, and ending Veteran homelessness.

4	<b>Agency/Group/Organization</b>	Zero: 2016
	<b>Agency/Group/Organization Type</b>	Services-homeless Regional organization Planning organization Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation provided during Maricopa County's participation in the Zero: 2016 which focuses on planning, homeless services, and ending chronic homelessness.
5	<b>Agency/Group/Organization</b>	ESG Collaborative
	<b>Agency/Group/Organization Type</b>	Housing Services-homeless Other government - State Other government - County Other government - Local Regional organization Planning organization Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation provided during Maricopa County's participation in the ESG Collaborative group which focuses on planning, homeless shelter services, housing placements (rapid re-housing), and homelessness prevention.

6	<b>Agency/Group/Organization</b>	Performance Standards and Data Quality
	<b>Agency/Group/Organization Type</b>	Services-homeless Regional organization Planning organization
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation provided during Maricopa County's participation in the Performance standards and Data Quality (PSDQ) group which focuses on planning.
7	<b>Agency/Group/Organization</b>	HEART Work Group
	<b>Agency/Group/Organization Type</b>	Regional organization Planning organization
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation provided during Maricopa County's participation in the HEART Work Group which focuses on planning.
8	<b>Agency/Group/Organization</b>	Ending Homelessness Advisory Council
	<b>Agency/Group/Organization Type</b>	Services - Housing Regional organization Planning organization Civic Leaders Foundation
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation provided during Maricopa County's participation in the Ending Homelessness Advisory Council VSUW group which focuses on planning and homeless services.

9	<b>Agency/Group/Organization</b>	Maricopa County Regional Continuum of Care
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-homeless Other government - State Other government - County Other government - Local Regional organization Planning organization Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation provided during Maricopa County's participation on the Continuum of Care Board which focuses on planning, housing, and homeless services.
10	<b>Agency/Group/Organization</b>	Guadalupe CDC
	<b>Agency/Group/Organization Type</b>	Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Non-Homeless Special Needs Community Development
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The agency was consulted through attendance at a public hearing.

11	<b>Agency/Group/Organization</b>	Newtown CDC
	<b>Agency/Group/Organization Type</b>	Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The agency was consulted through attendance at a public hearing.
12	<b>Agency/Group/Organization</b>	City of Tolleson
	<b>Agency/Group/Organization Type</b>	Other government - Local Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Market Analysis Economic Development
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The agency was consulted through emails soliciting input, through participation on the Community Development Advisory Committee, and attendance at a public hearing.
13	<b>Agency/Group/Organization</b>	City of El Mirage
	<b>Agency/Group/Organization Type</b>	Other government - Local Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Market Analysis Economic Development

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The agency was consulted through emails soliciting input, through participation on the Community Development Advisory Committee, and attendance at a public hearing.
14	<b>Agency/Group/Organization</b>	Town of Youngtown
	<b>Agency/Group/Organization Type</b>	Other government - Local Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Market Analysis Economic Development
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The agency was consulted through emails soliciting input, through participation on the Community Development Advisory Committee, and attendance at a public hearing.
15	<b>Agency/Group/Organization</b>	Town of Guadalupe
	<b>Agency/Group/Organization Type</b>	Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Strategy Market Analysis Economic Development
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The agency was consulted through emails soliciting input, through participation on the Community Development Advisory Committee, and attendance at a public hearing.
16	<b>Agency/Group/Organization</b>	Town of Buckeye
	<b>Agency/Group/Organization Type</b>	Other government - Local Civic Leaders

	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Strategy Market Analysis Economic Development
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The agency was consulted through emails soliciting input, through participation on the Community Development Advisory Committee, and attendance at a public hearing.
17	<b>Agency/Group/Organization</b>	City of Goodyear
	<b>Agency/Group/Organization Type</b>	Other government - Local Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Non-Homeless Special Needs Market Analysis Economic Development
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The agency was consulted through emails soliciting input, through participation on the Community Development Advisory Committee, and attendance at a public hearing.
18	<b>Agency/Group/Organization</b>	Town of Wickenburg
	<b>Agency/Group/Organization Type</b>	Other government - Local Business Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Strategy Market Analysis Economic Development

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The agency was consulted through emails soliciting input, through participation on the Community Development Advisory Committee, and attendance at a public hearing.
19	<b>Agency/Group/Organization</b>	Town of Gila Bend
	<b>Agency/Group/Organization Type</b>	Other government - Local Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Non-Homeless Special Needs Market Analysis Economic Development
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The agency was consulted through emails soliciting input, through participation on the Community Development Advisory Committee, and attendance at a public hearing.
20	<b>Agency/Group/Organization</b>	City of Glendale Community Housing
	<b>Agency/Group/Organization Type</b>	Housing PHA Services - Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation with the City of Glendale Housing Authority through Maricopa HOME Consortium members concerning quality, quantity, and needs of assisted housing clients being served.

21	<b>Agency/Group/Organization</b>	City of Chandler
	<b>Agency/Group/Organization Type</b>	Housing PHA Services - Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation with the City of Chandler Housing Authority through Maricopa HOME Consortium members concerning quality, quantity, and needs of assisted housing clients being served.
22	<b>Agency/Group/Organization</b>	Arizona Fair Housing Partnership
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Persons with Disabilities Service-Fair Housing Other government - State Other government - County Other government - Local Regional organization Planning organization Civic Leaders Foundation
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Fair Housing Education and Training
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation provided during Maricopa County's participation on the Arizona Fair Housing Partnership Steering Committee which focuses on planning, education, and outreach about Fair Housing.

23	<b>Agency/Group/Organization</b>	Arizona Partnership for Healthy Communities
	<b>Agency/Group/Organization Type</b>	Housing Services-Persons with Disabilities Services-Health Health Agency Publicly Funded Institution/System of Care Other government - State Other government - County Other government - Local Regional organization Planning organization Business and Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Non-Homeless Special Needs Market Analysis Economic Development Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation provided during Maricopa County's participation on the Arizona Partnership for Healthy Communities Steering Committee which focuses on planning, cross-sector collaboration, and ensuring all of Arizona's communities are healthy places to live.

**Identify any Agency Types not consulted and provide rationale for not consulting**

Maricopa County contacted numerous agencies to solicit input and participation from the maximum number of organizations, agencies, and citizens in the planning process for the FY2016-17 Annual Action Plan. Maricopa County consulted all critical agency types and provided any opportunity for comment during the participation and consultation process, and the approach taken was inclusive.

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Maricopa Association of Governments	Maricopa County aligned the priorities of the county's homeless strategies with the Continuum of Care Standards of Excellence.
Annual PHA Plan	Housing Authority of Maricopa County	The Housing Authority of Maricopa County's PHA Plan strategic priorities include increasing opportunities for residents to move beyond their housing needs towards self-sufficiency, increasing housing options in underserved communities.
Analysis of Impediments to Fair Housing Choice	Maricopa County	Maricopa County seeks to reduce impediments to fair housing choice for citizens by aligning its Fair Housing efforts with recommendations made in the regional AI.

**Table 3 – Other local / regional / federal planning efforts**

**Narrative**

**Describe cooperation and coordination with other public entities, including the State and any adjacent units of general local government, in the implementation of the Consolidated Plan (91.215(l))**

The Maricopa HOME Consortium consists of 18 units of local government in addition to Maricopa County. The 8 entitlement jurisdictions who are members of the Consortium meet monthly to coordinate the planning and implementation of their programs and Consolidated Plans and Annual Action Plans. Through participation on the Community Development Advisory Committee, the local governmental members of the Maricopa Urban County meet regularly to implement the Consolidated Plan and Annual Action Plan as well as the delivery of the Community Development Block Grant (CDBG) program. The service area of the Urban County also includes unincorporated areas of Maricopa County. Members of the Consortium maintain and foster meaningful contact with adjoining communities in addition to the Arizona Department of Housing.

## **AP-12 Participation - 91.401, 91.105, 91.200(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting**

The Citizen Participation Process for the development of the FY 2016-17 Annual Action Plan follows processes prescribed in the Maricopa County FY2015-2020 Consolidated Plan Citizen Participation Process and includes an extensive array of activities for both Maricopa County and members of the Maricopa HOME Consortium. The primary goal of the Citizen Participation Plan is to provide all citizens with adequate notice, access and opportunity to participate in the planning, implementation, and assessment of activities related to the 5-Year Consolidated Plan and subsequent Annual Action Plans. In addition to the efforts made to broaden citizen participation, Maricopa County Human Services Department, as well as the cities/towns of Avondale, Chandler, Gilbert, Glendale, Peoria, Scottsdale, Surprise, and Tempe were involved in the development of the Annual Action Plan.

For Maricopa County, efforts involved included public notices in PHA, library, and HSD buildings; public notices in newspapers, public hearings and public meetings, as well as website outreach on the HSD website. Two public hearings were held to solicit input held on January 21 and January 27, 2016, and public hearings on the draft Annual Action Plan held on March 17, 2016 and April 13, 2016. A 30-day public comment period for public review of the draft Annual Action Plan began on March 11, 2016 and extended through April 13, 2016. At the end of the 30-day period, there were no comments received. Efforts undertaken by Urban County members often involved their own citizen participation endeavors. The citizen participation activities undertaken by the entitlement members of the Maricopa HOME Consortium are extensive and are outlined in depth in their respective Annual Action Plan submissions to HUD. Taken as a whole, the combined efforts of all members of the Maricopa HOME Consortium and Maricopa Urban County represent a very extensive citizen participation achievement. Please refer to the Appendix to review additional Consortium member contributions to this section.

**Citizen Participation Outreach**

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Hearing	Minorities Non-English Speaking - Specify other language: Spanish Persons with disabilities Non-targeted/broad community	Public hearings to solicit public input were held on January 21, 2016 at the HOME Consortium Public Meeting and on January 27, 2016 at the Community Development Advisory Committee Public Meeting.	No public comments were submitted at the public hearings.	N/A	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Public Hearing	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p>	<p>Public hearings to solicit public input were held on March 17, 2016 at the HOME Consortium Public Meeting and on April 13, 2016 at the Community Development Advisory Committee Public meeting.</p>	<p>No public comments were submitted at the public hearings.</p>	N/A	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
3	Public Meeting	Non-targeted/broad community	FY2016-17 Annual Action Plan was posted to the May 4, 2016 Maricopa County Board of Supervisors Agenda and approved at the public meeting held by the county supervisors.	No public comments were received at the public meeting.	N/A	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
4	Newspaper Ad	Non-targeted/broad community	Public Notices announcing the public hearings on January 21, 2016 and January 27, 2016 and upcoming opportunities to provide input on the upcoming Annual Action Plan were placed in 3 newspapers of record in Maricopa County.	No comments were received.	N/A	
5	Newspaper Ad	Non-targeted/broad community	Public Notices announcing the public hearings on March 17, 2016 and April 13, 2016 and the draft Annual Action Plan were placed in 3 newspapers of record in Maricopa County.	No comments were received.	N/A	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
6	Internet Outreach	Non-targeted/broad community	Draft Annual Action Plan was made available on the Maricopa County Human Services Department website during the Public Comment Period of March 11, 2016 - April 13, 2016 for all interested parties to view and provide comment. The public notice was also posted as News story on Maricopa County Human Services Department website.	No comments were received.	N/A	<a href="http://www.hsd.maricopa.gov/">http://www.hsd.maricopa.gov/</a>

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
7	Public Notices	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	In compliance with the Citizen Participation Plan, public notices were posted in the Housing Authority of Maricopa County (HAMC), HSD, and throughout Maricopa County library systems buildings.	No comments were received.	N/A	

Table 4 – Citizen Participation Outreach

## Expected Resources

### AP-15 Expected Resources - 91.420(b), 91.220(c)(1,2)

#### Introduction

The table that follows depicts the estimated resources that Maricopa County anticipates having available during the term of the FY2016-17 Annual Plan, year two of the FY 2015-2020 Consolidated Plan. The resources include CDBG, HOME, and ESG. Narrative is provided after the table discussing how HUD resources as well as non-HUD resources available to Maricopa County Human Services Department - Community Development Division may be leveraged.

#### HOME Consortium Allocations\*

\*Allocations include funding allocated for projects and activities as well as administration. For a detailed description of how HOME funds are allocated to projects within the HOME Consortium, visit the AP-35 Projects section of this plan.

Avondale - \$134,098  
Chandler - \$299,853  
Gilbert - \$200,023  
Glendale - \$514,115  
Maricopa County - \$804,381  
Peoria - \$180,641  
Scottsdale - \$219,957  
Surprise - \$136,692  
Tempe - \$321,086  
CHDO Set Aside - \$496,032  
**Total - \$3,306,878**

**Anticipated Resources**

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	Public - Federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	2,735,554	0	522,268	3,257,822	7,980,672	CDBG funds available for activities in Urban County communities. Annual competitive application process to solicit and award funding to projects.
HOME	Public - Federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	3,306,878	50,000	0	3,356,878	9,179,736	HOME funds available for eligible activities in HOME Consortium communities and cities located in Urban County. Allocation of funds is provided by formula to each of the HOME Consortium members. Urban County receives a portion to fund HOME eligible activities administered by the lead agency.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	Public - Federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	239,473	0	0	239,473	708,204	ESG funds available for eligible activities in Maricopa County. Projects include \$90,000 Shelter Operations and \$132,000 Rapid Re-housing. Administration is \$17,473.

Table 1 - Expected Resources – Priority Table

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

The federal funding that is received by the county is used to leverage additional resources from private and non-federal public sources as listed in detail below. The range of resources leveraged to support projects throughout Maricopa County is broad. Resource allocations vary annually as will supplemental resources that will be used to leverage projects. Anticipated leverage amounts for Maricopa County will vary based on annual allocation amounts, specifics of individual projects funded, and market conditions. Subject to continued funding approval and availability, Maricopa County Human Services Department’s estimated leverage amounts over the one period include:

- Department of Energy for Weatherization Improvements - \$204,848
- Department of Health & Human Services (LIHEAP) for Energy Efficiency Improvements \$700,974
- Arizona Public Service (APS) for Energy Efficiency Improvements - \$343,234
- Salt River Project (SRP) for Energy Efficiency Improvements - \$271,220
- Southwest Gas for Energy Efficiency Improvements - \$126,603
- Utility Repair and Replacement (URRD) - \$505,883
- Salt River Pima Maricopa Indian Community Funds for Veterans Accessibility Improvements - \$200,000 (one time only)
- General Funds for Homeless Services: Central Arizona Shelter Services - \$450,000; Homeless Services - \$300,000

Varying leveraging techniques employed by individual HOME Consortium members are a function of the types of projects being assisted and the applicable federal, state and local regulations in effect. Refer to individual Annual Action Plans for detail about leveraging by members.

**HUD requires that participating jurisdictions provide 25 percent match for all HOME funds and 100 percent for ESG funds. Specific program matching requirements are below:**

Match Sources for HOME Investment Partnerships Program – Matching requirements of HUD programs will be satisfied by ensuring, through the lead agency of the Maricopa HOME Consortium, that sufficient match resources are obtained to meet the 25% match requirement. Each Consortium member will provide the 25% match requirement for its portion of the HOME per annual formula allocation. At a minimum, match resources should be identified at the beginning of the fiscal year. Individual Consortium members are responsible for ensuring that the match source is eligible under the HOME regulations. Match requirements are reported by each Consortium and Urban County member to the lead agency and compiled annually. Additionally, the Consortium will delegate responsibility for the 25% match requirement to CHDOs funded

through the 15% HOME CHDO set-aside. CHDOs will be required to demonstrate that sufficient match has been committed for the HOME program at the time funding applications are submitted to the Consortium.

Match Source for Emergency Solutions Grants – Subrecipient agencies are contractually obligated to match on a dollar for dollar basis from an alternate source. Maricopa County will use local funds to provide the 100% match requirement for the remainder of the ESG funds.

**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

Subject to local priorities, preferences and availability, members of the Maricopa HOME Consortium may commit publically owned land or property to induce the development of desired affordable housing, supportive housing, homeless, economic development and neighborhood revitalization projects and programs. The commitment of such resources may be executed through agreements with public, private or non-profit entities selected through a competitive process.

**Discussion**

Please see above.

## Annual Goals and Objectives

**AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)**

**Goals Summary Information**

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Expand Affordable Housing	2015	2020	Affordable Housing		Homeownership Opportunities Rental Housing Production Tenant Based Rental Assistance	HOME: \$1,838,383	Rental units rehabilitated: 6 Household Housing Unit Homeowner Housing Added: 2 Household Housing Unit Homeowner Housing Rehabilitated: 10 Household Housing Unit Direct Financial Assistance to Homebuyers: 14 Households Assisted Tenant-based rental assistance / Rapid Rehousing: 15 Households Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
2	Owner Occupied Housing Rehabilitation	2015	2020	Affordable Housing		Housing Rehabilitation Emergency Home Repair	CDBG: \$210,000 HOME: \$1,052,644	Homeowner Housing Rehabilitated: 20 Household Housing Unit
3	Rapid Re-Housing Support	2015	2020	Homeless	Countywide	Assist Homeless and At-Risk of Homelessness	ESG: \$132,000	Tenant-based rental assistance / Rapid Rehousing: 15 Households Assisted
4	Homeless Shelter Operations Support	2015	2020	Homeless		Assist Homeless and At-Risk of Homelessness	ESG: \$90,000	Homeless Person Overnight Shelter: 1900 Persons Assisted
5	UC Public Services Support	2015	2020	Homeless Non-Housing Community Development		UC Public Services	CDBG: \$410,333	Public service activities other than Low/Moderate Income Housing Benefit: 69 Persons Assisted Homeless Person Overnight Shelter: 400 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
6	UC Public Improvements/Infrastructure Support	2015	2020	Non-Housing Community Development		UC Public Improvements/Infrastructure	CDBG: \$1,378,601	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 3792 Persons Assisted
7	UC Public Facilities Support	2015	2020	Non-Housing Community Development		UC Public Facilities	CDBG: \$575,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 1185 Persons Assisted

**Table 2 – Goals Summary**

**Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b)**

As described in the Consolidated Plan, from 2015 through 2020 Maricopa County anticipates assisting 22 extremely low income (earning 0-30% AMI), 72 very low income (31-50% AMI) and 66 low income (51-80% AMI) families as defined by HOME 91.315(b)(2). It is estimated that approximately 25 renter households will be assisted and 135 homeowner households (earning less than 80% AMI). CDBG funding will be programmed for emergency repair for families up to 80% AMI (moderate income). Middle income households are not generally anticipated to be assisted with the relevant HUD federal entitlement resources.

Refer to AP-35 section for the number of persons anticipated to be assisted for FY2016-17 Annual Action Plan.

## **AP-35 Projects - 91.420, 91.220(d)**

### **Introduction**

This section specifically identifies the projects that will take place during FY 2016-2017 with CDBG, HOME, and ESG funding to address the priority needs and specific objectives as identified in the Consolidated Plan Strategic Plan section.

**CDBG Funds:** FY 2016-2017 CDBG Total Allocation is \$2,735,554 and the total Reallocated (carryover) Funds is \$522,268. The total funding available is \$3,257,822. Of the total \$3,257,822 funds available \$136,778 of contingency funds is withheld for project overruns (which is 5% of 2016 allocation). Any CDBG program income will be reported and reallocated to CDBG eligible projects.

**HOME Funds:** FY 2016-2017 HOME Total Allocation is \$3,306,878. The required CHDO set-aside is \$496,032.

Of the total funds available Maricopa County's share of the HOME funds for Urban County projects is \$804,381 for FY2016-2017 planned activities and objectives (administration \$205,282 and \$599,099 for projects). The Consortium Member's share of the HOME Funds is \$2,006,464 (administration \$125,403 and \$1,881,061 for projects).

Any program income received during the program year will be retained and reported by the County and used on HOME eligible activities. HOME program income or returned or recaptured funds will be used for eligible HOME activities, and will be allocated by majority vote through the HOME Consortium committee. Each member jurisdiction may be allowed to retain, record, and track program income on a monthly basis and all program income received must be reported to the County. Urban County program income, recaptured, or returned funds are retained and reallocated to HOME eligible projects in the Urban County (10% of program income is allowed for administration).

**ESG Funds:** FY 2016-2017 ESG Total Allocation is \$239,473.

Note: Target Areas, as defined in the tables below, refer to Geographic Priorities. As stated in the AP-50 Geographic Distribution section, no Geographic Priorities have been assigned for the Five Year Consolidated Plan or this Annual Action Plan.

#	Project Name
1	DG1600 Program Administration
2	DG1601 Circle the City- Medical Respite Center
3	DG1602 Gila Bend - Community Center Expansion Project
4	DG1603 Goodyear - Waterline Replacement 4 Project
5	DG1604 Guadalupe Pavement Replacement Phase V
6	DG1605 MCHIP - Emergency Home Repair Project
7	DG1606 MCHSD Homeless Services
8	DG1607 Respite Shelter- Public Service
9	DG1608 Wickenburg - Washington Waterline
10	DG1609 Youngtown - Street Lighting
11	Emergency Solutions Grant - ESG FY 16-17
12	Acquisition of Land and Construction of New Housing for Owner Occupants - FY16-17
13	Acquisition and Rehabilitation for Resale of Single Family Homes- FY16-17
14	Acquisition and/or Rehabilitation of Rental Housing - FY16-17
15	Homebuyer Assistance- FY16-17
16	Owner Occupied Housing Rehabilitation- FY16-17
17	Tenant Based Rental Assistance - FY16-17
18	HOME Program Administration FY16-17

**Table 3 – Project Information**

**Describe the reasons for allocation priorities and any obstacles to addressing underserved needs**

**Allocation Priorities**

Within the Maricopa HOME Consortium, HUD entitlement funds are distributed using the following allocation priorities:

HOME: HOME funds are allocated to each Consortium member based on the relative percentage of CDBG funds received by each community which include Avondale, Chandler, Gilbert, Glendale, Peoria, Scottsdale, Surprise, Tempe, and Maricopa Urban County. The allocation of HOME funds is evidenced by a Subrecipient Agreement with each jurisdiction. Then, each Consortium member jurisdiction receives proposals through a competitive process and submitted by potential developers and/or subrecipients for specific projects within that community, or a jurisdiction may provide funding for a housing program(s) within the city/town. Applications for projects are evaluated based on precise criteria set by each Consortium member based on local needs. See each Consortium member’s Annual Action Plan for a detailed description of the application process. The MCHSD administrative assessment is 5% of the Consortium Member Gross Allocation for all Consortium members except for the County. The County assessment is 10%.

ESG: Maricopa County is a direct recipient of ESG funding. The Maricopa County Human Services

Department follows Maricopa County policy on grant award and management. Provider agencies for ESG are currently selected through an open and competitive Notice of Funding Availability (NOFA) process to provide rapid rehousing for persons experiencing homelessness and/or temporary shelter operations.

CDBG: Maricopa County is a direct recipient of CDBG funding. CDBG is funded through a competitive Notice of Funding Availability (NOFA) application process for eligible activities that serve the Urban County communities of Buckeye, El Mirage, Gila Bend, Goodyear, Guadalupe, Litchfield Park, Queen Creek, Tolleson, Wickenburg, Youngtown, and unincorporated Maricopa County. The CDBG application process will include annual funding priorities as identified by staff and/or the review committee.

Maricopa County Human Services Department, Community Development Division (MCHSD), issues a Notice of Funding Availability each year to Urban County participants and interested parties announcing the availability of CDBG funds. Interested parties submit applications identifying a local community need(s) affecting low/moderate income residents. Each governmental applicant is asked to submit a resolution from the local community identifying local support for the activity. MCHSD staff reviews CDBG applications. CDBG applications are forwarded to CDAC for scoring and final recommendation of funding. The CDAC reviews the applications and makes recommendations to the Maricopa County Board of Supervisors based on the following types of criteria, which are subject to change annually and will be provided in the NOFA to potential applicants:

- o Relative need of the community,
- o The effect of the proposed activities on the health and safety of the community,
- o Past performance,
- o Monitoring results,
- o Quality of proposed annual activities; and
- o Rates of expenditure on currently funded activities.

### **Obstacles to Addressing Underserved Needs**

Federal, state, and local budget cuts resulting in a reduction of funding for programs is the primary obstacle to addressing underserved needs within the Consortium. The amount of funding for housing and non-housing activities is not adequate to meet the demand of underserved needs. As discussed by the Community Development Advisory Committee (CDAC), the total amount funding requested in recent years exceeds the amount of funding available. Additional obstacles may include increasing rent rates, increasing mortgage interest rates, and other factors contributing to a lack of affordable housing stock.

Please refer to the Appendix to review additional Consortium member contributions to this section.

# AP-38 Project Summary

## Project Summary Information

Table 3 – Project Summary

1	<b>Project Name</b>	DG1600 CDBG Program Administration
	<b>Goals Supported</b>	Administration
	<b>Needs Addressed</b>	Administration
	<b>Funding</b>	CDBG: \$547,110
	<b>Description</b>	2016-17 Program Administration for CDBG, HOME, and ESG activities
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Not applicable.
	<b>Location Description</b>	Maricopa County Human Services Department
	<b>Planned Activities</b>	2016-17 Program Administration for CDBG, HOME, and ESG activities, and Fair Housing Activities.
	2	<b>Project Name</b>
<b>Goals Supported</b>		UC Public Services Support
<b>Needs Addressed</b>		UC Public Services
<b>Funding</b>		CDBG: \$225,933
<b>Description</b>		Housing, medical care, and supportive services for persons that are homeless or at risk of being homeless that have been released from the hospital. Approx. 31 homeless persons and persons at risk of becoming homeless will be assisted.
<b>Target Date</b>		6/30/2017

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	31 homeless persons, and persons at risk of becoming homeless will be assisted.
	<b>Location Description</b>	Circle the City, 333 W Indian School Road, Phoenix, AZ
	<b>Planned Activities</b>	Housing, medical care, and supportive services for persons that are homeless or at risk of being homeless that have been released from the hospital.
<b>3</b>	<b>Project Name</b>	DG1602 Gila Bend - Community Center Expansion Project
	<b>Goals Supported</b>	UC Public Facilities Support
	<b>Needs Addressed</b>	UC Public Facilities
	<b>Funding</b>	CDBG: \$575,000
	<b>Description</b>	Add approximately 1,400 square feet of additional space to the existing community center building. The building serves the entire Town of Gila Bend which is 68% low and moderate income.
	<b>Target Date</b>	6/30/2018
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	1,185 low and moderate income residents.
	<b>Location Description</b>	Gila Bend Community Center, 202 N. Euclid Ave., Gila Bend, AZ
	<b>Planned Activities</b>	Add approximately 1,400 square feet of additional space to the existing community center building.
<b>4</b>	<b>Project Name</b>	DG1603 Goodyear - Waterline Replacement 4 Project
	<b>Goals Supported</b>	UC Public Improvements/Infrastructure Support
	<b>Needs Addressed</b>	UC Public Improvements/Infrastructure
	<b>Funding</b>	CDBG: \$138,229

	<b>Description</b>	Install replacement waterlines in the historic area of Goodyear AZ. The project will assist 40 low and moderate income residents.
	<b>Target Date</b>	6/30/2018
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	40 low and moderate income residents.
	<b>Location Description</b>	La Canada Blvd., Las Verdes Dr., and/or La Pasada Blvd., in Historic Goodyear, AZ.
	<b>Planned Activities</b>	Install replacement waterlines in the historic area of Goodyear AZ.
5	<b>Project Name</b>	DG1604 Guadalupe Pavement Replacement Phase V
	<b>Goals Supported</b>	UC Public Improvements/Infrastructure Support
	<b>Needs Addressed</b>	UC Public Improvements/Infrastructure
	<b>Funding</b>	CDBG: \$388,800
	<b>Description</b>	Rebuild entire street including adjusting surface utilities and replacement of all pavement.
	<b>Target Date</b>	6/30/2018
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	184 Low and moderate income residents.
	<b>Location Description</b>	Calles Carlos, Tomi, and Mexico in Guadalupe, AZ.
	<b>Planned Activities</b>	Rebuild entire street including adjusting surface utilities and replacement of all pavement.
6	<b>Project Name</b>	DG1605 MCHIP - Emergency Home Repair Project
	<b>Goals Supported</b>	Owner Occupied Housing Rehabilitation
	<b>Needs Addressed</b>	Emergency Home Repair

	<b>Funding</b>	CDBG: \$210,000
	<b>Description</b>	Provide emergency, minor, and moderate home repairs for low and moderate income Urban County homeowners. The project will assist at least 9 low and moderate income homeowners throughout the Urban County.
	<b>Target Date</b>	6/30/2018
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	9 low and moderate income households.
	<b>Location Description</b>	Maricopa Urban County
	<b>Planned Activities</b>	Provide emergency, minor, and moderate home repairs for low and moderate income Urban County homeowners.
<b>7</b>	<b>Project Name</b>	DG1606 MCHSD Homeless Services
	<b>Goals Supported</b>	UC Public Services Support
	<b>Needs Addressed</b>	UC Public Services
	<b>Funding</b>	CDBG: \$115,000
	<b>Description</b>	Provide shelter and supportive services for 400 homeless individuals and families.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	400 homeless persons.
	<b>Location Description</b>	Maricopa County
	<b>Planned Activities</b>	Provide shelter and supportive services for 400 homeless individuals and families.

8	<b>Project Name</b>	DG1607 Respite Shelter- Public Service
	<b>Goals Supported</b>	UC Public Services Support
	<b>Needs Addressed</b>	UC Public Services
	<b>Funding</b>	CDBG: \$69,400
	<b>Description</b>	Provide housing and supportive services for 38 homeless persons released from the hospital.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Approximately 38 homeless individuals will benefit.
	<b>Location Description</b>	Maricopa County
	<b>Planned Activities</b>	Provide housing and supportive services for 38 homeless persons released from the hospital.
9	<b>Project Name</b>	DG1608 Wickenburg - Washington Waterline
	<b>Goals Supported</b>	UC Public Improvements/Infrastructure Support
	<b>Needs Addressed</b>	UC Public Improvements/Infrastructure
	<b>Funding</b>	CDBG: \$580,250
	<b>Description</b>	Install replacement waterline along Washington Ave. in Wickenburg, AZ.
	<b>Target Date</b>	6/30/2018
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	393 low and moderate income residents.
	<b>Location Description</b>	Washington Ave., Wickenburg, AZ

	<b>Planned Activities</b>	Install replacement waterline along Washington Ave. in Wickenburg, AZ.
<b>10</b>	<b>Project Name</b>	DG1609 Youngtown - Street Lighting
	<b>Goals Supported</b>	UC Public Improvements/Infrastructure Support
	<b>Needs Addressed</b>	UC Public Improvements/Infrastructure
	<b>Funding</b>	CDBG: \$271,322
	<b>Description</b>	Install 99 new streetlights throughout the Town of Youngtown. The Town is 57% low and moderate income.
	<b>Target Date</b>	6/30/2018
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	3,175 low and moderate income residents.
	<b>Location Description</b>	Town of Youngtown, AZ.
	<b>Planned Activities</b>	Install 99 new streetlights throughout the Town of Youngtown.
<b>11</b>	<b>Project Name</b>	Emergency Solutions Grant - ESG FY 16-17
	<b>Goals Supported</b>	Rapid Re-Housing Support Homeless Shelter Operations Support
	<b>Needs Addressed</b>	Assist Homeless and At-Risk of Homelessness
	<b>Funding</b>	ESG: \$239,473
	<b>Description</b>	Activities will be Shelter Operations (\$90,000), Rapid Re-Housing (\$132,000), and Administration (\$17,473).
	<b>Target Date</b>	6/30/2017

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Shelter Operations: UMOM will serve an estimated 1,350 homeless families. New Life Center will serve an estimated 550 homeless families. Rapid Re-Housing: Community Bridges Inc. will serve an estimate 15 homeless individuals.
	<b>Location Description</b>	Maricopa County
	<b>Planned Activities</b>	Shelter Operations, Rapid-Rehousing, and Program Administration.
<b>12</b>	<b>Project Name</b>	Acquisition of Land and Construction of New Housing for Owner Occupants - FY16-17
	<b>Goals Supported</b>	Expand Affordable Housing
	<b>Needs Addressed</b>	Homeownership Opportunities
	<b>Funding</b>	HOME: \$661,763
	<b>Description</b>	City of Glendale New construction, with or without acquisition of land, Habitat for Humanity (\$252,412) will construct 3 new homes for sale to pre-qualified low-income homebuyers; the City of Peoria, also working with Habitat for Humanity (\$159,351) will construct 2 new homes, with or without acquisition of land, for sale to pre-qualified low-income homebuyers. Also, the CHDO, Guadalupe CDC (\$250,000) will construct 5 new homes, with or without acquisition of land, for sale to pre-qualified low-income homebuyers.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	10 low income families.
	<b>Location Description</b>	Glendale, Peoria, and Guadalupe, AZ.
	<b>Planned Activities</b>	New construction with or without acquisition of land.
<b>13</b>	<b>Project Name</b>	Acquisition and Rehabilitation for Resale of Single Family Homes- FY16-17
	<b>Goals Supported</b>	Expand Affordable Housing

	<b>Needs Addressed</b>	Homeownership Opportunities
	<b>Funding</b>	HOME: \$246,032
	<b>Description</b>	Newtown CDC (CHDO): Acquisition and rehabilitation for resale of 2 single-family homes for two low-income eligible households to become first-time homebuyers in the amount of \$246,032.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	2 low income families.
	<b>Location Description</b>	Tempe, AZ.
	<b>Planned Activities</b>	Acquisition, rehabilitation, and resale of single-family homes.
<b>14</b>	<b>Project Name</b>	Acquisition and/or Rehabilitation of Rental Housing - FY16-17
	<b>Goals Supported</b>	Expand Affordable Housing
	<b>Needs Addressed</b>	Rental Housing Production
	<b>Funding</b>	HOME: \$499,572
	<b>Description</b>	Town of Gilbert: Acquisition and rehabilitation for 1 single-family rental housing for eligible very low-income households with ARM of Save the Family (\$184,680). Maricopa Urban County: Acquisition and/or rehabilitation for multi-family rental housing for eligible very low-income households.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Six low income families.
	<b>Location Description</b>	Gilbert and Maricopa Urban County, AZ

	<b>Planned Activities</b>	Acquisition, rehabilitation, and creation of single-family or multi-family rental housing.
<b>15</b>	<b>Project Name</b>	Homebuyer Assistance- FY16-17
	<b>Goals Supported</b>	Expand Affordable Housing
	<b>Needs Addressed</b>	Homeownership Opportunities
	<b>Funding</b>	HOME: \$341,016
	<b>Description</b>	Direct Homebuyer Assistance including but not limited to down payment assistance, closing costs and gap financing to low-income families for first-time homebuyers. Avondale: A total of 2 low-income families (\$29,998). Peoria: A total of 2 low-income families will benefit (\$10,000). Tempe: A total of 10 low-income families will benefit (\$301,018).
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	14 low income families will benefit from the proposed activities.
	<b>Location Description</b>	Avondale, Peoria, and Tempe, AZ.
	<b>Planned Activities</b>	Downpayment and closing cost assistance to low income families to create first time homebuyers.
<b>16</b>	<b>Project Name</b>	Owner Occupied Housing Rehabilitation- FY16-17
	<b>Goals Supported</b>	Owner Occupied Housing Rehabilitation
	<b>Needs Addressed</b>	Housing Rehabilitation
	<b>Funding</b>	HOME: \$1,158,854

	<b>Description</b>	Housing rehabilitation for owner occupied single-family homes for eligible low-income households. Avondale: A total of 2 low-income families (\$87,338). Chandler: A total of 2 low-income families will benefit (\$191,112). Glendale: A total of 3 low-income families will benefit (\$229,571). Maricopa Urban County: A total of 4 low-income families (\$299,550). Scottsdale: A total of 2 low-income families will benefit (\$100,000). Surprise: A total of 5 low-income families will benefit (\$136,692).
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	18 low income families.
	<b>Location Description</b>	Avondale, Chandler, Glendale, Scottsdale, Surprise, and Maricopa Urban County.
	<b>Planned Activities</b>	Owner-occupied housing rehabilitation or reconstruction.
<b>17</b>	<b>Project Name</b>	Tenant Based Rental Assistance - FY16-17
	<b>Goals Supported</b>	Expand Affordable Housing
	<b>Needs Addressed</b>	Tenant Based Rental Assistance
	<b>Funding</b>	HOME: \$90,000
	<b>Description</b>	City of Chandler will fund \$90,000 in TBRA to assist 15 homeless individuals or families.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	15 very low income families.
	<b>Location Description</b>	Chandler, AZ.
	<b>Planned Activities</b>	Tenant Based Rental Assistance provided to very low income families.

<b>18</b>	<b>Project Name</b>	HOME Program Administration FY16-17
	<b>Goals Supported</b>	Administration
	<b>Needs Addressed</b>	Administration
	<b>Funding</b>	HOME: \$309,641
	<b>Description</b>	2016-17 Program Administration for HOME activities
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Not applicable.
	<b>Location Description</b>	Lead Agency (Maricopa County Human Services Department) and HOME Consortium Communities excluding Gilbert and Surprise
	<b>Planned Activities</b>	Program administration for HOME Activities for Maricopa County, Avondale, Chandler, Glendale, Peoria, Scottsdale, and Tempe. The City of Surprise and Town of Gilbert elected not to use their administration allocation, and allocated their full amount to projects.

**AP-50 Geographic Distribution - 91.420, 91.220(f)**

**Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

The service area for the Maricopa HOME Consortium Year Two Annual Action Plan includes the HOME Consortium member cities of Avondale, Chandler, Glendale, Peoria, Scottsdale, Surprise, Tempe; the Town of Gilbert; and Maricopa Urban County. The service area of Maricopa Urban County is the unincorporated area of Maricopa County, and the Cities and Towns of Buckeye, El Mirage, Gila Bend, Goodyear, Guadalupe, Litchfield Park, Queen Creek, Tolleson, Wickenburg, and Youngtown.

Maricopa HOME Consortium resources are allocated to members by a pre-determined allocation formula (refer to 2015-2020 Consolidated Plan section SP-10) and allocated to specific geographic areas by individual jurisdictions within relevant program parameters as evidenced by annual subrecipient agreements. Maricopa Urban County CDBG funding is allocated based on a competitive process and the quality and quantity of applications received. The Community Development Advisory Committee (CDAC) reviews and approves all HUD funding sources.

**Geographic Distribution**

Target Area	Percentage of Funds

Table 5 - Geographic Distribution

**Rationale for the priorities for allocating investments geographically**

Not applicable.

**Discussion**

Please see discussion above.

## Affordable Housing

### AP-55 Affordable Housing - 91.420, 91.220(g)

#### Introduction

This section outlines the one-year Annual Action Plan Affordable Housing goals for FY2016-2017, year two of the 2015-2020 Consolidated Plan. The tables below outline the numbers of households supported and the number of affordable housing units that will be provided by program type. This table is inclusive of HOME, CDBG (Maricopa Urban County and Consortium members), and local Public Housing Agencies affordable housing goals. The CDBG, HOME, and local Public Housing Agency one-year goals for households to be supported were reported by each of the HOME Consortium member jurisdictions and may include local Public Housing Authority data.

Please see the AP-35 Projects section to view a detailed description of the projects. Also refer to individual Consortium member Annual Action Plans for detailed descriptions of their projects.

<b>One Year Goals for the Number of Households to be Supported</b>	
Homeless	3,045
Non-Homeless	4,227
Special-Needs	0
<b>Total</b>	<b>7,272</b>

**Table 6 - One Year Goals for Affordable Housing by Support Requirement**

<b>One Year Goals for the Number of Households Supported Through</b>	
Rental Assistance	7,128
The Production of New Units	20
Rehab of Existing Units	57
Acquisition of Existing Units	67
<b>Total</b>	<b>7,272</b>

**Table 7 - One Year Goals for Affordable Housing by Support Type**

#### Discussion

Please refer to the Appendix to review additional Consortium member contributions to this section.

## **AP-60 Public Housing - 91.420, 91.220(h)**

### **Introduction**

Maricopa County will participate on the Board of the Housing Authority of Maricopa County in a non-voting capacity and will continually work to align and coordinate to address the needs of public housing. This section will discuss actions that are planned during the next year to address the needs of public housing, encourage public housing residents to become more involved in management and participate in homeownership. The Housing Authority of Maricopa County is designated as a “Standard” agency.

Each member of the Maricopa HOME Consortium is served by a local Public Housing Agency (PHA), which prepares an Annual Agency Plan. The five PHAs within the Consortium areas are Chandler, Glendale, Scottsdale, Tempe, and the Housing Authority of Maricopa County. Those Annual Agency Plans are incorporated by reference herein and in each of the individual Annual Action Plans. The numbers of units of public housing and Housing Choice Vouchers for the Housing Authority of Maricopa County are shown below.

### **Actions planned during the next year to address the needs to public housing**

The Housing Authority of Maricopa County (HAMC) manages 827 public housing rental units at seventeen sites throughout Maricopa County and administers 1,641 Housing Choice Vouchers. In addition, HAMC manages one scattered site, single-family tax credit/mixed finance development and is a limited partner in a second mixed-finance 120-unit family development. The first of the public housing developments was planned and constructed a half century ago and the last traditional public housing development was built a little over a decade ago. Due to various changes in federal housing policy, it is imperative that the HAMC engage in policies to provide for the accomplishment of its mission, “to improve the quality of life of families and strengthen communities by developing and sustaining affordable housing programs; and to become a leading housing authority by exemplifying best practices, offering innovative affordable housing programs, and expanding accessibility throughout Maricopa County.” As discussed in the 2015-2020 Consolidated Plan, some of these activities include:

- Convert public housing units with a combination of public housing and Section 8 to maximize subsidies by sites.
- Dispose of assets determined not to be feasible to maintain as affordable housing because of location, cost of redevelopment, impact and other factors. If disposition is determined to be the best option for a site, HAMC would look to replace the disposed units in another location.
- Use Rental Assistance Demonstration funds to improve and increase density at Madison Heights in Avondale, Arizona.
- Use Project-based Vouchers (PBV) on HAMC developments to increase options and opportunities for residents.

According to the Draft FY2016 Annual Agency Plan, HAMC will seek to accomplish the following strategies, among others:

- Work with partners to increase services in support of customer personal development, education, and employability.
- Work with partners to increase services in support of customer personal development, education, and employability.
- Explore whether to apply for Move to Work.
- Focus on delivering self-sufficiency programming that enables HAMC to be an incubator of education and economic improvement for HAMC families.
- Serve in the County's efforts to end chronic homelessness (Bridge program, HCV attrition, PH point system).
- Explore options for mixed income communities throughout Maricopa County jurisdiction.
- Investigate the availability of VASH voucher funding or the viability of establishing a veterans housing program.
- Investigate repositioning and repurposing existing portfolio for supportive or affordable housing options to include the establishment of an affiliate nonprofit.
- Explore creating a home ownership program.
- Create and implement redevelopment strategies for HAMC portfolio to include divestiture.
- Apply for all opportunities to increase the overall size of the Housing Choice Voucher program.
- Diversify affordable housing options (deconcentration). Work with tax credit properties in Maricopa County to extend a marketing invitation to HAMC voucher holders.
- Explore smoke free options for HAMC properties.

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

The Housing Authority of Maricopa County maintains a Family Self-Sufficiency program for both Housing Choice Voucher and Public Housing programs. Each HAMC office has informational handouts and referrals to help the residents find resources based on their needs. Partnerships with local nonprofits and governmental agencies, including the Maricopa County Human Services Department, have increased the resources available to residents. Goal setting is a part of the FSS program requirement. Residents and clients have been able to improve their credit scores, obtain GED certificates, attend college, earn workforce/technology certificates, increase wage based incomes and reduce reliance on welfare assistance. The Housing Authority of Maricopa County does not have a homeownership program at this time. However, HAMC is exploring the option of creating a homeownership program.

### **If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance**

Not applicable. The Housing Authority of Maricopa County is not designated as a "troubled" PHA.

## **Discussion**

In fiscal year 2014, the Maricopa County Human Services Department assisted the county public housing agency Madison Heights project with HOME resources under the RAD initiative. Madison Heights Phases I and II are currently under construction. When Madison Heights is complete, it will convert 77 units (Phase I) and 66 units (Phase II) public housing units into 143 project based vouchers. Seven of the total units will be HOME assisted units.

Please refer to the Appendix to review additional Consortium member contributions to this section.

## **AP-65 Homeless and Other Special Needs Activities - 91.420, 91.220(i)**

### **Introduction**

Within the Maricopa HOME Consortium, Maricopa County and the City of Glendale are ESG entitlement grantees. See the Glendale Annual Action Plan for additional discussion of homelessness and other special needs activities. The Maricopa HOME Consortium understands that preventing and ending homelessness using targeted resources alone will not work exclusively. Therefore, the Consortium is engaged in leveraging mainstream housing, health, education and human service programs. The Maricopa County Human Services Department has cultivated a shared understanding amongst its divisions to find solutions to end homelessness. The purpose of the “Dedicating Opportunities to End Homelessness Initiative and Local Leadership Team for Maricopa County” is to identify opportunities that can be committed and targeted to ending homelessness such as housing choice vouchers, public housing, CDBG and HOME funds and private multi-family housing units in addition to other federally-funded service and existing homelessness program resources. These resources include the McKinney-Vento Homeless Assistance Act As Amended by S.896 HEARTH Act of 2009 programs, other special federal, state and local and private funds targeted to homeless individuals and families with children, especially the chronically homeless, the HUD formula programs, and any publicly-owned land or property.

The entity coordinating the strategy to end homelessness will continue to be the Maricopa Association of Governments (MAG) Continuum of Care Committee on Homelessness. Maricopa County will continue its efforts to support:

- Continued involvement with the Continuum of Care Regional Committee of MAG.
- Continued support for the Human Services Campus. The project provides emergency shelter beds for seriously mentally ill and homeless men and women on the Human Services Campus. Phase I of the construction project was completed in October 2005; and Central Arizona Shelter Services, the dental clinic, the Maricopa County Healthcare for the Homeless Program, the St. Vincent de Paul Facility and the Day Resource Center all became operational November 2005. The Nova Safe Haven facility opened in 2008.
- Full implementation of the HMIS among homeless providers.
- Continuation of homeless prevention services funded by the United Way and other sources through Community Action Programs.
- Continued advocacy for preserving and increasing the number of Housing Choice Vouchers to local housing agencies and providers.
- An increased emphasis on performance and accountability through evaluation.
- Use of Emergency Solutions Grants (by Maricopa County and Glendale) to support one or more of the following activities: emergency shelters, homeless prevention, rapid re-housing, and HMIS.
- Local general fund contributions to regional homeless issues.
- Local allocations of funding from citizen contributions to utility bill donation programs for services and housing for homeless and victims of domestic violence.

**Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including:**

**Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

A unique collaborative effort between the Maricopa County Human Services Department, the Arizona Department of Economic Security, the City of Phoenix Human Services Department, and Valley of the Sun United Way was developed for the purposes of transitioning homeless individuals accessing the Human Services Campus services with appropriate housing interventions. The Human Services Campus has the largest emergency shelter in the Phoenix-metro area - CASS. One of the many approaches to assist homeless persons is Rapid Re-Housing. Through coordinated entry at the Welcome Center on the Human Services Campus, participants will be prioritized by acuity utilizing the housing vulnerability tools (SPDAT, VI-SPDAT) to be placed in appropriate intervention (permanent supportive housing, rapid re-housing, shelter, diversion). Scores from the tools will be used to determine individual needs. Maricopa County plans to use \$132,000 of Emergency Solutions Grant funding to support the Rapid-Rehousing program in FY 2016-17 and provide approximately 15 individuals with short to medium term rapid re-housing assistance.

In a dedicated effort to take full advantage of opportunities that could create more housing placements and reduce the homeless population in Maricopa County, with the support of the Board of Supervisors, a Homeless Program Manager position was created in 2015 and is located within the MCHSD Community Development Division. MCHSD has the opportunity to increase outside funding to leverage from the private sector, faith-based community, and other community-based organizations to address homelessness. In addition, MCHSD has been able to successfully grow existing partnerships that promote regional solutions for homelessness. This position forges partnerships to organize efforts in other localities and municipalities to create regional solutions to the problem of homelessness. By creating regional solutions, there will be more opportunities for placements of homeless persons with the intent of seeing a decline of homelessness in Maricopa County.

**Addressing the emergency shelter and transitional housing needs of homeless persons**

Maricopa Urban County's plan for the investment and use of available resources and one-year goals for reducing and ending homelessness includes assisting in utilizing Emergency Solutions Grant to fund emergency shelters for individuals and prevention services. These activities are high priority as described in the Five Year Consolidated Plan.

Maricopa County plans to use \$90,000 of Emergency Solutions Grant funding to support the emergency shelter operations in FY2016-17 which will assist approximately 1,900 homeless persons. It is planned that the funds will assist families experiencing homelessness including victims of domestic violence. In addition, Maricopa takes an active role in the MAG Continuum of Care Committee on Homelessness and the operations of the Human Services Campus.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

Maricopa County seeks to utilize ESG, CDBG, and general funds as available, to work towards strengthening the pipeline between homelessness to permanent housing and independent living. According to the Maricopa Association of Government's 2015 Point-In-Time Homeless Count, local data collected during the one-day count indicated that 5,918 people had experienced homelessness in Maricopa County, with 1,053 of them reported to be sleeping on the streets and 4,865 people in shelters. There is a significant need in Maricopa County to rapidly move persons experiencing homelessness into permanent housing and to surround them with appropriate services.

The MAG Continuum of Care Committee completed an update to its regional plan to end chronic homelessness in March 2009. Components of the strategy included "Housing first" projects, which have:

- Relaxed eligibility guidelines
- Allowance for relapse as a part of recovery
- Greater flexibility in access to multiple services
- One-stop day services
- Outreach and peer outreach teams.

The MAG had reported on the Regional Plan to End Homelessness through a Report Card which outlined data related to the strategies in the plan. Maricopa County continues to pursue every avenue available to assist with furthering the Continuum of Care efforts.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

Maricopa County, through its Human Services Department, intends to address the needs of low-income or extremely low-income families from becoming homeless, including those being discharged from publicly funded institutions and systems of care or receiving assistance from public or private agencies, through the following programs.

- Smart Justice Initiative - A collaborative justice initiative made of Maricopa County criminal justice

leaders and human services partners including MCHSD which aims to reducing offender recidivism. It is bolstered by decades of careful research and seeks a more efficient, targeting of scarce resources to where they will be most effective. It aims to enhance long-term public safety, reduce the collateral damage crime inflicts on children, families, victims and neighbors.

- Senior and Adult Independent Living Program – Funds will be provided through the County general fund and Area Agency on Aging to provide in home case management for the elderly and the physically disabled age 18 to 59. The goal is to keep people in their own homes as long as possible.
- Community Action Program (CAP) – Areas of service include Maricopa County except Glendale, Phoenix and Mesa; who have their own programs. Funds will be provided through private, local, county, and federal funding to assist the elderly, disabled, and other low and moderate income residents with a menu of services. Services may include information and referral, utility deposits and payments, rent/mortgage deposits and assistance, eviction prevention, food pantries, gas and prescription assistance, head start programs, and IDA asset assistance. Programs are developed to ensure local needs are met.
- Weatherization Program – Funds will be provided through private and federal funds to provide an in home energy audit and weatherization assistance to elderly, persons with disabilities, families with children, and other low income residents.
- Utility Repair/Replacement Program – Funds will be provided through private funds to complete repair/replacement of utility related appliances for the elderly, disabled, families with children and other low income County residents.
- Head Start and Early Head Start – This division of HSD utilizes federal funds to provide comprehensive child development programs for children 0 – 5 years of age, pregnant women, and the families to prepare children for future success in school and to support parents as their child’s primary nurturer and educator.
- Workforce Development - Operates two comprehensive One-Stop Career Centers called Arizona @ Work: Maricopa County. Funds will serve job seekers and youth between the ages of 16-24 to help them further their education and employment goals. Arizona @ Work: Maricopa County is also conducting several pilot programs with services targeted at specific populations including US Veterans, individuals dealing with homelessness and those with criminal justice challenges.

Additionally, Maricopa County plans to use CDBG funds to assist 31 homeless or at risk of becoming homeless individuals through the Circle the City Medical Respite Shelter in FY2016-17.

Maricopa County dedicates ESG resources, and CDBG as discussed above, to support the regional effort to end homelessness; but the Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH Act) funds are a significant resource for permanent housing, transitional housing,

supportive services only, HMIS, and, in some cases, homelessness prevention. Although the HEARTH program funds Continuum of Care agencies directly, those agencies also depend on smaller contributions such as CDBG and without those resources would not be able to continue to assist the people they are already helping.

## **Discussion**

In order to address the housing and supportive service needs for persons who are not homeless but have other special needs (i.e. elderly, frail elderly, persons with disabilities (mental, physical, developmental, persons with alcohol or other drug additions), person with HIV/AIDS and their families), Maricopa County plans to undertake the following activities for the upcoming program year including fair housing activities to address potential discrimination for members of protected classes; education, prevention and emergency services for victims of domestic violence; and emergency home repair/homeowner rehabilitation for veterans with service-connected disabilities and low income households.

Please refer to the Appendix to review additional Consortium member contributions to this section.

## **AP-75 Barriers to affordable housing - 91.420, 91.220(j)**

### **Introduction**

This section discusses barriers to affordable housing that have been identified and actions that the Maricopa HOME Consortium including Maricopa Urban County plans to take to remove or ameliorate the negative effects of public policies. Maricopa County is committed to affirmatively furthering fair housing and overcoming identified barriers to affordable housing. These efforts have resulted in the creation of a Fair Housing Plan, development of specific goals and objectives to overcome barriers to affordable housing. As discussed in the FY2015-2020 Consolidated Plan SP-55 Barriers to Affordable Housing section, identified barriers included the cost of land, cost of construction and infrastructure, permit fees, impact fees, developer interest, taxes, zoning, and insufficient financial resources.

As identified in the 2015 Maricopa County Regional Analysis of Impediments to Fair Housing Choice, impediments to fair housing choice include lack of accessible housing and housing discrimination against persons with disabilities, lack of awareness of fair housing laws, the cost of affordable housing limiting housing choice, poor financial history of potential homebuyers, lack of transportation options in rural unincorporated Maricopa County, and distribution of resources.

### **Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

The actions planned to take place by the Maricopa HOME Consortium during the next year to remove barriers to affordable housing include:

- Fair Housing activities including outreach and education;
- Land donations when applicable;
- Reduce Permit fees when applicable;
- Reduce Impact fees when applicable;
- Community land trusts;
- Down payment assistance for income-qualified homebuyers; and
- Direct general fund for leverage or match for affordable housing.

Maricopa Urban County - Specific actions to address barriers to affordable housing during the year for the Urban County communities include cities/towns that may waive permit fees for HOME-assisted housing rehabilitation, which include Buckeye, El Mirage, Goodyear, Guadalupe, Wickenburg and Youngtown. Additionally, Maricopa County does not assess impact fees for residential development.

### **Discussion**

Please refer to the Appendix to review additional Consortium member contributions to this section.

## **AP-85 Other Actions - 91.420, 91.220(k)**

### **Introduction**

This section discusses Maricopa County's efforts in addressing underserved needs, fostering and preserving affordable housing, reducing lead-based paint hazards, reducing the number of poverty-level families, and developing institutional structures for delivering housing and community development activities.

### **Actions planned to address obstacles to meeting underserved needs**

Actions planned to address obstacles to meeting underserved needs within the Consortium include:

- The continued role of the Community Development Advisory Committee (CDAC) in recommendations to the Board of Supervisors regarding allocation, expenditure, contract performance, and reprogramming of CDBG, HOME, and ESG funds.
- Technical assistance by Maricopa County Human Services Department - Community Development Division staff to individual jurisdictions in managing, processing payments, and reporting on funded projects.
- Continued advocacy by members of the CDAC regarding the preservation of HUD resources for housing and community development.
- Continued participation on the MAG Continuum of Care Committee on Homelessness.
- Continued participation in Funder's Collaborative opportunities to align strategies to ending homelessness.

### **Actions planned to foster and maintain affordable housing**

Actions planned to foster and maintain affordable housing include the Strategies to Remove or Ameliorate the Barriers to Affordable Housing listed in the 2015-2020 Consolidated Plan Strategic Plan SP-55 section. Maricopa County will promote the use of energy efficient home improvements. Energy efficient improvements may include energy star appliances, ceiling fans, cellulose insulation, dual pane windows, low flow faucets, and xeriscape landscaping, and more.

Subject to local priorities, ordinances and the preferences of local governing bodies, members of the Maricopa HOME Consortium may pursue items that include, but are not limited to the following items:

- Waive or abate permitting and development fees for priority affordable housing development and targeted redevelopment approved by local governing bodies.
- Assess local zoning, subdivision, zoning and impact fees to foster affordable housing production pursuant to priorities set by local governing bodies.
- Grant density bonuses, clustering, rezoning of vacant land, flexible setback requirements, adaptive re-use, inclusionary zoning and other incentives to priority affordable housing projects for production and preservation.

- Implement expedited permit processing for priority affordable housing production.
- Encourage municipal and county Industrial Development Authorities (IDAs) to allocate surplus revenues for locally identified affordable housing and revitalization priorities and uses by agreement with local governing bodies. Encourage annual reporting by IDAs to local governing bodies on the investment and volume of surplus revenues.
- Monitor and update building codes to provide for cost effective construction and quality manufactured housing development.
- Monitor to identify and remedy projects out of compliance, thereby enhancing and preserving the existing supply of multi-family units.
- Promote the use of alternative labor in housing programs through self-help initiatives.
- Continue to foster the use of alternative durable building materials.
- Continue to inventory surplus local and county land and improvements for their use in affordable housing production. Execute land banking where prudent and feasible pursuant to local objectives.
- Continue to investigate the feasibility of enacting impact fee exemptions, or partial abatements, for priority non-profit sponsored residential development for persons earning up to 80% Area Median Income and priority profit-sponsored residential development for benefiting the lowest income consumer possible in light of individual market conditions and personal income within a given jurisdiction.
- Work with the Arizona Housing Finance Authority or other State housing commissions to explore state statutes that authorize tax increment financing, alter the commercial lease excise tax for more favorable provisions for affordable residential properties or housing and affirm the exemption of nonprofits from sales and property tax provisions.
- Establish a dialogue with the Maricopa IDA leading toward the initiation of demonstration multi-family initiatives utilizing the HUD 542 risk sharing and LIHTC programs, joint funding for multi-family and homeless/supportive housing development, public purpose provisions associated with multi-family refundings, etc.
- Establish a dialogue with the Maricopa IDA leading toward the initiation of joint funding for affordable single-family housing production, sustained reporting on bond financing activity to the Consortium and single-family Mortgage Revenue Bond project structuring addressing member objectives.

### **Actions planned to reduce lead-based paint hazards**

The Maricopa HOME Consortium will continue to comply with all lead-based paint (LBP) requirements imposed by HUD and will continue to direct resources to eliminate lead-paint in its housing. The following actions will take place. Pursuant to CFR 36.900, Consortium members will continue to address, monitor, evaluate and reduce lead-based paint hazards throughout the community through its Housing Improvement Program, Emergency Rehabilitation Grant Program, and Rental Reinvestment Rehabilitation Programs. Consortium members will approach all pre-1978 units participating in its Rehabilitation programs with a presumption of lead-paint hazards. Contract with Environmental

Protection Agency (EPA) certified lead paint firms for assessment and abatement activities in the rehabilitation programs. Lead-based paint requirements for rehabilitation programs using CDBG and HOME funds fall into three categories based on the amount of rehabilitation assistance committed. Consortium members will follow the procedures articulated below. Consortium members will follow the three procedures as articulated in the Consolidated Plan Lead Based Paint Hazards section SP-65.

In order to reduce the number of housing units containing lead-based paint hazards, housing programs require that rental units receiving tenant based rental assistance are required to meet minimum quality standards. For units built prior to 1978, to be occupied by a family with a child under six years old, all chipped and peeling paint will be removed prior to assisted occupancy. Rental units constructed before 1978 and rehabilitated with CDBG or HOME assistance are tested and all lead paint abated as part of the rehabilitation contract. All homes constructed before 1978 and rehabilitated with CDBG or HOME assistance will comply with HUD LBP requirements. This activity will increase the inventory of lead-safe housing available to extremely low-income, low-income, and moderate-income families in Maricopa County.

### **Actions planned to reduce the number of poverty-level families**

As described in the Strategic Plan section of the FY2015-2020 Consolidated Plan, the Anti-Poverty Strategy is the strategy to improve the capacity of individuals for economic self-sufficiency. Funding for anti-poverty activities in Maricopa Urban County during the next year will come from resources other than the Community Development Block Grant. Anti-poverty activities will include:

- Minority Business Enterprise/Women’s Business Enterprise and Section 3 opportunities in procurement
  - Resident Opportunity and Self-Sufficiency Programs for assisted housing residents
  - Workforce Development
  - Support services to TANF families
  - Anti-crime and employment and training programs
  - Business retention, creation and start up
  - Community Action Programs
  - Head Start Programs
- Affordable housing programs that serve formerly homeless families.

Maricopa County’s Human Services Department continues to operate two comprehensive One-Stop Career Centers called Arizona @ Work: Maricopa County. Services offered include:

- Skill and aptitude assessment
- Career counseling
- Resume instruction and review
- Labor market and occupational demand information
- Supportive services (personal, family and emergency)
- Supplemental financial assistance for select local training/education programs

- State-of-the art computer labs and office equipment
- Job search and job readiness workshops (assessments, resumes, basic computer, job searching, interviewing and Job Clubs)
- Assist job seekers in loading their resume on the state’s online job board, azjobconnection.gov, to improve search-ability by employers, job developers and Arizona @ Work’s Business Services team; and improve job leads/employment connections.

In addition to the two One-Stop Centers, Arizona @ Work: Maricopa County operates four youth hubs where services are offered to youth between the ages of 16-24 to help them further their education and employment goals. Arizona @ Work: Maricopa County is also conducting several pilot programs with services targeted at specific populations including US Veterans, individuals dealing with homelessness and those with criminal justice challenges.

**Actions planned to develop institutional structure**

Maricopa County will research and build upon existing partnerships, and with respect to specific gaps in institutional structure will work to create new partnerships with public, private, non-profit, and philanthropic organizations. During the next year the following actions will be taken to improve and impact the institutional structure of the delivery of HUD grants and Community Development services in the Urban County:

- Maricopa County is working with the Continuum of Care to foster a coordinated entry system for homeless service programs.
- Maricopa County will continue participation in existing part such as 25 Cities, Zero: 2016, ESG Collaborative, Performance Standards and Data Quality (PSDQ), HEART Work Group, Ending Homelessness Advisory Council (EHAC), and the Continuum of Care board.
- Maricopa County will continue to serve as the administrator for the Urban County Community Development Block Grant and as the Lead Agency for the Maricopa HOME Consortium.
  - Maricopa County will continue to develop linkages between services provided under the umbrella of the Maricopa County Human Services Department. The Divisions of Head Start, Community Services, Senior and Adult Services, and Workforce Development work closely with the Community Development Division. The combined efforts strengthen all divisions and result in a continuum of care encompassing homelessness prevention, rent and utility assistance, help finding employment and/or obtaining training, stable housing, links to homeownership opportunities, and tools for long term financial stability.
  - Maricopa County will leverage non-federal funding for Home Improvement/Rehabilitation Programs to assist the communities in the Urban County.

**Actions planned to enhance coordination between public and private housing and social service agencies**

Coordination between public and private agencies allows these programs to work effectively. Maricopa County benefits from strong partnerships forged with the Regional Continuum of Care, local non-profit organizations, Housing Authority of Maricopa County, participation on the Arizona Fair Housing Partnership Steering Committee, and participation on the Arizona Partnership for Healthy Communities Steering Committee and Capacity Building Workgroup.

The Urban County continues to strive for collaboration and fiscally responsible projects. The communities of Buckeye, El Mirage, Gila Bend, Goodyear, Guadalupe, Queen Creek, Tolleson, Wickenburg, and Youngtown, and the unincorporated areas of the County are represented on the Community Development Advisory Committee (CDAC). The CDAC is responsible for advising the Maricopa County Board of Supervisors on the annual allocations of CDBG, HOME, and ESG funds. The participation in a public forum guided by adopted by-laws and mandatory community development objectives of each participating community is intended to provide a reasonable distribution of the available HUD resources, and provide for broader citizen participation in the planning process. Maricopa County Human Services Department, Community Development Division (MCHSD), issues Notices of Funding Availability (NOFAs) each year to Urban County participants and interested parties announcing the availability of CDBG, HOME, and ESG funds. Interested parties submit applications identifying a local community need(s) affecting low/moderate income residents. Each governmental applicant is asked to submit a resolution from the local community identifying the need(s) and local support for the activity. MCHSD staff reviews CDBG, HOME, and ESG applications. CDBG applications are forwarded to CDAC for scoring and final recommendation of funding.

Members of the HOME Consortium regularly coordinate with private industry, businesses, developers, and social service agencies with respect to economic development. Members also work closely with the real estate, construction and finance industries to implement other ongoing HOME and CDBG supported housing rehabilitation and production activities. Maricopa HOME Consortium members competitively award HOME and CDBG funding as applicable to local and federal laws. As a result, a portion of Maricopa HOME Consortium's HOME funds throughout the county are competitively awarded to non-profit organizations, e.g. Habitat for Humanity for single-family housing new construction, owner occupied housing rehabilitation, or new construction of multi-family housing. In some cases, the Maricopa County CHDOs also coordinate with social service agencies to provide activities such as homeownership counseling, career development, youth enrichment and achievement, and day care centers. Additional coordination activities by members with social service agencies are discussed at length throughout the FY2015-2020 Consolidated Plan.

## **Discussion**

Please refer to the Appendix to review additional Consortium member contributions to this section.

## Program Specific Requirements

### AP-90 Program Specific Requirements - 91.420, 91.220(I)(1,2,4)

#### Introduction

It is anticipated that the Urban County will not have CDBG program income this fiscal year and there is not program income received in the preceding program year. Refer to each Consortium member's Annual Action Plan for expected CDBG program income.

Please see Appendix for AP-90 Supplementary Information regarding CDBG, HOME, Affordable Homeownership Limits, Resale/Recapture, and additional information.

#### Community Development Block Grant Program (CDBG)

##### Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>0</b>

#### Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income.  Specify the years covered that include this Annual Action Plan.	100.00%  2015, 2016, 2017

**HOME Investment Partnership Program (HOME)**  
**Reference 24 CFR 91.220(l)(2)**

**1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:**

Maricopa HOME Consortium does not use any other form of investment not described in §92.205(b).

**2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:**

Please refer to the Appendix to review Maricopa County Supplemental Information and additional Consortium member contributions to this section.

**Recapture:**

The Maricopa HOME Consortium Program under most circumstances uses the Recapture Provision for homeownership projects undertaken by the Consortium or its subrecipients (except as noted below under Resale). The homebuyer assistance is secured by means of a note and a deed of trust by the low-income household being assisted. The amount of the note and deed of trust is the “homebuyer assistance”. It includes:

Any HOME funds provided to the buyer at the time of purchase (down payment, closing cost or housing rehabilitation) to assist with the purchase, whether provided directly by the program administrator or by the developer using funds provided by the program; and

Any reduction in the purchase price from fair market value to an affordable purchase price or amount required to be contributed by the buyer through buyer funds or first mortgages.

If there is no direct homebuyer assistance and a development subsidy is provided, then the resale method outlined below must be used.

**Resale:**

Under most circumstances, the recapture provisions outlined above will be used in HOME homebuyer activities. However, resale restrictions may be used under the following circumstances:

- When HOME Program funds are used only as a development subsidy for the construction or renovation of homeownership housing, and no homebuyer assistance is provided, and
- When a land trust owns the property. In the case of a land trust, the HOME resale restrictions will be enforced through the land trust ground lease mechanism.

Resale restrictions will ensure that housing assisted with HOME funds is made available for resale only to HOME program eligible low-income households that will use the property as their principal

residence. A Memorandum of Ground Lease and Right of First Refusal are recorded. A “Performance” Deed of Trust is also recorded with the developer as the beneficiary; this ensures the developer is notified in the event the owner of the improvements attempts to refinance or transfer the property.

HOME regulations 24 CFR 92.254 (a)(5)(i)(A) allows for the restriction to be extinguished by a third-party lender in the event of foreclosure, transfer in lieu of foreclosure or assignment of an FHA mortgage in order to clear title. The County permits CHDOs and Consortium Members to use rights of first refusal or other means to intervene and preserve the affordability of the unit.

**3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:**

**Recapture:** The affordability period specified in the note and deed of trust (DOT) is the minimum period for the project as specified in 24 CFR 92.254 (a), sections (4) and (5). The affordability period is determined by the amount of HOME assistance. The amount subject to Recapture: In all but the cities of Glendale and Avondale, if the housing does not continue to be the principal residence of the assisted household, whether voluntary or involuntary, due to sale, foreclosure, or any other event, the note and DOT will require repayment of the full amount of HOME funds subject to recapture at the time the event occurred. The lien covers the full amount to be recaptured. The Recapture mechanisms used to secure the affordability of the HOME assisted unit are recorded in accordance with state law. The exceptions, the Cities of Glendale and Avondale permit the amount subject to recapture to be reduced based on a pro rata reduction during the affordability period. In all cases, the amount to be repaid is the subsidy provided directly to or on behalf of the homebuyer for closing costs and is limited to the net proceeds of the sale, which is defined as the sales price minus the first lien and seller paid closing costs and includes only the following items to the extent actually incurred: brokers commission, reasonable attorney fees, and any transfer tax or recording fee payable by the seller.

**Resale:** The affordability period is determined by the total investment of HOME funds in the unit, regardless of whether or not the funds are reflected in buyer financing. If the assisted property is sold while under the resale restrictions, the home must be sold to a HOME eligible buyer approved by either the County, Consortium Member or CHDO. The price at resale must provide the original HOME-assisted homebuyer a fair return on the investment and be affordable to a reasonable range of low-income buyers. Therefore, sales price during affordability can occur at market value with the following limitations.

Fair return on Investment: is defined as the purchase price, plus 25% of the increase in value at the time of resale based on the valuation performed by a duly licensed appraiser. The appraisal will be the objective standard used at the time of the original purchase and at resale. Any capital improvements will be determined by the assessed value of the appraisal at the time of resale. The price shall not exceed a price that results in net proceeds (after first lien and sales costs) to the seller that exceeds: the reimbursement of the original owner's investment including down payment and

closing costs made at the time of initial purchase, if any; the value of capital improvements to the property as determined by an appraisal, if any; the principal amortized on the first lien during the period of ownership.

Affordable to a range of low-income buyers: The housing must remain affordable to the subsequent purchaser during the HOME period of affordability. The housing will be considered affordable if the subsequent purchaser's monthly payment of principal, interest, taxes and insurance do not exceed 30% of the gross income of a qualified low-income family with an income less than 80% of area median income for the area. If the property is no longer affordable to qualified homebuyers at the time of resale, the County, Consortium Member, or CHDO may take steps to bring the property acquisition cost to a level that is affordable by layering HOME subsidy in the form of down payment assistance and extending the affordability period. This may result in the actual sales price being different to the seller than to the subsequent homebuyer. Upon the resale of the home, the property must pass local building codes for existing housing. The County shall determine who is responsible for the necessary repair costs to bring the property up to standards.

**4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:**

The Consortium does not permit the use of HOME funds to refinance existing debt.

**Emergency Solutions Grant (ESG)  
Reference 91.220(l)(4)**

**1. Include written standards for providing ESG assistance (may include as attachment)**

Maricopa County has adopted performance standards for evaluating ESG that are in line with the Maricopa Regional Continuum of Care. These Standards of Excellence are included as an attachment and are subject to revision throughout FY16-17.

**2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.**

The Continuum of Care (CoC) has an established Coordinated Entry System (CES) that meets HUD requirements. The importance of having a CES, common assessment procedures and effective methods for matching individuals or families with the most appropriate resources has gained acceptance in recent years as a best practice for communities across the nation. A coordinated entry process makes it more likely that families and individuals will be served by the right intervention more quickly. In a coordinated system, each system entry point uses the same assessment tool and makes decisions on which programs families and individuals are referred to based on a comprehensive understanding of each program's specific requirements, target population, and available beds and services.

Decisions approved by the Continuum of Care Board on homelessness to date include: Adopted Guiding Principles (August 2012); Decided Coordinated Assessment will be regional in scope with access points in Phoenix as well as the east and west valley and mobile/outreach option with the same policies and procedures (August 2012); CoC approved a regional Coordinated Assessment Tool: VI-SPDAT, SPDAT, F-VI-SPDAT, F-SPDAT (August 2013); CoC approved Phase-One-Phoenix roll out of Coordinated Assessment in Phoenix for singles at the Human Services Campus and families at UMOM (August 2013); The Continuum of Care approved an 85% acceptance rate for referrals (July 2014); The Continuum of Care approved Coordinated Entry prioritization (July 2014). To date the CES has made the following progress: The Family Housing Hub opened the region's first coordinated access point for homeless families in the summer of 2014, and is open Monday through Thursday from 8:00 a.m. to 4:00 p.m. The Family Housing Hub began on-boarding family providers monthly and developed a one-page referral form. Family Housing Hub partnered with Mesa CAN for East Valley assessments on Tuesdays from 8:00 a.m. to 4:00 p.m. The Welcome Center at the Human Services Campus became the region's first coordinated access point for singles in November 2013, and is open Monday through Friday from 6:00 a.m. to 8:00 p.m. More than 11,500 VI-SPDAT assessments have been completed as of January 2016.

**3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).**

The Maricopa County Human Services Department follows Maricopa County policy on grant award

and management. Provider agencies for ESG are currently selected through an open and competitive process to provide rapid rehousing for persons experiencing homelessness and to provide temporary shelter. As described in Section PR-10, Maricopa County is in a process of continuous consultation with the Maricopa Regional Continuum of Care (CoC), and the process for making Subrecipient awards will be established in collaboration with the CoC. For FY 2016-17, pursuant to Maricopa County and Arizona procurement guidelines, Maricopa County will make awards through an open and competitive Notice of Funding Availability process.

**4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.**

Maricopa County Human Services Department is committed to consulting with homeless or formerly homeless through its consultation with the Maricopa Regional Continuum of Care which has at least one formerly homeless individual as a board representative. Subrecipients who receive ESG funding to operate emergency shelters and rapid re-housing programs have been surveyed and consulted about services and programs that receive ESG funding. Maricopa County also participates in a robust partnership that is working to end homelessness by starting at the Human Services Campus in Phoenix. The County has consulted with other members of the partnership that represent emergency shelters or other homeless services. The County also plans to utilize the newly created Homeless Program Manager position at MCHSD to assist in reaching out and consulting with formerly homeless individuals.

Maricopa County Human Services Department does not currently have homeless or formerly homeless persons on the Community Development Advisory Committee nor does the Maricopa County Board of Supervisors, which is the final approval authority for Maricopa County. A representative from a district is called a Supervisor. Currently, Maricopa County has five (5) districts and five (5) Supervisors. Together, the five (5) district representatives, or Supervisors, form the Board of Supervisors.

**5. Describe performance standards for evaluating ESG.**

Maricopa County has adopted performance standards for evaluating ESG that are in line with the Maricopa Regional Continuum of Care. These Standards of Excellence are included in the Appendix of this plan for reference. The Standards include: performance goals and indicators, operating standards, suggested practices, and system recommendations for Emergency Shelters and Rapid Re-Housing. They also include recommendations for Outreach Shelters, Permanent Supporting Housing, and Transitional Housing.

**Discussion**

Please see discussions above.

# Attachments and Appendix

## Citizen Participation Comments

### **Maricopa Urban County and HOME Consortium Citizen Participation Summary**

As discussed in AP-12 Participation, for Maricopa County, efforts involved included public notices in PHA, library, and HSD buildings; public notices in newspapers, public hearings and public meetings, as well as website outreach on the HSD website. Two public hearings were held to solicit input held on January 21 and January 27, 2016, and public hearings on the draft Annual Action Plan held on March 17, 2016 and April 13, 2016. A 30-day public comment period for public review of the draft Annual Action Plan began on March 11, 2016 and extended through April 13, 2016. At the end of the 30-day period, there were no comments received. Efforts undertaken by Urban County members often involved their own citizen participation endeavors. The citizen participation activities undertaken by the entitlement members of the Maricopa HOME Consortium are extensive and are outlined in depth in their respective Annual Action Plan submissions to HUD. Taken as a whole, the combined efforts of all members of the Maricopa HOME Consortium and Maricopa Urban County represent a very extensive citizen participation achievement.

**Daily News-Sun**

10102 Santa Fe Drive  
Sun City, AZ 85351  
623.977.8351  
Fax 623.876.2589

**East Valley Tribune**

1620 W. Fountainhead Pkwy, Suite 219  
Tempe, AZ 85282  
480.898.6466  
Fax 480.898.6463

**Affidavit of Publication**

I, Penny Cross, Legal Clerk, am authorized by the publisher as agent to make this affidavit of publication. Under oath, I state that the following is true and correct.

The Daily News-Sun is a newspaper which is published daily, is of general circulation and is in compliance with the Arizona Revised Statutes 10-140.34 & 39-201.A & B. I solemnly swear that the notice as per copy attached, was published in the regular and entire section of the said newspaper and not in any supplement. The below listed advertisement appeared in the following issue (s):

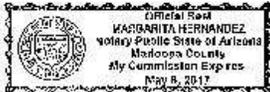
**DATES OF PUBLICATION:**

- 1) JANUARY 14, 2016
- 2) N/A
- 3) N/A
- 4) N/A

*Penny Cross*  
Legal Clerk

State of Arizona  
County of Maricopa

Subscribed and sworn to before me, in my presence, this 18 day of JANUARY, 2016



*Margarita Hernandez*  
\_\_\_\_\_  
Notary Public

Ad caption: Consortium + Urban Co Public Hearing Ad #17391900 \$301.47

Note: The customer is responsible for filing this document with the appropriate office.

**NOTICE OF PUBLIC HEARING:  
MARICOPA COUNTY  
FY2016-2017 ANNUAL ACTION PLAN**

**NOTICE IS HEREBY GIVEN** that Maricopa County will hold Public Hearings to obtain citizen input and comment on the FY2016-2017 Annual Action Plan.

- Maricopa HCME Consortium meeting will be on Thurs., Jan. 21, 2016 at 9:30 a.m. in Classroom 3, 234 N Central Ave, Fl 1A, Phoenix, 85004.
- Community Development Advisory Committee (CDAC) will be on Wed. Jan. 27, 2016 at 6:30 p.m., Roosevelt Bldg., 234 N Central Ave, 3rd Fl, Phoenix, 85004.

**Hearings**

Maricopa County is in the process of preparing the HOME Investment Partnerships Program (HOME), Community Development Block Grant (CDBG), and Emergency Solutions Grant (ESG) Annual Action Plan for FY2016-2017. The purpose of these Hearings is to solicit citizen views and comments regarding housing, and community development needs and goals for the HOME, CDBG, and ESG funding between July 1, 2015 and June 30, 2017 (year 2 of 5 of the Consolidated Plan). Interested parties may provide verbal or written comments on the FY2015-2016 Annual Action document.

**Proposed use of Federal Funds:**

Anticipated FY2016-2017 funding to Maricopa County is approximately:

- \$2,800,000 HOME- Funds will be distributed to HOME Consortium members proportionally. 15% of total allocation will be awarded to Community Development Housing Organizations (CHDOs). CHDO funds can be allocated anywhere in the Consortium service area.
- \$2,500,000 CDBG- Funds will be awarded to projects in Urban County communities.
- \$25,000 ESG- Funds will be awarded to projects that serve homeless individuals or families.

**Applicant Presentations**

CHDOs that applied for HOME funding under the Community Housing Development Organization (CHDO) 15% set-aside are invited to make brief presentations of their proposed projects at the 1/21/16 HOME Consortium meeting, above. CDBG Applicants are invited to make brief presentations of their proposed CDBG projects at the 1/27/16 meeting, above.

For additional information, please call Maricopa County Community Development at (602) 872-1528.

*Accommodations for individuals with Disabilities or English translation needs - For assistance call Human Services, 234 North Central, 3rd Floor, Phoenix, Arizona 85004, (602) 506-5911 or TDD/TTY (602) 506-4802. To the extent possible, additional reasonable accommodations will be made available within time constraints of the request.*

*Para información en español, favor de comunicarse a las oficinas de Maricopa County Human Services a 602-506-5911.*



Published: Daily News-Sun, January 14, 2016 and East Valley Tribune, January 14, 2016/ 17991900

# WEST VALLEY BUSINESS

1050 E. Riley Dr., Avondale, AZ 85323 ♦ (623) 535-8439 ♦ Fax: (623) 935-2103

## AFFIDAVIT OF PUBLICATION

State of Arizona

County of Maricopa

I, Elliott Freireich, publisher of West Valley View and West Valley Business, newspapers of general circulation in Avondale, Buckeye, Goodyear, Litchfield Park and Tolleson, Arizona, attest that the legal advertisement for

Maricopa County Human Services Department  
Public notice - Notice of Public Hearing:  
Maricopa County FY 2016-2017 Annual Action Plan  
Meeting will be Thursday January 21, 2016 at 9:30 am  
Classroom 1, 434 N Central Ave, Fl 1A, Phoenix, AZ 85004

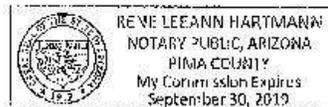
will be / has been published on January 13, 2016

Elliott Freireich  
President, West Valley View Inc.

January 13, 2016  
Date

SUBSCRIBED AND SWORN TO BEFORE ME ON THE  
13 DAY OF January (Month), 2016 (YEAR)

NOTARY SIGNATURE: Reve Leeann Hartmann



W:\WV\_LJ2\WVbiz Center\STAFF\AVINDO

**Public Notice**

**NOTICE OF PUBLIC HEARING:  
MARICOPA COUNTY  
FY2016-2017 ANNUAL ACTION  
PLAN**

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Para información en español,

levar de comunicar a las oficinas de Maricopa County Human Services a 802-506-5911.



Published in the West Valley View, and the West Valley Business on January 13, 2016.

**Daily News-Sun**

10102 Santa Fe Drive  
Sun City, AZ 85351  
623.977.8357  
Fax 623.876.2589

**East Valley Tribune**

1620 W. Fountainhead Pkwy, Suite 219  
Tempe, AZ 85282  
480.898.6466  
Fax 480.898.6463

**Affidavit of Publication**

I, Penny Cross, Legal Clerk, am authorized by the publisher as agent to make this affidavit of publication. Under oath, I state that the following is true and correct:

The Daily News-Sun is a newspaper which is published daily, is of general circulation and is in compliance with the Arizona Revised Statutes 10-140.34 & 39-201.A & B. I solemnly swear that the notice as per copy attached, was published in the regular and entire section of the said newspaper and not in any supplement. The below listed advertisement appeared in the following issue (s):

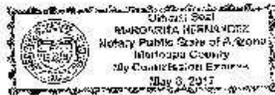
**DATES OF PUBLICATION:**

- 1) MARCH 5, 2016 DAILY NEWS-SUN
- 2) MARCH 6, 2016 EAST VALLEY TRIBUNE
- 3) N/A
- 4) N/A

*Penny Cross*  
Legal Clerk

State of Arizona  
County of Maricopa

Subscribed and sworn to before me, in my presence, this 7<sup>th</sup> day of MARCH, 2016



*Margherita Hernandez*  
Notary Public

Ad caption: 2016 CDBG HOME ESG Annual Action Plan Cost: \$1277.92

Note: The customer is responsible for filing this document with the appropriate office.

**WOMEN OF COLOR LEADERSHIP AND SOLUTIONS FOR PUBLIC COMMUNITY ON THE MARRICOPA COUNTY COURTS**  
 A meeting for the Women of Color Leadership Initiative will be held on the 14th of February 2016 at the Maricopa County Courthouse. The purpose of this meeting is to provide a platform for women of color to share their experiences and perspectives on the court system. The meeting will be held from 10:00 AM to 12:00 PM. The meeting is free and open to all women of color who are interested in the court system. For more information, please contact the Women of Color Leadership Initiative at (602) 974-1234.

**PUBLIC HEARINGS**  
 Maricopa County Health Department  
 Health Department  
 1000 W. McDowell Ave., 1st Floor  
 Phoenix, AZ 85003  
 April 2, 2016, 10:00 AM  
 Health Department  
 1000 W. McDowell Ave., 1st Floor  
 Phoenix, AZ 85003

**PROPOSAL SUBMISSION TO THE COMMISSION FOR THE YEAR 2016**  
 The Maricopa County Health Department is seeking proposals for the year 2016. The proposals should be submitted to the Commission for the Year 2016. The Commission will review the proposals and select the most qualified applicant. The deadline for submission is April 15, 2016. For more information, please contact the Commission for the Year 2016 at (602) 974-1234.

**ANNUAL BUDGET MEETING**  
 The Maricopa County Health Department is holding an annual budget meeting. The meeting will be held on April 15, 2016, at 10:00 AM. The meeting is open to all employees of the Health Department. For more information, please contact the Human Resources Department at (602) 974-1234.

**HOPE COMMUNITY PERSONAL DEVELOPMENT**  
 The Maricopa County Health Department is offering a personal development program for the community. The program is designed to help individuals improve their skills and abilities. The program is free and open to all individuals who are interested in personal development. For more information, please contact the Hope Community at (602) 974-1234.

**HOPE COMMUNITY SERVICES**

Service	Cost
Personal Development	\$200.00
Health Services	\$150.00
Community Outreach	\$100.00
Program Management	\$50.00
Administrative Support	\$25.00
Marketing and Promotion	\$12.50
Travel Expenses	\$6.25
Printing and Reproduction	\$3.13
Telephone Expenses	\$1.56
Miscellaneous	\$0.78
<b>Total</b>	<b>\$587.50</b>

**HOPE COMMUNITY SERVICES**  
 The Maricopa County Health Department is offering a variety of services to the community. The services include personal development, health services, community outreach, program management, administrative support, marketing and promotion, travel expenses, printing and reproduction, telephone expenses, and miscellaneous. For more information, please contact the Hope Community at (602) 974-1234.

Project Name	CRS#
Project Name	0223.032
Site Name - Security Center	0223.032
Location - Phoenix	0223.032
Address - Phoenix	0223.032
City/County - Phoenix	0223.032
Area District	0223.032
Arizona County - Maricopa	0223.032
Address	0223.032
Address 2	0223.032
Address 3	0223.032
Address 4	0223.032
Address 5	0223.032
Address 6	0223.032
Address 7	0223.032
Address 8	0223.032
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# WEST VALLEY BUSINESS

1050 E. Riley Dr., Avondale, AZ 83323 ♦ (623) 535-8439 ♦ Fax: (623) 935-2103

## AFFIDAVIT OF PUBLICATION

State of Arizona

County of Maricopa

I, Elliott Freireich, publisher of West Valley View and West Valley Business, newspapers of general circulation in Avondale, Buckeye, Goodyear, Litchfield Park and Tolleson, Arizona, attest that the legal advertisement for

Maricopa County Human Services Department  
Public Notice - Public Hearing and Public Comment  
on the Maricopa Home Conservation FY 2016 Annual  
Action Plan (Year 2 of 5) Substantial Amendment to the  
5 yr Consolidated Plan for FY 2015-2020 and the FY2015-2015  
CAPEK Programs will be on March 17 and April 13, 2016.

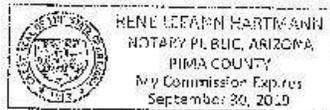
will be / has been published on March 9, 2016

Elliott Freireich  
President, West Valley View Inc.

March 9, 2016  
Date

SUBSCRIBED AND SWORN TO BEFORE ME ON THE  
9 DAY OF March (Month), 2016 (YEAR)

NOTARY SIGNATURE: Rene LeeAnn Hartmann



WSRV, 5726 VR / C:\msd\STAFF\TVB\B7

**Public Notice**

**NOTICE OF PUBLIC HEARING AND SOLICITATION OF PUBLIC COMMENTS ON THE MARICOPA HOME CONSORTIUM FY2018 ANNUAL ACTION PLAN (YEAR 2 OF 3), SUBSTANTIAL AMENDMENT TO THE 5 YR CONSOLIDATED PLAN FOR FY2015-2020 AND THE FY2014-2015 CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPEP).**

Maricopa Urban County and the Maricopa HOME Consortium will hold two public hearings for the purpose of obtaining citizen input and comment on the draft FY2018 Annual Action Plan (AAP), proposed amendments to the Maricopa HOME Consortium and Urban County Five-Year Consolidated Plan (CP) for FY2015-20 (HUD Program Year 2015-2019), and FY2014-15 Consolidated Annual Performance and Evaluation Report (CAPEP).

The Maricopa HOME Consortium consists of Maricopa County (lead agency) and the municipalities of Avondale, Chandler, Gilbert, Glendale, Peoria, Scottsdale, Surprise, Tempe and Maricopa Urban County. The Urban County consists of approximately 11 incorporated cities and towns, 15 unincorporated communities, and the balance of unincorporated Maricopa County.

**PUBLIC HEARINGS**

Maricopa HOME Consortium Meeting

March 17, 2016, 9:30 a.m., Security Bldg, 234 N. Central Ave., 3rd Floor, Phoenix, AZ 85004.

Community Development Advisory Committee Meeting

April 13, 2016, 6:30 p.m., Security Bldg, 234 N. Central Ave., 3rd Floor, Phoenix, AZ 85004.

**PROPOSED AMENDMENT TO THE CONSOLIDATED PLAN FY2015-2020**

The Maricopa County Human Services Department proposes to add housing and other possible priorities as Consolidated Plan funding priorities for Community Development Block Grant (CDBG) funds.

**ANNUAL ACTION PLAN (FY2016-2017)**

The AAP refers primarily to the housing and community development needs and intended uses of HOME Investment Partnerships Program (HOME) resources by the Urban County and Maricopa HOME Consortium (Consortium) in FY2016, as well as Community Development Block Grant (CDBG), and Emergency Solutions Grant (ESG) resources of the Urban County for FY2016. Maricopa County expects to receive the following funds from HUD in FY16-17:

- \$3,306,878 HOME
- \$2,736,004 CDBG
- \$239,775 ESG

**HOME CONSORTIUM PROPOSED ALLOCATION BY JURISDICTION FOR FY16-17**

The Consortium anticipates receiving \$3,306,878 in HOME funds for FY2016-17 from the U.S. Department of Housing and

Urban Development (HUD) and will be distributed to Consortium members as shown in the HOME table below:

**See Chart A.**

15% of total allocation was allocated to CHDO. CHDO funds can be allocated anywhere in the Consortium service area based on a competitive application process beginning of each calendar year.

**URBAN COUNTY CDBG PROPOSED ALLOCATION FY16-17:**

Maricopa Urban County anticipates receiving \$2,736,004 in CDBG funds for FY2016-17 from HUD and will be distributed to projects listed in the AAP. The amount below includes \$522,268 in reallocated funds.

**See Chart B.**

The commitment of CDBG resources for affordable housing varies for each Consortium member. For details regarding the use of CDBG funds, please contact each member individually. The CP and FY2016 AAP for each Consortium member may also be consulted.

**URBAN COUNTY ESG PROPOSED USE AAP FY16-17:**

Maricopa Urban County anticipates receiving \$289,473 in ESG funds for FY2016-17 from HUD and will be distributed to projects listed in the AAP.

**See Chart C.**

Within the HOME Consortium, the City of Glendale is also a recipient of ESG funds. For details regarding the use of Glendale's ESG funds, please contact the City of Glendale individually. The CP and FY2016 AAP for Glendale may also be consulted.

**REVIEW DRAFT PLANS**

Copies of the HOME Consortium draft AAP, amendments (if any) to the FY2015-2020 CP, amendments (if any) to the FY2016 AAP, and amendments (if any) to the FY2014 CAPEP, will be available for formal review and comment from March 11, 2016 to April 15, 2016 at the following locations during regular business hours: 8am - 5pm, Monday-Friday.

Maricopa County Human Services Department, 234 N. Central Ave., 3rd Floor, Phoenix, AZ

Housing Authority of Maricopa County, 2024 N 7th Street, Suite 101, Phoenix, AZ

Documents are also available at [www.hsd.maricopa.gov/nc](http://www.hsd.maricopa.gov/nc).

**COMMENTS**

Comments on the draft documents and the proposed amendment to the Consolidated Plan should be directed to Amy Jacobson, Assistant Director, Maricopa County Human Services Department/Community Development Division, 234 N. Central Ave., 3rd Floor, Phoenix, AZ 85004, phone number (602) 508-5911, email [jacobsona@maricopacounty.gov](mailto:jacobsona@maricopacounty.gov). Persons with hearing impairments please use the Arizona Relay Service number /11, or TDD/TTY (602) 506-4802.

In addition, the members of the Consortium will prepare AAPs for their respective jurisdictions with separate public hearings and 30 day comment periods. Copies of each member's AAP include housing and non-housing community development needs

and strategies; the detailed use of CDBG, HOME, and ESG (if applicable to the member); and information on past performance. Contact the following individuals and organizations for copies of the AAP:

Matthew Hesse, City of Avondale, 1007 South 3rd Street, Avondale, AZ 85323, phone number (623) 353-2720, fax (623) 339-0270, email [mhesse@avondale.org](mailto:mhesse@avondale.org).

Barbara Bellamy, City of Chandler, PO Box 4008 Mail Stop 800, Chandler, AZ 85244, phone number (480) 762-4363, fax (480) 782-4350, email [bellamy@chandleraz.gov](mailto:bellamy@chandleraz.gov).

Andrew Carson, Town of Gilbert, 90 East Civic Center Drive, Gilbert, AZ 85296, phone number (480) 503-6956, fax (480) 503-6204, email [acarson@gilbertaz.gov](mailto:acarson@gilbertaz.gov).

Gilbert Lopez, City of Glendale, 8929 North 59th Drive, Suite 104, Glendale, AZ 85301, phone number (623) 330-3670, fax (623) 436-4134, email [gllopez@glendaleaz.com](mailto:gllopez@glendaleaz.com).

Juanne Gonzalez, City of Peoria, 9975 North 85th Avenue, Peoria, AZ 85345, phone number (623) 773-5140, fax (623) 773-7235, email [juanne.gonzalez@peoriaaz.gov](mailto:juanne.gonzalez@peoriaaz.gov).

Michelle Albanese, City of Scottsdale, 7515 East First Street, Scottsdale, AZ 85251, phone number (480) 312-2479, fax (480) 312-7761, email [michelle.albanese@scottsdaleaz.gov](mailto:michelle.albanese@scottsdaleaz.gov).

Christina Ramirez, City of Surprise, 16000 North Civic Center Plaza, Surprise, AZ 85374, phone number: (623) 222-3238, fax (623) 222-3001, email [christina.ramirez@surpriseaz.com](mailto:christina.ramirez@surpriseaz.com).

Ortiz Little, City of Tempe, PO Box 9002, Tempe, AZ 85280, phone number (480) 320-8860, fax (480) 350-8932, email [ortizl@tempe.gov](mailto:ortizl@tempe.gov).

Maricopa County does not discriminate on the basis of race, color, religion, national origin, familial circumstances, sex, handicap or age in any of its policies, procedures or practices. Accommodations for individuals with Disabilities or English translation needs - For assistance call Human Services, 234 North Central, 3rd Floor, Phoenix, Arizona 85004, (602) 506-5911. Or TDD/TTY (602) 506-4802. To the extent possible, additional reasonable accommodations will be made available within time constraints of the request.

Para información en español, favor de comunicarse a las oficinas de Maricopa County Human Services a 902-506-5911.

Published in the West Valley View, and the West Valley Business on March 3, 2016



Chart A

HOME Consortium Members	HOME Allocation (Includes Admin)
Avondale	\$134,000
Chandler	\$209,853
Gilbert	\$200,028
Glendale	\$514,116
Maricopa County (Urban County)	\$804,961
Peoria	\$180,041
Scottsdale	\$219,857
Surprise	\$136,692
Tempe	\$321,086
**CHDO Allocation	\$456,032
<b>HOME Total</b>	<b>\$3,506,478</b>

*HOME Urban County	Amount
Urban County Housing Rehabilitation	\$299,660
Urban County Affordable Housing Development	\$299,649
Grant Administration	\$205,262
<b>HOME Subtotal</b>	<b>\$804,571</b>

Chart B

Project Name	COU'S
Circle the City	\$225,893
Gila Bend - Community Center	\$575,800
Goodyea - Waterline	\$138,222
Guadalupe - Repavement	\$388,800
Maricopa County - Emergency Home Repair	\$210,000
Maricopa County - Homeless Services	\$115,000
Rosita Shelter - Shelter	\$89,400
Wickenburg - Waterline	\$580,250
Youngtown - Street Lighting	\$271,322
Program Administration	\$847,110
CPBC Contingency (5%)	\$136,778
<b>COU'S Total</b>	<b>\$4,207,575</b>

Chart C

Project Name	ESG
Shelter Operations	\$90,000
Rapid Re-Housing	\$132,000
Program Administration	\$17,473
<b>ESG Total</b>	<b>\$239,473</b>

# Grantee Unique Appendices

## Appendix

### APPENDIX

#### Contents

1. AP-90 Supplementary Information
  - a. CDBG Program Specific Requirement Supplement - Overall Benefit
  - b. HOME Specific Requirement - Maricopa County Affordability Discussion
  - c. Maricopa County HOME Recapture Affordability Period
  - d. Maricopa County HOME Resale Affordability Period
2. HOME Funded Activities – Supplementary Information
  - a. HOME Eligible Applicants
  - b. Affordable Homeownership Limits
3. Maricopa Consortium Member Contributions & Discussion
4. Maricopa Regional Continuum of Care Standards of Excellence

# APPENDIX

## AP-90 Supplemental Information

### CDBG Program Specific Requirement Supplement - Overall Benefit

Please see below for response to the question as posed:

#### Other CDBG Requirements

##### Question:

Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.

**Answer:** 2015, 2016, 2017

### HOME Specific Requirement - Maricopa County Affordability Discussion

#### Maricopa County HOME Recapture Affordability Period – Supplementary Info

Affordability Period. The period of affordability specified in the note and deed of trust will be the minimum period for the project as specified in 24 CFR 92.254 (a), sections (4) and (5). The following Table 1 outlines the required minimum affordability periods.

Urban County: Housing Rehabilitation provisions for HOME funded rehabilitations state that the loan is secured by the note and DOT and that the "Period of Loan" shall run for the number of years in which \$10,000 per year is forgiven up to the total cost of the repairs.

Urban County: Currently does not have a homebuyer assistance program.

#### Maricopa County HOME Resale Affordability Period – Supplementary Info

Affordability Period. The affordability period is determined by the TOTAL investment of HOME funds in the unit, regardless of whether or not the funds are reflected in buyer financing. The following Table 2 outlines the required minimum affordability periods for homebuyer projects that are subject to resale restrictions.

### HOME Specific Requirement - Consortium Member Discussion Regarding Resale vs Recapture Provisions

Please refer to Maricopa HOME Consortium Annual Action Plan Contributions.

# APPENDIX

## Additional Information Regarding Consortium Members Homebuyer Programs:

- For a complete description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, please refer to pages 27-28 of Maricopa HOME Consortium Annual Action Plan Contributions.
- Urban County: Currently does not have a homebuyer assistance program.

## Additional Information Regarding CHDOs:

HOME Consortium CHDO set-aside projects include the following:

Guadalupe CDC: Utilizes the recapture provision and the amount subject to recapture is 100% of the direct HOME subsidy.

Newtown CDC: Utilizes the resale provision for a Community Land Trust First Time Homebuyer Program. A Memorandum of Ground Lease and Right of First Refusal are recorded. A "Performance" Deed of Trust is also recorded with the developer as the beneficiary; this ensures the developer is notified in the event the owner of the improvements attempts to refinance or transfer the property. A Memorandum of Ground Lease and Right of First Refusal are recorded. This activity will be completed in the City of Tempe. Tempe will be the administrator of the contract with Newtown CDC.

If the homebuyer assistance in the unit is:	The period of Affordability Period is:
< \$15,000	5 Years
\$15,000 - \$40,000	10 Years
>\$40,000	15 Years

**Table 1 - Homebuyer Assistance Affordability Period**

If the total HOME investment in the unit is:	The period of Affordability Period is:
< \$15,000	5 Years
\$15,000 - \$40,000	10 Years
>\$40,000	15 Years

**Table 2 - Total HOME Investment Affordability Period**

# APPENDIX

## HOME Funded Activities – Supplementary Information

### HOME Eligible Applicants

Members of the HOME Consortium have developed and will adhere to the HOME Consortium Current Practices Manual as its local policies and procedures. As discussed in detail on AP- 35 Section along with additional HUD entitlement funds, within the Maricopa HOME Consortium HOME funds are distributed using the following allocation priorities:

HOME funds are allocated to each Consortium member based on the relative percentage of CDBG funds received by each community which include Avondale, Chandler, Gilbert, Glendale, Peoria, Scottsdale, Surprise, Tempe, and Maricopa Urban County. The allocation of HOME funds is evidenced by a Subrecipient Agreement with each jurisdiction. The MCHSD administrative assessment is 5% of the Consortium Member Gross Allocation for all Consortium members except for the County. The County assessment is 10%.

After the funding allocation process, each Consortium member jurisdiction receives proposals through their local competitive process. Potential developers and/or subrecipients apply for HOME funding annually for specific housing projects within that jurisdiction. Jurisdictions that have current or new housing programs that are administered in-house may also utilize HOME funds. Eligible household applicants are extremely-, very low- and low-income residents with households under 80% Area Median Income, per HUD guidelines. Applications for projects are evaluated based on HUD guidelines and precise criteria set by each Consortium member in their policies and procedures and based on local needs. Detailed information for Maricopa Urban County would be contained on the Maricopa County Human Services Department [www.hsd.maricopa.gov](http://www.hsd.maricopa.gov) website. If a Notice of Funding Availability was issued, that information could be found on the list of open NOFAs on the Human Services Department website. See each Consortium member's Annual Action Plan for a detailed description of the application process and applicable websites.

### Affordable Homeownership Limits

Maricopa County issued the following Bulletin regarding the FY2016 Homeownership Limits, effective May 2, 2016 which includes tables detailing the limits for Existing Housing and New Housing.



**Human Services – Community Development Bulletin**  
**Bulletin Issued: May 5, 2016**  
**HOME Investment Partnerships Program (HOME)**  
**2016 HOME Homeownership 95% Value Limits**

This Notice is to announce the Maricopa HOME Consortium (including Maricopa Urban County) FY 2016-2017 HOME Homeownership 95% Value Limits are released and included in the tables below. Section 215(b) of NAHA requires that the initial purchase price or after-rehabilitation value of homeownership units assisted with HOME funds not exceed 95 percent of the area median purchase price for single family housing, as determined by HUD. The limits are effective as of May 2, 2016.

Relevant attachments and information from the HUD Exchange may be viewed at this website:  
<https://www.hudexchange.info/resource/2312/home-maximum-purchase-price-after-rehab-value/>

HOME Homeownership Value Limits Existing Homes			
1-Unit	2-unit	3-unit	4-unit
\$185,000.00	\$237,000.00	\$287,000.00	\$356,000.00

HOME Homeownership Value Limits New Homes			
1-Unit	2-unit	3-unit	4-unit
\$228,000.00	\$292,000.00	\$353,000.00	\$438,000.00

This Bulletin is also available for download on the Notices page of our website:  
<http://www.hsd.maricopa.gov/Divisions/Community-Development/Funding-Notices-Meetings.aspx>

For further information contact: Amy Jacobson, Community Development Assistant Director [jacobsona@mail.maricopa.gov](mailto:jacobsona@mail.maricopa.gov)

This information is also available the HSD website at <http://myhsd.maricopa.gov/>

# Maricopa HOME Consortium

## Annual Action Plan Community Contributions

**FY2016-2017**

The following document contains individual Maricopa HOME Consortium member contributions for the FY2016-17 Annual Action Plan.

**Contents**

AP-10 Consultation - 91.100, 91.200(b), 91.215(l) ..... 2

AP-12 Participation - 91.401, 91.105, 91.200(c) ..... 4

AP-35 Projects - 91.420, 91.220(d) ..... 6

AP-55 Affordable Housing - 91.420, 91.220(g) ..... 8

AP-60 Public Housing - 91.420, 91.220(h)..... 9

AP-65 Homeless and Other Special Needs Activities - 91.420, 91.220(i) ..... 12

AP-75 Barriers to affordable housing - 91.420, 91.220(j) ..... 17

AP-85 Other Actions - 91.420, 91.220(k) ..... 19

Program Specific Requirements..... 27

HOME Investment Partnerships Program (HOME)..... 27

**AP-10 Consultation - 91.100, 91.200(b), 91.215(l)**

**1. Introduction**

**Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).**

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction’s area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

**2. Agencies, groups, organizations and others who participated in the process and consultations**

**Avondale**

The City maintains relationships with several service providers through the Care1st Avondale Resource Center, including: Care1st, Helping Families in Need, First Things First, Adelante Healthcare, Area Agency on Aging, Maricopa Workforce Connections among several others. The City requested these agency’s input on the needs of Avondale’s LMI residents.

**Chandler**

The City of Chandler participates in the development and operation of the Maricopa Continuum of Care and utilized HOME, CDBG and City general funds to support homelessness prevention, outreach, emergency shelter, transitional shelter and permanent supportive housing as well as supportive services for people experiencing homelessness.

Agencies, groups and organizations that participated in the process and consultations are summarized in the City’s AAP – Screen PR-10.

**Gilbert**

The Town of Gilbert consults annually with affordable housing entities, organizations providing health services, regional homeless service providers, special needs organizations, those providing youth and senior services and organizations that provide general services for low-income persons. In addition, Gilbert participates on several committees administered by the Maricopa Association of Governments (MAG) to discuss and identify various issues on a regional level.

**Glendale**

The City of Glendale consulted with other public and private agencies, the state, and public housing authority for preparation of the Year 2 Annual Action Plan. In its operation of federal grant programs, the City also works with local and statewide non-profit organizations to ensure that services are provided within the City of Glendale.

Glendale receives ESG funds and consulted with Continuum of Care (CoC) to discuss and prioritize the needs for homelessness, the allocation of ESG funding and performance standards. Glendale participates in the CoC-supported ESG Collaborators Group.

**Peoria**

During the program year, the City will continue to foster partnerships and collaboration among and between non-profit and private organizations providing housing, health, mental health and general services. The City provides a "Community Resource Guide" on its website and at public functions which lists both funded agencies and their programs as well as providing general referral information to other frequently utilized organizations. Several providers have found this information useful and have asked to be included in the aforementioned guide. The City of Peoria’s Human Services Coordinator is responsible for coordinating services provided by social service agencies with City programs and residents. All agencies that apply for Federal or General Fund grants attend a mandatory

hearing regarding their application. At the hearing, each agency is given the opportunity to speak about their programs, needs within the City or larger geographic area and opportunities to coordinate services, amongst other information. Many inter-agency referrals are made as a result of these hearings. The city of Peoria does not receive ESG funds. However, the City awards General Fund grant money to the non-profit "Community Information and Referral, Inc." to support the Maricopa County HMIS system. Additionally, the City's Human Services Coordinator works closely with the Maricopa Association of Governments (MAG) on homeless issues.

**Scottsdale**

Scottsdale is an active participant in the Maricopa Association of Governments (MAG) Continuum of Care Task Force and shares responsibility of the regional solution to the problems of homelessness. Each year Scottsdale allocates general fund to several categories of human services to address homelessness. Funding from citizen contributions to utility bill donation programs and funds from Salt River Pima Maricopa Indian Community (SRPMIC) grants are also allocated for services and housing for homeless and victims of domestic violence.

**Surprise**

The City of Surprise has developed the Consolidated Plan with the input of several key city departments and divisions, county agencies, local nonprofit agencies and service organizations, community groups, boards and commissions, and citizens of Surprise. During the development of the Consolidated Plan, the following groups and city departments were interviewed and or returned surveys. These groups represent or serve the populations whose needs were considered in the Consolidated Plan:

- a) City of Surprise Community Development Department.
- b) City of Surprise Community and Recreation Services.
- c) City of Surprise Economic Development Department.
- d) City of Surprise Public Works Department.
- e) Maricopa HOME Consortium.
- f) The Housing Authority of Maricopa County.
- g) City of Surprise Planning and Zoning Commission.
- i) City of Surprise City Council members.
- j) Area Congressional Representative response for the survey.

**Tempe**

When developing the AAP, the City launched a collaborative effort to consult with elected officials, City departments, community stakeholders, and beneficiaries of entitlement programs to inform and develop the priorities and strategies contained within the five year plan.

The City's outreach and consultation strategies included the formation of community outreach partnerships with housing, service, and mental health providers; workforce developers; community advocates; and others.

## AP-12 Participation - 91.401, 91.105, 91.200(c)

### 1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

#### Narrative for HOME:

##### Avondale

The City of Avondale will hold three public hearings, one of which will be held at the March 23, 2016 Neighborhood and Family Services. The City will also make an online survey available to determine community needs, as well as make a draft of the Annual Action Plan available for a 30-day comment period.

##### Chandler

Chandler conducted a public survey during the Back to School Clothing Drive event in the summer 2015. The Housing and Human Services Commission discussed funding priorities at public meetings in September 2015 and January 2016. Results of the survey summary were used to identify funding priorities. Three additional public meetings were held in April 2016 to discuss and finalize funding recommendations.

##### Gilbert

Annually, Gilbert conducts a minimum of two public hearings to allow the public an opportunity to comment and participate in the creation of the Consolidated Plan and/or Annual Action Plan. All public hearing meetings are advertised in a local newspaper of general circulation and on Gilbert's website. Citizen comments are reviewed and incorporated as appropriate into the goal-setting process.

##### Glendale

Glendale provided residents multiple opportunities to comment on the Annual Action Plan (AAP) during development, and the AAP was available for a 30-day comment period. Efforts were made to give persons with disabilities and persons with Limited English Proficiency opportunities to provide input and public comments. Public meetings were held at a time of day that would facilitate members of the public who work, and information and notices were provided through the City's website for persons unable to attend meetings.

##### Peoria

Four public meetings will be conducted by the City to encourage citizen participation in the annual action plan process. The city was also represented at public hearings conducted by Maricopa County as lead agency for the Maricopa County HOME Consortium (January 21, 2016 and March 17, 2016). Proposed funding allocations for organizations are also discussed publicly at City Council budget hearings and are approved through the public budget process. Invitations were sent to representatives of non-profit agencies and other stakeholders notifying them of the grant application process. Every organization that applied for HUD funding appeared before the City's Not-for-Profit and Housing Council Subcommittee at public meetings to discuss the needs of their agencies and to comment on the needs of Peoria citizens based on their experience. Announcements were published in [The Peoria Times](#) regarding the City's application process as well as the availability of the Annual Action Plan for public comment. The draft plan was also made available to the public on-line, at the main Peoria public library, the community center and at City Hall. All of these facilities serve minorities, non-English speaking citizens, public housing residents and persons with disabilities and are fully accessible. Public notices provided contact information in Spanish.

##### Scottsdale

The City of Scottsdale will coordinate with other housing and community development agencies to achieve the goals of the Year 2 Action Plan and the Consolidated Plan, including continued participation in the Maricopa HOME Consortium, participation on the MAG Continuum of Care committees and consultation with the Human Service Commission to review activities of the CDBG/HOME programs.

A public meeting before non-profit service providers regarding the 2016/2017 funding allocation process was held on September 30, 2015. Public presentations were made by applicants for CDBG, HOME, Scottsdale Cares, General Funds and Endowment on February 9, 2016 and February 11, 2016. Public hearings before the Human Services Commission will be held March 10, 2016 and March 24, 2016 for informal and formal recommendations of funding allocations, respectively. A public hearing before the Scottsdale City Council will be held on April 19, 2016, to request approval of the 2015/2016 Year 2 Annual Action Plan.

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**Surprise**

Citizen input is a vital component when developing the Consolidated Plan and the Annual Action Plans. The City of Surprise provides its citizens many opportunities to provide input to the decision making process. The City of Surprise encourages citizens to attend and participate in City Council Meetings, and Planning and Zoning Commission meetings to solicit public input. These community engagement practices are designed to meet the needs and requirements of various programs and planning processes.

**Tempe**

City staff continues to work with the Clerk's office to increase citizen participation and improve the FY 2016 CDBG/HOME AAP process. All meetings are open to the public and agendas are distributed via e-mail, internet posting, and hardcopy posting. Meeting notes summarizing the discussion items and actions taken are posted online and made available at subsequent meetings to keep interested parties informed.

## **AP-35 Projects - 91.420, 91.220(d)**

### **Introduction**

#### **Describe the reasons for allocation priorities and any obstacles to addressing underserved needs**

##### **Avondale**

The City's Con Plan analysis indicates a large number of older homes in the City's Revitalization Areas requiring substantial home repairs. These older areas also contain the City's highest concentrations of low and moderate income households. Combined, the advanced age of the housing stock and low incomes of residents, create deteriorated housing conditions and declining neighborhoods. At the same time, the City continues to experience higher than normal rental rates due to the lingering effects of the housing bust. In order to restore and stabilize neighborhoods, the City will continue to promote homeownership through the implementation of a first-time homebuyer program.

##### **Chandler**

Allocation priorities are determined by surveys of the general public and through HHSC discussion. The primary obstacle to addressing underserved needs is inadequate financial resources.

##### **Gilbert**

In Gilbert's Five Year Consolidated Plan, six specific underserved needs were identified, including limited resources in South Gilbert, aging infrastructure in North Gilbert, aging dwelling stock in North Gilbert, decreasing resources, increased need due to population growth and state budget uncertainties. Priorities identified to address these underserved needs include, developing partnerships for services in South Gilbert, provide capital and housing improvement programs in North Gilbert, continue to provide support for services for vulnerable populations and expand volunteer opportunities to link those in need with those who want to volunteer or provide resources.

##### **Glendale**

Glendale's allocation priorities for the use of CDBG, ESG and HOME funds were based on the City's housing and community needs assessment contained in the Consolidated Plan, deliberations of the Community Development Advisory Committee and City Council, and funding applications received through the City's competitive grant applications cycle. The competitive application process relies on specific criteria and scoring to ensure that funds are allocated to the agencies that will use them in the most efficient and cost-effective manner.

##### **Peoria**

The greatest obstacle in meeting underserved needs stems from the lack of local, state and federal resources. Equally lacking is a stable tax base due to property foreclosures and property devaluations. The scope of services needed for low and moderate income households exceeds any combination of resources in the Maricopa Consortium of Entitlement Communities. As defined in the Maricopa County Consolidated and Annual Action Plan, the assistance for homeless persons, victims of domestic violence, physically and mentally disabled, frail and/or poor elderly, jobless and HIV Positive persons are the responsibility of a broad network of agencies, non-profits and government offices. This forms the basis for the metro area Continuum of Care. The needs of these identified special needs groups are growing. Our local challenge is to develop priorities and regionally focused systems that will make the most impact and stimulate the leveraging of other resources to address growing needs. In addition, priority will be given to housing rehabilitation and emergency repair, home ownership assistance/foreclosure rehab programs and other affordable housing projects. Public service needs will continue to be addressed through funding of (and knowledgeable referral to) human service providers, regardless of jurisdictional boundaries.

##### **Scottsdale**

CDBG investments will generally be targeted to income-eligible persons citywide, rather than to targeted neighborhoods. However, with the exception of persons with disabilities and victims of domestic violence who are presumed to be low-income, the majority of beneficiaries will reside in Census Tracts south of Indian Bend Road. This area coincides with the South Scottsdale Revitalization Area and is served by an interdepartmental city task force that coordinates the City's revitalizations efforts. Two neighborhoods in this area that have higher percentages of minority and lower-income residents are served by the Vista del Camino Center (85257 zip code) and the Paiute Neighborhood Center (85251 zip code). These neighborhoods are areas of focus for Human Services, brokerage agencies, and HOME investments in acquisition and rehabilitation of multifamily developments.

Obstacles to underserved needs relative to the City are:

- The geographic shape of Scottsdale
- The relative age of the built environment in the southern part of the community compared to the newer and larger master-planned, northern area of the City
- The appreciation of residential properties, decreasing the availability of affordable housing
- The aging of residents in the community
- The decrease in Federal, State and local funding
- The increase in requests for service with the continuing decrease in resources
- The Economic conditions

To address these obstacles during the next year, the City will:

- Continue increased levels of code enforcement to preserve neighborhoods
- Fund programs offering rehabilitation to preserve older housing stock
- Fund non-profit agencies to provide programs and services to the elderly and frail elderly
- Increase services for basic needs
- Continue to target capital improvements including but not limited to:
- Continue to provide services to the community, including but not limited to:
  - Homeowner-occupied Housing Rehabilitation, Roof Repair and Replacement and Emergency repair Programs for low-income households
  - Accessibility modifications
  - Home ownership counseling and purchase assistance
  - Rental assistance (Section 8 Housing Choice Voucher Program)
  - Tax counseling assistance at Senior Centers and Paiute Neighborhood Center
  - Emergency rent and utility assistance through Vista Del Camino

#### **Surprise**

City of Surprise Housing Services includes the rehabilitation of single family homes and is the city's priority to assist in reducing the number of substandard homes. Obstacles in meeting priority include lack of sufficient housing funding.

#### **Tempe**

The AAP sets goals and strategies to be achieved over the FY 2016 period and identifies a list of funding priorities. The AAP represents high priority needs for the City and serve as the basis for FY2016 programs and activities identified in the Action. The Consolidated Plan goals are listed below in no particular order:

- Improve housing opportunities by creating and preserving affordable rental and homeowner housing in close proximity to transit, employment and community services.
- Assist individuals and families to stabilize in permanent housing after experiencing a housing crisis or homelessness by providing client-appropriate housing and supportive service solutions.
- Invest in community services and non-profit facilities that maximize impact by providing new or increased access to programs that serve highly vulnerable populations such as youth, seniors and food insecure households.

## Affordable Housing

### AP-55 Affordable Housing - 91.420, 91.220(g)

#### Discussion of HOME Projects FY2016-17

**Avondale**

The City of Avondale will allocate HOME funds to single-family, owner-occupied housing rehabilitation and assistance to first time homebuyers.

**Chandler**

Funding requested is to provide a tenant based rental assistance program for individuals and families experiencing homelessness in Chandler. The program will continue the rental assistance program implemented in 2012 to assist the homeless. Beginning July 1, 2015, administration of the program transitioned from Community Bridges Inc., to the City's Housing and Redevelopment Division. TBRA rental assistance will be linked with TBRA case management and supportive services. Up to 15 homeless clients will be provided direct rental and utility deposit assistance to maintain housing or transition to a permanent housing source.

The funding request is to reconstruct two owner-occupied homes that require repairs beyond the maximum dollar limits allowed through the City's housing rehab program. Houses assisted will be typically over 50 years old and located in older low and moderate income neighborhoods. Habitat is uniquely qualified to administer reconstruction activities because as a general contractor and housing developer they have experience managing all aspects of home building and mortgage servicing. The program provides up to \$75,000 in HOME-assistance per household and Habitat funds the shortfall. The shortfall is the difference between \$75,000 HOME-assistance and the total development costs and a 0% interest mortgage to qualified buyers. Habitat has a HUD certified Housing and Credit Counselor with significant experience in the affordable housing market. Habitat has 29 years of experience in home development and is ranked as the number one LEED affordable home builder.

**Gilbert**

The Town of Gilbert will partner with Affordable Rental Movement of Save the Family utilizing HOME funds to purchase one single family dwelling unit to be rehabilitated and rented to an income qualified family at or below 60% AMI and utilized as a permanent affordable rental unit.

**Glendale**

The City of Glendale, through Habitat for Humanity of Central Arizona will complete infill/new construction of homes for low-and very-low income households. Due to the change in HOME regulations, all of City's recent property acquisitions were carried out using Neighborhood Stabilization Program (NSP) funds. As part of the activity, Habitat may purchase dilapidated non-repairable houses which will then be demolished through the City's Voluntary Demolition Program and used for infill/new construction. In addition, HOME funds will be combined with CDBG housing rehabilitation funds to assist eligible home-owners with rehabilitating their homes.

**Peoria**

New construction of 2 single-family homes for two income eligible households and homebuyer assistance to make the homes affordable.

**Scottsdale**

Reconstruction of two owner occupied single family homes in the target area that are owned by low-to-moderate income families earning 30-60% of the Area Median Income.

**Surprise**

City of Surprise will utilize the HOME funds for FY16/17 in Minor and Major Rehabilitation projects to address substandard homes in Surprise with health and safety repairs being priority.

**Tempe**

Downpayment assistance for first time homebuyers.

## **AP-60 Public Housing - 91.420, 91.220(h)**

### **Actions planned during the next year to address the needs to public housing**

#### **Avondale**

The City of Avondale does not operate public housing.

#### **Chandler**

The Chandler PHA 5-year and Annual Plans call for continued improvements to public housing facilities.

#### **Gilbert**

Not applicable. The Town of Gilbert does not have public housing.

#### **Glendale**

The City of Glendale's Community Housing Division per CDBG regulations at 24 CFR 570.202(a)(2) will receive CDBG funds to complete ADA modifications to the sidewalks at Lamar Homes and to increase accessibility to persons who need these accommodations.

#### **Peoria**

HUD's Office of Indian and Public Housing approved a transfer of the permanent operation, management and ownership of the Peoria Public Housing Authority to the Housing Authority of Maricopa County. This transfer was effective on April 1, 2013.

#### **Scottsdale**

The City of Scottsdale does not own any public housing units; however, the Scottsdale Housing Authority (SHA), through the Community Assistance Office, administers the Section 8 Housing Choice Voucher (HCV) Program. The SHA separately adopts a Five Year and Annual Agency Plan which describes the operation of the Section 8 HCV rental assistance program, the Section 8 Homeownership Assistance Program (HOAP) and the Family Self-Sufficiency Program (FSS.)

The SHA has consistently received designation as a "High Performer" in its annual audit of the Section 8 Management Assessment Program (SEMAP.)

The primary goal for the HCV Program for the upcoming year, given proposed deep funding cuts, will be to preserve as many Housing Choice Vouchers as possible, up to a maximum of 735 per month. The SHA will provide assistance to the number of families (vouchers) that may be funded with the monthly allocation amount provided by HUD.

The City of Scottsdale owns and operates 8 units of multi-family affordable housing, acquired with CDBG and City General Funds, and constructed with City General Funds. These units are available to eligible low-income persons. Rental rates are in accordance with HUD HOME Program Rent Limits.

#### **Surprise**

The Housing Authority of Maricopa County (HAMC) administers the public housing and Section 8 subsidized housing program within City of Surprise. Surprise's housing activities are planned and reported through the Maricopa Consortium Plan. See the 2015-2019 Consolidated Plan for the Maricopa HOME Consortium for more information.

#### **Tempe**

Tempe has no public housing units.

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

#### **Avondale**

The City of Avondale does not operate public housing.

**Chandler**

The Chandler PHA will encourage public housing residents to become more involved in management and participate in homeownership through newsletters, staff-participant meetings and e-mail blasts; partnering with other agencies to provide financial literacy, adult education and homeownership classes; and offering 3:1 down payment assistance for participating FSS clients.

**Gilbert**

Not applicable. The Town of Gilbert does not have public housing.

**Glendale**

The City of Glendale Community Housing Division continues to encourage residents to become familiar with homeownership program offer by the City through partnership with Habitat for Humanity Central Arizona, and participates in the Family Self Sufficiency (FSS) program.

**Peoria**

As noted above, the City no longer operates a Public Housing Authority.

**Scottsdale**

N/A – Scottsdale does not own/operate any public housing

**Surprise**

The Housing Authority of Maricopa County (HAMC) administers the public housing and Section 8 subsidized housing program within City of Surprise. Surprise’s housing activities are planned and reported through the Maricopa Consortium Plan. See the 2015-2019 Consolidated Plan for the Maricopa HOME Consortium for more information.

**If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance**

**Avondale**

The City of Avondale does not operate public housing.

**Chandler**

The Chandler PHA is not designated as troubled.

**Gilbert**

Not applicable. The Town of Gilbert does not have public housing.

**Glendale**

The City of Glendale Community Housing Division has been a designated “High Performer” by HUD.

**Peoria**

As noted above, the City no longer operates a Public Housing Authority.

**Scottsdale**

N/A – Scottsdale is a high performer

**Surprise**

The Housing Authority of Maricopa County (HAMC) administers the public housing and Section 8 subsidized housing program within City of Surprise. Surprise’s housing activities are planned and reported through the Maricopa Consortium Plan. See the 2015-2019 Consolidated Plan for the Maricopa HOME Consortium for more information.

## **Additional Discussion**

### **Avondale**

The City of Avondale does not operate public housing.

### **Gilbert**

Not applicable. The Town of Gilbert does not have public housing.

### **Glendale**

In spite of a significant waiting list and level of need, the City of Glendale is prohibited by federal regulation from increasing the public housing inventory. Allocations of tenant-based section 8 vouchers have not increased and the City has not increased its number of public housing units. The City does not participate in project-based vouchers, as HUD does not provide additional funding. HUD has announced a decrease in the Fair Market Rents (FMR) for one, three and four bedroom rents, and a slight increase for zero and two bedroom rents in the Section 8 Housing Choice Voucher Program. Market rate rents continue to rise in the City and surrounding communities.

In order to minimize the impact of the decrease in the FMRs and market rent increases, the City has made the determination to increase its payment standard to 105% of the FMR for all bedroom sizes. The City's Housing programs budget for 2015-16 was 15.9 million. The Division updates the Agency Plan annually starting in October and encourages assisted families in both programs to review the policy updates and the Plan and help "chart the future of Public Housing and Section 8 housing in Glendale", by providing comments and participating in reviewing proposed policy updates annually. Resident input is received through participation in the Glendale Housing Policy Resident Review Committee and providing general feedback. Housing program participants are encouraged to participate in homeownership through down payment assistance from CDBG and HOME funds, and using Section 8 vouchers for mortgage payments in conjunction with the Section 8 FSS programs. Currently, there is one homeowner receiving mortgage assistance through the Section 8 program. There are 30 households enrolled in the FSS program, out of a total 41 slots available. Families that participate are able to save in an escrow account to assist them with purchasing homes. Many Housing program participants have also moved into homeownership but without the use of subsidies. In doing so, they have left the programs, allowing another family from the waiting lists to be considered for eligibility.

### **Peoria**

As noted above, the City no longer operates a Public Housing Authority.

## AP-65 Homeless and Other Special Needs Activities - 91.420, 91.220(i)

### Describe the jurisdictions one-year goals and actions for reducing and ending homelessness

#### Avondale

The City of Avondale provides a number of services that benefit special needs populations, including the homeless, seniors, and youth. While the City does not allocate HOME funds to assist these populations, the City allocates funding from CDBG and other sources to operate programs to benefit these groups. These activities are housed at the Avondale Care1st Resource Center, which partners with organizations to provide space and direct service for: applications to AHCCCS, Nutrition Assistance and cash assistance (Helping Families in Need); rental, utility and mortgage assistance (Community Action Program); domestic violence awareness safety planning and shelter referrals (New Life Center); homelessness prevention partnership with schools (A New Leaf and Siemer Family Stability Program); transportation meals and referrals to seniors (Area Agency on Aging). The City also provides CDBG funds to operate the Next Step program, which provides employment opportunities to teens.

#### Gilbert

The Gilbert Human Service Needs Assessment completed in 2014 identified homeless individuals and families as the fifth highest priority population needing additional support and services out of the nine priority populations identified. Gilbert will utilize general funds to provide support to regional homeless service providers to provide outreach, emergency shelter, transitional housing, prevention services and permanent housing solutions for homeless men, women, and families.

#### Glendale

Glendale will utilize CDBG public service funds and ESG funds to address the housing and related needs of homeless individuals and families; as well as supportive services for non-homeless members of special needs groups. The City will allocate \$130,505 for homeless prevention activities. In addition, the City will receive an ESG allocation of \$189,758 for homeless prevention activities and emergency shelter operational costs.

#### Peoria

The City of Peoria will continue to partner with the Maricopa Association of Governments (MAG) Continuum of Care to provide as many resources to the homeless as possible. In addition, Peoria funds several agencies to ensure that these resources are always available to those in need. Peoria is allocating \$9,000 in federal funding and \$38,500 in general fund specifically to reduce and end homelessness. In total, Peoria intends to assist 10,650 clients in FY16-17.

#### Scottsdale

Each year the City of Scottsdale allocates funding to several categories of human services to address homelessness as well as those who are currently homeless. Tentatively funding 18 activities to assist 3122 persons to help prevent homelessness.

#### Surprise

The City of Surprise previously has awarded Public Service funding to CASS which is a homeless shelter in downtown Phoenix for emergency bed nights. The City of Surprise has recommended that CASS continue to receive funding in the next fiscal year. Only residents of Surprise will benefit from these bed nights funded by the City of Surprise.

#### Tempe

Tempe homeless outreach specialists will work with approximately 150 homeless in fy2016 to coordinate supportive services to assist in ending their homelessness.

### Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

#### Avondale

The City participates in the Maricopa Association of Government's Annual Homeless Street Count. The most recent street count indicated 40 homeless individuals in the City. Overall most individuals surveyed were single, adult males with a self-reported physical and/or mental disability.

#### Chandler

Continue to fund agencies that reach out to homeless persons and continue to participate with For Our City and faith organizations

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to ensure that the individual needs of homeless persons are assessed and to the extent possible addressed.

**Gilbert**

Gilbert participates annually in the Maricopa Association of Governments Homeless Street Count to identify unsheltered persons and asking questions regarding their individual needs. Additionally, regional service providers assess homeless individual and family needs during intake to provide the most suitable emergency and transitional housing placement and wrap around services.

**Glendale**

In terms of assessing the individual needs of homeless persons, the CoC is currently using a countywide centralized intake and client tracking system with the goal of enhancing CoC services to better assist homeless persons. Glendale fully supports the use of this system to improve the services provide to the homeless. In addition, Glendale participates in the annual street counts sponsored through the CoC, and utilizes this data to develop strategies to address homelessness.

**Peoria**

The Maricopa Association of Governments (MAG) Continuum of Care Task Force is the regional body that manages the collaborative efforts of communities and agencies in Maricopa County to plan for the needs of the homeless and access federal funding on behalf of agencies organized to address those needs. The City participates in the Point-In-Time Homeless Street Count which is administered by MAG. The most recent count was conducted February 2015 and Peoria reported a count of 23 homeless individuals; 21 male, 2 female, no children.

**Scottsdale**

Each year the City of Scottsdale allocates funding to several categories of human services to address homelessness as well as those who are currently homeless. Tentatively funding 15 activities to assist 3030 persons to help prevent homelessness.

**Surprise**

On an annual basis the City of Surprise in partnership with Maricopa County Association of Governments (MAG) conducts a homeless count. At this time, homeless individuals are approached and surveyed to determine what needs they lack. These results are sent back to MAG and City of Surprise uses these results to continue contributing funds to homeless shelters such as CASS and include in the strategic planning process.

**Tempe**

Tempe employs 2 homeless outreach specialist that reach those experiencing homelessness on a continued/full time basis.

**Addressing the emergency shelter and transitional housing needs of homeless persons**

**Avondale**

The City of Avondale provides a number of services that benefit special needs populations, including the homeless, seniors, and youth. While the City does not allocate HOME funds to assist these populations, the City allocates funding from CDBG and other sources to operate programs to benefit these groups. These activities are housed at the Avondale Care1st Resource Center, which partners with organizations to provide space and direct service for: applications to AHCCCS, Nutrition Assistance and cash assistance (Helping Families in Need); rental, utility and mortgage assistance (Community Action Program); domestic violence awareness safety planning and shelter referrals (New Life Center); homelessness prevention partnership with schools (A New Leaf and Siemer Family Stability Program); transportation meals and referrals to seniors (Area Agency on Aging). The City also provides CDBG funds to operate the Next Step program, which provides employment opportunities to teens.

**Chandler**

Continue to fund agencies that provide emergency and transitional housing for homeless persons and to provide TBRA for individuals and families experiencing homelessness.

**Gilbert**

Gilbert annually provides financial support to regional emergency and transitional housing service providers to assist Gilbert homeless individuals and families. It is anticipated Gilbert will continue to do so in FY 2016-2017.

**Glendale**

In order to address emergency shelter and transitional shelter needs of homeless persons, the City of Glendale will continue to provide financial support to agencies that operate shelter facilities. In FY 2016-2017, the City will fund three emergency shelters with CDBG and ESG funding: A New Leaf Faith House; Streetlight USA; and UMOM New Day Centers. In addition, Glendale encourages and supports the CoC's decision to continue funding the transitional housing program at A New Leaf Faith House.

**Peoria**

The City intends to address shelter and transitional housing needs of homeless persons during the plan year by providing funding for these services. Specifically, CDBG funding will be utilized to provide case management for families residing in a transitional shelter (Homeward Bound). Additionally, City General Funds will be provided to support the HMIS program, assist with personnel expenses at a regional shelter (Central Arizona Shelter Services), provide operating expense aid to local, and domestic violence shelters (Deep Within, Chrysalis and StreetLightUSA, respectively).

**Scottsdale**

Each year the City of Scottsdale allocates funding to several categories of human services to address homelessness as well as those who are currently homeless. Tentatively funding 14 activities to assist 3049 persons to help prevent homelessness.

**Surprise**

City of Surprise has awarded CASS funds to address these needs. On a continual basis the activities conducted by CASS are monitored by City of Surprise staff.

**Tempe**

Tempe funds with its public service dollars two agencies; CASS and A New Leaf. Tempe will continue to support their efforts in FY2016.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

**Avondale**

The City of Avondale provides a number of services that benefit special needs populations, including the homeless, seniors, and youth. While the City does not allocate HOME funds to assist these populations, the City allocates funding from CDBG and other sources to operate programs to benefit these groups. These activities are housed at the Avondale Care1st Resource Center, which partners with organizations to provide space and direct service for: applications to AHCCCS, Nutrition Assistance and cash assistance (Helping Families in Need); rental, utility and mortgage assistance (Community Action Program); domestic violence awareness safety planning and shelter referrals (New Life Center); homelessness prevention partnership with schools (A New Leaf and Siemer Family Stability Program); transportation meals and referrals to seniors (Area Agency on Aging). The City also provides CDBG funds to operate the Next Step program, which provides employment opportunities to teens.

**Chandler**

Chandler will continue to provide tenant-based rental assistance to help homeless families attain permanent housing. In addition, general fund resources will be provided to agencies that serve people experiencing homelessness.

**Gilbert**

Regional homeless service providers that partner with Gilbert assess individual and family needs to place them in the most appropriate housing setting that will foster success and reduce instances of repeat homelessness. Gilbert HOME funds purchase and rent housing for families with dependent children that may have been homeless or about to become homeless and provides them with safe, affordable long-term housing. Supportive services are also offered to the family to ensure long-term success.

**Glendale**

Glendale supports the need to transition homeless individuals and families into permanent housing and independent living as soon

as reasonable. For this reason, Glendale will provide ESG funds to three activities specifically addressing rapid-rehousing of homeless individuals.

**Peoria**

Through the use of Federal funds, the City will provide funding to a transitional shelter (Homeward Bound) for case management expenses. The shelter is a family shelter that provides training in life skills such as establishing budgets, instruction on how to pay off debts and establish savings accounts amongst many other important skills. General Fund grants will help fund the HMIS program and help a local shelter (Deep Within) with operating expenses so that other funding can be utilized to focus on job training and job searches. General Fund grants will also be used to help domestic violence shelters (Chrysalis, Sojourner Center and StreetLight USA) with personnel and operating expenses.

**Scottsdale**

Each year the City of Scottsdale allocates funding to several categories of human services to address homelessness as well as those who are currently homeless. Tentatively funding 3 activities to assist 106 persons to help prevent homelessness.

**Surprise**

City of Surprise has awarded CASS funds to address these needs. On a continual basis the activities conducted by CASS are monitored by City of Surprise staff.

**Tempe**

Tempe supports through CDBG, HOME and Section funding a new construction veteran's project know as Valor on 8th. The newly constructed project will have 50 units specifically designed for veterans and their families.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

**Avondale**

The City of Avondale provides a number of services that benefit special needs populations, including the homeless, seniors, and youth. While the City does not allocate HOME funds to assist these populations, the City allocates funding from CDBG and other sources to operate programs to benefit these groups. These activities are housed at the Avondale Care1st Resource Center, which partners with organizations to provide space and direct service for: applications to AHCCCS, Nutrition Assistance and cash assistance (Helping Families in Need); rental, utility and mortgage assistance (Community Action Program); domestic violence awareness safety planning and shelter referrals (New Life Center); homelessness prevention partnership with schools (A New Leaf and Siemer Family Stability Program); transportation meals and referrals to seniors (Area Agency on Aging). The City also provides CDBG funds to operate the Next Step program, which provides employment opportunities to teens.

**Chandler**

Chandler provides general fund resources to agencies that serve individual and families who are extremely low income and at risk of homelessness. Local agencies are funded to provide a variety of assistance to address housing, health, social service, education and youth needs.

**Gilbert**

Gilbert will continue to provide general fund support to non-profit organizations providing services to income eligible individuals or families to address overall needs for housing, health, social services, workforce development, education and youth prevention/intervention services.

**Glendale**

The City of Glendale will provide CDBG funding to two nonprofit providers for homeless prevention activities, including emergency rental/utility assistance payments. In addition, the Glendale Community Action Program (CAP) receives funding from a number of

federal, state and local sources to assist the elderly, disabled and other low and moderate income residents with a number of services designed to help keep families stable in their homes.

**Peoria**

Through emergency services such as the City operated Emergency Home Repair and Utility Assistance programs, Federal funding is utilized to repair life-safety issues to homes that, left unrepaired or unassisted, would likely result in condemnation and homelessness. Many of the residents applying for assistance through these programs are receiving assistance through social service programs and/or health programs. Additionally, a local facility (Deep Within) assists individuals discharged from corrections programs and health facilities.

**Scottsdale**

Each year the City of Scottsdale allocates funding to several categories of human services to address homelessness as well as those who are currently homeless. Tentatively funding 4 activities to assist 76 persons to help prevent homelessness.

**Surprise**

Planned activities that included HOME funded activities assist Surprise residents in becoming and or maintaining self-sufficiency.

**Tempe**

Tempe employs a FSS specialist that works with families on a daily basis to achieve their self sufficiency goals. Tempe also provides 1052 Section 8 housing choice vouchers to extremely low/very low income households in order to prevent homelessness.

**Additional Discussion**

**Glendale**

Glendale's Community Action Program will receive CDBG funding of \$67,863 to assist persons with incomes at or below 50% AMI to remain in their homes. An additional \$62,643 will be provided to the Society of St. Vincent de Paul for a similar purpose. The City also plans to utilize \$114,132 in ESG funds for Rapid Re-housing programs. City of Glendale CAP office, CASS and A New Leaf will receive ESG funding from the City in FY 2016-2017 for their Rapid Re-housing activities to provide case management, support services, referrals for services, and financial rent assistance. The objectives of the program are to improve the life and social skills of participants, increase awareness of community resources, and assist participants to retain permanent housing.

**Peoria**

As defined in the Maricopa County HOME Consortium Consolidated and Annual Action Plan, the assistance for homeless persons, victims of domestic violence, physically and mentally disabled, frail and/or poor elderly, jobless and HIV Positive persons are the responsibility of a broad network of agencies, non-profits and government offices. This forms the basis for the metro area Continuum of Care. The needs of these identified special needs groups are growing. Our local challenge is to develop priorities and regionally focused systems that will make the most impact and stimulate the leveraging of other resources to address growing needs.

The Maricopa Association of Governments (MAG) Continuum of Care Task Force is the regional body that manages the collaborative efforts of communities and agencies in Maricopa County to plan for the needs of the homeless and access federal funding on behalf of agencies organized to address those needs. While MAG administers the Continuum of Care process in Maricopa County, and as such prepares the Regional Plan To End Homelessness, the MAG Regional Continuum of Care Committee on Homelessness provides policy direction and leadership on homeless issues.

**Surprise**

The City of Surprise recently opened a Resource Center that includes partners with local social service agencies to include Maricopa County Human Services in offering Community Action Program (CAP) resources.

## **AP-75 Barriers to affordable housing - 91.420, 91.220(j)**

**Actions planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

### **Avondale**

The City of Avondale will continue to provide eligible homeowners and first-time homebuyers with assistance to maintain or purchase homes, thereby making them affordable. The City will continue to implement the Infill Incentive Program, which provides developers and owners with fee reductions to construct housing in the City's low and moderate income Revitalization Areas. The City will also continue to operate housing-related programs, such as the Community Action Program, to provide area residents with rent and utility assistance in order to maintain their housing.

### **Chandler**

During the coming year, the City's Draft General Plan will be submitted to the voters for ratification. The plan includes numerous goals to support activities identified in Chandler's 5-year Consolidated Plan.

### **Gilbert**

Gilbert will continue to identify ways to remove or ameliorate public policies to assist in the development of affordable housing, specifically for low income senior housing or housing located in the downtown Heritage District.

### **Glendale**

According to the City of Glendale Analysis of Impediments to Fair Housing Choice 2015, the most common identified barriers to affordable housing are the cost burden and severe cost burden faced by both renters and owners. Some cost include the costs of land, cost of construction and infrastructure, permit fees, impact fees, developer interest, taxes, zoning, and insufficient financial resources.

The actions that will take place in FY 2016-17 to remove barriers to affordable housing include:

- Reduce permit fees when applicable
- Reduce impact fees when applicable
- Down payment assistance for income-qualified homebuyers and other leverage private funds for affordable housing

### **Peoria**

The City will continue to afford non-profit developers a reduction in some development plan review fees. The entitlement fees or "planning fees" are assessed at 10% of the normally required fees. These fees include rezone and use permits, site plan review, design review and others.

### **Scottsdale**

The following actions will be taken during the upcoming year to address barriers to affordability:

- Administer programs to support property maintenance for senior, disabled, and low-income homeowners.
- Manage federal assistance:
  - Housing Reconstruction to preserve affordability
  - Housing rehabilitation, roof repair and replacement, and emergency repair assistance to extend the livability of owner-occupied housing.
  - Homeownership assistance through:
    - Section 8 FSS escrow accounts
    - Homebuyer education
  - Rental Assistance through:
    - Housing Choice Voucher Program.
  - Affordable rental housing through:
    - Bellevue of Scottsdale multi-family rental units

### **Surprise**

The CDBG program coordinator works with the Planning Director to remove any negative effects of public policies that are barriers

to affordable housing.

#### **Tempe**

Implementing recommendations from the Analysis of Impediments to Fair Housing Choice.

- Continuing to update Community Plans, which are components of the City's General Plan & which specify the location & intensity of proposed residential development. The updates are intended to implement General Plan smart growth strategies at the neighborhood level and identify housing opportunities for a variety of household sizes.
- Identifying Infill Opportunity citywide for further development of affordable/workforce housing.

### **Additional Discussion**

#### **Glendale**

During FY 2016-2017, the City of Glendale will preserve affordable housing and increase the affordable housing stock for households with income between 0-80% AMI by implementing or funding the following activities:

- Utilize CDBG and HOME funding for the rehabilitation of owner-occupied housing units and to support Habitat for Humanity in developing new affordable housing units;
- Address accessibility barriers for elderly and persons with disabilities;
- Implement and coordinate public housing in the City by providing Section 8 vouchers to eligible households; and
- Provide financial assistance to households facing eviction with emergency rental assistance.

The City of Glendale will also address affordable housing barriers by examining universal design concepts that will allow newly constructed units to be more easily and cost effectively retrofitted for future needs of persons with disabilities. The City will also look at different housing types and construction methods that will make construction more affordable and maintaining homes cheaper through greater energy efficiency. The City's CDBG and HOME programs will work with its public housing unit to provide down payment assistance to public housing residents and Section 8 voucher recipients that participate in the Family Self Sufficiency (FSS) programs. Currently, there are 30 households enrolled in the FSS program, out of a total 41 slots available. Families that participate are able to save in an escrow account to assist with purchasing homes.

The City is also currently reviewing its Comprehensive Plan and will allow opportunities to look at public policies including planning and zoning that are barriers to affordable housing and fair housing choice and address them.

#### **Peoria**

In addition, the following actions will contribute to the removal or amelioration of barriers to affordable housing:

- CDBG funds will be allocated to emergency home repair programs.
- NSP3 and CDBG funds will be allocated to purchase, rehab and resell foreclosed homes including providing direct homebuyer assistance.
- HOME funds will be allocated for the construction of new owner – occupied housing including providing direct homebuyer assistance.
- The City's General Fund will allocate Neighborhood Pride funding to make aesthetic improvements to the exterior of homes in disrepair or otherwise needing improvement.
- The City's General Fund will allocate grant funds to Community Legal Services to provide pro-bono legal services to low-income residents experiencing critical legal issues that impact their basic survival needs.
- CDBG funds will be allocated to assist people with disabilities with improvements to their housing situations as well as assist disabled group home facilities with much needed rehabilitation.

The City of Peoria strives to assist housing providers with sensible affordable housing development. At times, this may include the use of Low Income Housing Tax Credits (LIHTC) for development of housing that is affordable to low-to-moderate income renters or buyers. Currently, there are no developers seeking LIHTC projects in Peoria. Should a feasible project be proposed and funded, the City will assist a developer with the LIHTC process.

## **AP-85 Other Actions - 91.420, 91.220(k)**

### **Actions planned to address obstacles to meeting underserved needs**

#### **Avondale**

The City will continue to operate its successful programs, such as CAP, Helping Families in Need, Contributions Assistance and the myriad others operated through Neighborhood and Family Services. The City continually seeks to improve the efficiency in program operations, as well as increase partnerships that expand existing programs and develop new programs. The City will continue to partner with AmeriCorps through the VISTA and NCC programs to build capacity and implement projects. Finally, the City is reviewing the possibility of developing a non-profit that will provide additional capacity, as well as open new funding sources to benefit area residents in need.

#### **Chandler**

Chandler will continue to work For Our City and Live Love to coordinate resources to Chandler's homeless population and expand their role in volunteer efforts. At Technical assistance workshops will be provided to organizations interested in working with the City to address Consolidated Plan goals.

#### **Gilbert**

Gilbert will continue to work with other departments to provide services to South Gilbert, continue to target capital improvements in areas of aging infrastructure, continue to increase Gilbert's affordable rental housing stock, provide emergency home repair services to preserve current homeowner housing stock and continue to provide essential services to vulnerable Gilbert populations.

#### **Glendale**

The City of Glendale, like most communities, is faced with a lack of sufficient resources to effectively address underserved needs. During FY 2016-2017, the City plans to undertake the following actions to address obstacles to meeting underserved needs:

Funding projects and activities that leverage funding from other public and private resources to increase the impact of projects and benefit more low- and moderate-income residents;

Provide support for agencies that serve LMI, homeless individuals and families, and persons at risk of homelessness using CDBG and ESG funding;

Benefit low- and moderate income neighborhoods by addressing aging infrastructure, other public improvement, and public facility needs;

Continue to participate in regional and countywide efforts such as MAG and the CoC to ensure resources are used in a coordinated effort and address the highest priority needs of homeless persons and families;

Apply for new funding opportunities from Federal and/or State sources and support funding applications for other organizations in the City or region.

#### **Peoria**

The City's Human Services Coordinator position in the Neighborhood and Revitalization Division is primarily responsible for coordinating efforts of social service agencies within the City and for its residents. Additionally, this position is tasked with providing outreach regarding regional services available.

#### **Scottsdale**

- Continue increased levels of code enforcement to preserve neighborhoods
- Fund programs offering rehabilitation to preserve older housing stock
- Fund non-profit agencies to provide programs and services to the elderly and frail elderly
- Increase services for basic needs
- Tax counseling assistance at Senior Centers and Paiute Neighborhood Center
- Emergency rent and utility assistance through Vista Del Camino

#### **Surprise**

Other action includes improved coordination between the City and non-profit agencies.

#### **Tempe**

The City will continue to look for new funding sources for programs to address underserved needs. Funding is the major obstacle in

providing the services needed to focus on the vast variety of issues that prevent families from breaking out of poverty and from living in the best, most affordable housing possible.

### **Actions planned to foster and maintain affordable housing**

#### **Avondale**

The City will maintain its CDBG and HOME-funded rehabilitation program, and is in the process of developing a renewed First-Time Homebuyer Program. In addition, the City may also use program income from its NSP1 and NSP3 grants to supplement these programs. Finally, as the City explores the potential for creating a non-profit to increase human services capacity, the City may pursue CHDO designation in order to capture additional HOME funds to supplement its affordable housing initiatives.

#### **Chandler**

There are no units that will be lost from the affordable housing inventory during the coming year. Chandler utilizes a combination of CDBG and HOME funding for emergency home repairs, housing rehabilitation, housing education/counseling, first-time homebuyer assistance and tenant-based rental assistance. The City's Housing and Redevelopment Division manages 480 Section 8 Housing Choice Vouchers and 303 public housing units.

#### **Gilbert**

Gilbert will utilize HOME funds in fiscal year 2016-2017 to purchase and rehabilitate permanent affordable housing units within the community. Gilbert has been able to increase its affordable housing stock annually. In addition, Gilbert will utilize CDBG funds to provide emergency and minor home repairs, enabling owner-occupied residents to remain in their homes free of health and safety hazards.

#### **Glendale**

During FY 2016-2017, the City of Glendale will preserve affordable housing and increase the affordable housing stock for households with income between 0-80% AMI by implementing or funding the following activities:

- Utilize CDBG and HOME funding for the rehabilitation of owner-occupied housing units, and utilize HOME funds to support Habitat for Humanity in developing new affordable housing units;
- Address accessibility barriers for elderly and persons with disabilities;
- Implement and coordinate public housing in the City by providing Section 8 vouchers to eligible households; and
- Provide financial assistance to households facing eviction with emergency rental assistance.

#### **Peoria**

During the program year, the following actions are planned to foster and maintain affordable housing:

- CDBG funds will be allocated to the City operated Emergency Home Repair Program
- CDBG funds will be allocated to non-profits to provide individual and group home modifications for disabled residents
- CDBG and NSP3 funds will be allocated to non-profit developers to purchase, rehabilitate and resell previously foreclosed homes to low-moderate income residents including providing direct homebuyer assistance
- HOME funds will be allocated to a non-profit developer to construct affordable housing
- City General Funds will be allocated to the City operated Neighborhood Pride Program to make aesthetic improvements to the exterior of homes in disrepair or otherwise needing improvement.
- Non-profit developers will continue to be afforded a reduction in some development plan review fees. The entitlement fees or "planning fees" are assessed at 10% of the normally required fees. These fees include rezone and use permits, site plan review, design review and others.

#### **Scottsdale**

The City of Scottsdale will continue to provide services to the community, including but not limited to:

- Homeowner-occupied Housing Rehabilitation, Roof Repair and Replacement and Emergency repair Programs for low-income households
- Accessibility modifications
- Reconstruction of housing to maintain affordability
- Rental assistance (Section 8 Housing Choice Voucher Program)

**Surprise**

Housing rehabilitation is the city's priority in maintaining affordable housing.

**Tempe**

When possible and appropriate opportunities exist, the City will explore providing financial assistance for Tax Credit Projects for affordable housing development to expand multi-family rental development projects and homeownership opportunities. Additionally, acquisition, soft costs and site development funds will be used for affordable housing development. Consideration for additional funding will be given upon completion of the pre-development phase.

### Actions planned to reduce lead-based paint hazards

**Avondale**

The City will undertake the following are the actions in 2015-2019 to evaluate and reduce lead-based paint hazards and to integrate lead-based paint hazard reduction into Avondale's housing policies. All activities will be completed in accordance with Title X of the 1992 Housing and Community Development Act: 1. adhere to HUD Lead Safe Housing Rule 24 CFR Part 35 in the conduct of rehabilitation activities, and 2. provide information to housing recipients as well as the general public about the hazards of lead-based paint.

**Chandler**

Chandler distributes lead paint information to participants in City housing programs. The City follows strict HUD guidelines for testing and abatement of lead-based paint and other hazardous substances, and requires compliance from its contractors and subcontractors. Any structure built before 1978 that is proposed for rehabilitation under federal programs is tested for lead-based paint.

**Gilbert**

Gilbert will continue to utilize CDBG funds to operate the Gilbert Emergency & Minor Home Repair program which identifies and address lead-based paint hazards within the community.

**Glendale**

The City of Glendale will address lead-based paint hazards with the implementation of the rehabilitation program. HUD regulations at 24 CFR Part 35 require that lead-based paint hazards be controlled before the rehabilitation of housing units, particularly if children under the age of 6 occupy the units. The City will conduct the required LBP assessment based on the level of federal funds invested in the rehabilitation of units constructed prior to 1978.

**Peoria**

Specifically in regards to housing, lead based paint is not allowed or sold for residential construction after 1978 which means a large majority of homes in Peoria are free of potential lead hazards. Approximately 1,412 homes in Peoria are pre-1970 construction and 4,200 were constructed during the 1970's decade. The older housing stock is mostly occupied by low and moderate income families. The CDBG Emergency Home Repair Program has performed varying types of rehabilitation work in some of these homes and it is anticipated that more will be performed in the future. It should be noted that older homes are only considered to "possibly" contain lead hazards and actions are taken to determine the presence of this hazard at the time the required environmental review is performed. HUD has regulations to protect children from the hazards of lead-based paint in federally funded projects and has informative documentation explaining the potential hazards of lead based paints. The city provides the required notices and information about the hazards and risks of lead-based paint to all program participants. The city also makes the current lead brochure/handout from the United States Environmental Protection Agency available at community and neighborhood events. All applications requesting federal funding from the city for emergency home repairs on units built prior to 1978 will have an inspection of the property for the presence of lead. A quantitative analysis must be done using either laboratory sampling or XRF testing. If lead based paint is found to be present, lead hazard control activities will take place as part of the activity. All regulations regarding lead hazard control, cleanup and disposal will be followed.

**Scottsdale**

With the establishment of the City's owner-occupied Rehabilitation Program, the City has developed procedures for identifying homes with lead-based paint and treating them in compliance with the Residential Lead-Based Paint Hazard Reduction Act of 1992 (Title X) and subsequent changes in September 1999.

It is estimated that 35,691 units in Scottsdale were constructed prior to 1978. Any household with a child under the age of six receiving federal funding and living in a pre-1978 housing unit requires lead-based paint testing be performed.

Scottsdale will continue to test homes constructed prior to 1978, receiving federal assistance, for lead-based paint in compliance with 24 CFR Part 35, at the time households seek assistance from the City. Additionally, all program participants are provided the required lead-based paint brochure.

**Surprise**

All single family housing stock constructed prior to 1978 is tested for the presence of lead-based paint. Contractors certified in lead-based paint safe work practices are hired to remove if possible or to cover material containing lead-based paint. A lead-based paint clearance test is performed on the dwelling after the work is completed to ensure no lead-based dust or hazards remain.

**Tempe**

Continue to meet HUD lead-based paint abatement standards in housing rehabilitation programs. Seek additional funding as it becomes available to provide testing and abatement of lead-based paint hazards in single-family housing where young children are present. Expand the stock of lead safe housing units through housing initiatives.

**Actions planned to reduce the number of poverty-level families**

**Avondale**

The City's Care1st Avondale Resource Center will continue to operate the following programs to reduce the number of poverty-level families in the City and the Southwest Valley: Contributions Assistance Program; Helping Families in Need; Community Action Partnership Program; Southwest Valley Literacy; Adelante Healthcare; Eve's Place; New Life Center; New Leaf-Siemer; Teen Outreach Pregnancy; Maricopa Workforce Connection; Area Agency on Aging; Father Matters; Maricopa County Juvenile Probation Department (youth life skills and parenting classes); Salvation Army; International Rescue Committee; New Directions Institute; Maricopa County Department of Health; First Things First; Kith and Kin; First Teeth First; University of Arizona; Arizona Priority Education and Counseling; Southwest Families Advocacy Center; Arizona Science Center; Maricopa County Human Services Department; Housing Authority of Maricopa County.

**Chandler**

Fund agencies that will 1) Provide emergency lodging for homeless individuals, 2) Alleviate the effects of crisis for low and moderate income individuals, including homeless people and people with special needs and 3) Provide tenant based rental assistance for homeless individuals and families, coupled with intensive case management.

**Gilbert**

In Fiscal Year 2016-2017, Gilbert will continue to provide general funds to support anti-poverty supportive services to individuals and families including services to meet basic needs, education obtainment, workforce development, child care assistance and overall case management.

**Glendale**

Primarily, the City of Glendale will utilize ESG funding for homeless prevention and rapid re-housing programs along with services that lead to self-sufficiency. Also, the City will require and ensure that its subrecipients collect, maintain, and report data with regards to the need of clients in order to prioritize actions and resources to address the greatest needs of families below the poverty level. The city will continue to address MBE/WBE and Section 3 opportunities on relevant activities. In addition, the Community Action Program will continue to look for opportunities to help stabilize Glendale families.

**Peoria**

The City is committed to providing its lowest income residents with quality housing and neighborhoods in addition to assisting residents to harness the necessary assistance and tools to help them move out of poverty. The City's numerous housing and community development programs are targeted to improving the housing and neighborhood conditions of low income residents. The City also funds human services activities directly aimed at at-risk youth, families and individuals. Following is a listing of anti-poverty services and providers:

- Benevilla- Adult day center, counseling, emergency assistance payments and referrals
- Big Brothers Big Sisters of Central Arizona- Youth counseling/mentoring
- Boys and Girls Clubs of Metropolitan Phoenix- After school and summer youth programs

- Chrysalis Shelter for Victims of Domestic Violence, Inc.-Shelter, health and job counseling programs
- Central Arizona Shelter Services (CASS)- Homeless shelter, counseling and health services
- Chrysalis Shelter for Victims of Domestic Violence – Transitional housing and counseling
- City of Peoria- Emergency home repairs and utility payment assistance
- Community Action Program (CAP)- Utility payments and deposits, mortgage payment to prevent foreclosure, rent payment to prevent eviction, first month's rent payment and rental deposit for those who were homeless
- Community Hope Centers/Lighthouse- Utility assistance and food programs
- Community Information and Referral, Inc.- Operation of Arizona 2-1-1 and Homeless Management Information System (HMIS)
- Community Legal Services- Legal aid for low income citizens
- Deep Within Recovery- Transitional shelter/counseling
- Foundation for Senior Living- Delivery of meals to seniors in group settings or individual homes
- Habitat for Humanity Central Arizona- Affordable housing programs/counseling
- Heart for the City- Life skills, workforce development for at-risk youth
- Homeward Bound- Self sufficiency and transitional housing
- Homeless Youth Connection- Basic necessities, life skills training and mentoring
- Jobs for Arizona Graduates- Opportunity awareness training for at-risk high school teens
- One Step Beyond, Inc.-Employment development programs
- Phoenix Rescue Mission- Community Outreach
- Sojourner Center- Crisis shelter and supportive services
- StreetLightUSA- Programs for trafficked teens
- Tumbleweed Center for Youth Development- Street to Stability Outreach program
- Valley of the Sun YMCA- Youth first offender program
- Vineyard Community Charities- Food and clothing bank

It is the City's goal to:

- Continue funding local and regional agencies that provide shelter, housing and supportive services to homeless and at-risk persons.
- Operate and/or fund programs that assist low-income and special needs population in Peoria.
- Operate programs to provide education, counseling and programs for at-risk persons.
- Support after school programs and mentoring programs that help at-risk youth that provide positive role models and promote healthy lifestyles.
- Provide homebuyer assistance programs for low and moderate first-time homebuyers.
- Provide funding for emergency services such as utility assistance and emergency home repairs.

While we expect a number of residents will experience improved economic conditions as a result of these programs and available assistance, the number of households that will move out of poverty is expected to be small.

#### **Scottsdale**

The City of Scottsdale will take the following actions to reduce the number of families in poverty:

- Intake and case management,
- Food boxes,
- Utility assistance, and
- Emergency mortgage and rent assistance

Long term strategies are additionally provided through the Section 8 Family Self-Sufficiency Program, the Vista Job Prep Program and case management and employment services that are provided by non-profit organizations.

Local non-profits funded through the City of Scottsdale and other local jurisdictions help stabilize households through emergency and transitional housing. These emergency and transitional housing services are also accompanied by supportive resources and employment services.

The Section 8 Family Self-Sufficiency Program combines the resources of case management with career counseling and job coaching with longer term assistance through Section 8 Rental assistance to achieve economic independence. Family Self-Sufficiency also includes opportunities for further education, financial literacy, establishing Individual Development Accounts, Individual Development Empowerment Accounts and multiple forms of homeownership assistance with the results that some graduates

become self-sufficient homeowners in the Community.

The City of Scottsdale also actively markets the Earned Income Tax credit to its citizens. Three City facilities, Via Linda Senior Center, Granite Reef Senior Center and Paiute Neighborhood Center, provided space and resources for AARP to provide free tax preparation.

**Surprise**

City of Surprise offers a Summer Youth Employment Program targeted to low income residents of Surprise. Twenty youth are hired for 9 weeks during the summer and are paid minimum wage.

**Tempe**

The City will continue its efforts in conjunction with the Continuum of Care and the Tempe Housing Authority to reduce the number of poverty-level families through the development of services needed to assist those families with educational opportunities, job growth, and life skills training through the various social service agencies operating in the city.

**Actions planned to develop institutional structure**

**Avondale**

The City is currently exploring the possibility of developing a nonprofit to increase human service capacity for area residents. Development of such an organization will not only increase capacity, but increase available funding to the City's programs. Neighborhood and Family Services will also continue to work directly with other City Departments to coordinate development and implementation of programs that encompass diverse resident needs. Finally, the City will continue to maintain its relationship with AmeriCorps through participation in the VISTA and NCCC programs, which will increase the City's operational and programmatic capacity and ability to complete projects throughout the City.

**Chandler**

The City will continue to participate in the regional Continuum of Care and to work with Four our City and Live Love to coordinate resources.

**Gilbert**

Gilbert is a participant in several networks and task forces to encourage dialogue among participants to increase partnership opportunities and possible expansion of services to Gilbert residents via satellite offices, cohabitation locations and/or rotating services vehicles to allow Gilbert residents to receive services within Gilbert Town limits.

**Glendale**

The Glendale Community Revitalization Division currently coordinates with several City departments, non-profit organizations, and other public entities to meet the goals and objectives of the Consolidated Plan. In order to continue improving the institutional structures with the goal of maximizing benefits for LMI persons and achieving performance outcomes, the City will continue to participate in regional committees, provide and/or support training for staff and providers in the areas of affordable housing, fair housing, economic development, and community development, and pursue closer relations with non-profit housing and service providers.

**Peoria**

The City of Peoria receives HOME Investment Partnership funds through an Intergovernmental Agreement with the Maricopa HOME Consortium of Entitlement Communities. Peoria participates with this consortium to determine affordable housing priorities on a regional basis. Staff participates in monthly planning and coordination activities as well as program oversight and monitoring. The lead agency is the Maricopa County Human Services Department. HOME funded activities are planned, reported and administered by Peoria and Maricopa County through the Consortium. The City of Peoria also receives and distributes Peoria's Community Development Block Grant (CDBG) funds to local non-profit service providers on a reimbursement basis under contract. Staff of the Neighborhood and Revitalization Division of the Planning and Community Development Department has primary responsibility for planning, implementation, administration and oversight of programs and activities. The City's Council Not-for-Profit Review and Housing Subcommittee assists by providing citizen involvement in the process of establishing priorities and recommendations for funding. City Council approves the annual allocation of the budget. CDBG funded housing activities are also reported through the Maricopa County HOME Consortium.

The plan strategy will be carried out through the combined efforts of the city, non-profit organizations, neighborhood organizations, community based development organizations, public service agencies, financial institutions, private developers and other governmental institutions. Each unique group plays a key role in the delivery system.

**Scottsdale**

Current plans for further development of the delivery system for housing and community development include for the City of Scottsdale include:

- Program delivery
  - Housing Reconstruction
  - Housing Rehabilitation Programs
  - Home Accessibility Modification Program
  - Housing Choice Voucher Program (rental assistance)
  - Family Self-Sufficiency Program

Development of other community resources is ongoing and will continue to include:

- Regional cooperation in:
  - The Maricopa HOME Consortium
  - The MAG Continuum of Care Committee on Homelessness
- Local initiatives, including:
  - Brokerage licenses to non-profit service providers in City facilities
  - The Scottsdale Cares utility donation program
  - General Fund allocations to regional homeless facilities
  - Salt River Pima Maricopa Indian Community Funds
  - Endowment Fund allocations for community projects and programs for the public good

**Surprise**

City of Surprise staff will continue to improve the current institutional structure.

**Tempe**

Work with non-profit organizations to address community needs and provide support to federal and non-federal funding initiatives. Work with private industry to address important issues that hamper housing and community development efforts. Identify opportunities to create private/public partnerships for project finance and development to leverage federal funds.

**Actions planned to enhance coordination between public and private housing and social service agencies**

**Avondale**

As the City's human services needs continue to grow, the City will continue to pursue and form partnerships with other organizations. Several service providers maintain space in the Care1st Avondale Resource Center, which acts a human services hub for the City and the Southwest Valley. Services provided at the Center are diverse and comprehensive, including those related to housing, illiteracy, domestic abuse, early childhood development, nutrition, elder care, health care, citizenship and ESL, financial literacy, education, youth education and employment, homelessness prevention, employment training, crisis intervention, tax assistance, and parenting skills.

**Chandler**

Chandler will continue to work For Our City and Live Love to coordinate resources to Chandler's homeless population and expand their role in volunteer efforts. Technical assistance workshops will be provided to organizations interested in working with the City to address Consolidated Plan goals.

**Gilbert**

Gilbert will continue to collaborate with public and private housing and social service agencies to meet the goals and objectives of Gilbert's Consolidated Plan. This includes ongoing meetings, special meetings or subcommittees, and/or participation on relevant task forces.

**Glendale**

During FY 2016-2017, the City of Glendale will utilize CDBG, HOME, and ESG funding to support both public and private housing

programs including programs operated by social service agencies. The City will continue to utilize the services of the CDAC to review applications for funding and make funding recommendations. The housing and supportive services needs of homeless persons will also be addressed by participation in the CoC and funding for social service agencies.

**Peoria**

The City's Human Services Coordinator position within the Neighborhood and Revitalization Division is primarily responsible for coordinating efforts of social service agencies within the City and for its residents. Additionally, this position provides outreach regarding available services.

During the program year, the City of Peoria will continue to foster partnerships and collaboration among and between non-profit and private organizations. In addition to General Fund contributions, the City will continue to provide programs such as various City volunteer programs whereby residents and interested individuals can donate their time and resources to help in community events and with other tasks and committees. The City's Neighborhood Pride Program will continue to match private resources, non-profit and faith based volunteers with residents in need of community improvements. In addition, the City's Livability Team, discussed earlier in the Executive Summary, will continue to work to organize City and other local resources into a targeted community.

**Scottsdale**

Provide funding assistance and licensee agreements to non-profit providers of services to Scottsdale's youth, seniors, special needs populations, victims of domestic violence, persons and families in crisis, and disabled persons

- Address increased needs through best practices in management of programs, funding, facilities, and license agreements
- Improve quality of life through connectivity-people to services
- Provide access to basic needs
- Provide prevention assistance through:
  - Intake and referral
  - Emergency rent and mortgage assistance
  - Emergency utility assistance
- Promote self-sufficiency, mitigate the causes of poverty and support independent living through the Family Self-Sufficiency Program (FSS)
- Improve quality of life through education, recreation, and socialization

**Surprise**

Reaching out through methods of email, social media, and other forms of communication to enhance coordination.

**Tempe**

The City will continue to coordinate planning activities with private housing and social service agencies, including participation in the MAG Continuum of Care meetings, development of the Continuum of Care, and enumeration of point-in-time and homeless surveys. City staff will also continue its participation in other coalitions and study groups as the opportunity arises.

**Additional Discussion**

**Avondale**

The City will continue to form and develop internal and external partnerships to meet the needs of underserved populations, enhance capacity to deliver services and reduce the number of households living in poverty. The City will continue operate its home repair programs to mitigate lead-based paint hazards and allow low and moderate income households to remain in their homes. The City's First-Time Homebuyer Program (still in development) will provide gap financing for low and moderate income families to afford their first home. The City will also continue to provide the Contributions Assistance Program to area service providers and will operate the Care1st Avondale Resource Center where 65,000 persons per year are served.

**Scottsdale**

The City of Scottsdale will provide HOME funding for Housing Reconstruction to assist existing homeowners maintain safe and sanitary housing and sustain housing affordability.

**Tempe**

The actions are primarily the continuation of what the City is currently doing in the various areas. No major obstacles in the institutional structure have been identified that need to be addressed. The City is also satisfied with its efforts to coordinate with private housing and social service agencies.

## Program Specific Requirements

### AP-90 Program Specific Requirements - 91.420, 91.220(l)(1,2,4)

#### HOME Investment Partnerships Program (HOME)

##### Reference 24 CFR 91.220(l)(2)

**A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:**

#### **Avondale**

The City will exercise the recapture option when providing all HOME assistance, whether housing rehabilitation or homebuyer assistance. The recapture period will be equal to the period of affordability as stipulated in 24 CFR 92.

#### **Chandler**

The City of Chandler utilizes both resale and recapture options for ensuring affordability requirements are met. The City selects which option it will use prior to providing assistance to the homebuyer.

Under the recapture option, when a home is sold, HOME funds are returned to the HOME Program. This option allows the seller to sell to any willing buyer at any price. Once the HOME funds are repaid, the property is no longer subject to any HOME restrictions. The recaptured funds must be used for another HOME-eligible activity.

Under the resale option, if a buyer chooses to sell their home during the affordability period, they must sell it to another income-eligible homebuyer at an affordable price. An affordable price is defined as a price that results in a monthly payment not more than 30% of the gross income of a household with income equal to 80% of the median income. The seller is allowed a fair return, which is defined as the homeowner's original investment, plus any capital improvements, not to exceed the assessed value at the time of sale, plus 60% of the appraised increase in value from the date of purchase. For leasehold properties, fair return is defined as the lessee's purchase price, plus 25% of the lessee's share of increase in value at the time of resale, based on a leasehold valuation performed by a licensed appraiser.

The methods used to secure the HOME investment depend upon the type of program that is implemented. The City utilizes a variety of methods to secure the HOME investment, including deeds of trust, promissory notes, and declarations or restrictions.

#### **Gilbert**

Gilbert requires recapture of HOME funds in the event that the property is no longer deemed an eligible HOME property. Gilbert requires 100% of the original HOME subsidy be repaid to Gilbert to be returned to the HOME program and reused for an eligible HOME activity.

#### **Glendale**

The City of Glendale receives HOME funds as a member of the Maricopa HOME Consortium; lead by the Maricopa County Human Services Department. The County has established a Consortium Policy regarding recapture/resale provisions which allows each member of the Consortium to use either provision depending on the benefit to the member and to the potential homebuyer. The City of Glendale utilizes the recapture approach and requires repayment of net proceeds from the sale of a property if the home is sold prior to the expiration of the affordability period. The City of Glendale requires program participants to execute security instruments in which the program requirements and the method to calculate the repayment amount are specified. In the case of a foreclosure, the period of affordability is terminated. The amount recaptured is based on the amount of the net proceeds from the foreclosure sale. If no net proceeds are generated from the foreclosure sale, the HOME investment will not be recaptured.

**Peoria**

Recapture: The affordability period specified in the note and deed of trust (DOT) is the minimum period for the project as specified in 24 CFR 92.254 (a), sections (4) and (5). The affordability period is determined by the amount of HOME assistance. The amount subject to Recapture if the housing does not continue to be the principal residence of the assisted household, whether voluntary or involuntary, due to sale, foreclosure, or any other event, the note and DOT will require repayment of the full amount of HOME funds subject to recapture at the time the event occurred. The lien covers the full amount to be recaptured. The Recapture mechanisms used to secure the affordability of the HOME assisted unit are recorded in accordance with state law. The amount to be repaid is the subsidy provided directly to or on behalf of the homebuyer for closing costs and is limited to the net proceeds of the sale, which is defined as the sales price minus the first lien and seller paid closing costs and includes only the following items to the extent actually incurred: brokers commission, reasonable attorney fees, and any transfer tax or recording fee payable by the seller.

**Scottsdale**

The City of Scottsdale adheres to the resale requirement of 24 CFR 92.254 (5)(i) for HOME funds utilized for Habitat for Humanity's Housing Reconstruct Program.

**Surprise****Policy and Regulations**

Under the HOME Recapture Provision the following conditions apply. Participants will be required to execute a Promissory Note, Deed of Trust and Loan Agreement with addendum at settlement of the mortgage in favor of the City of Surprise to assure repayment of the assistance

Repayment of the full subsidy is due to the City of Surprise when any one of the following events occurs:

- a. Sale of property (new mortgage)
- b. Rental of property.
- c. Request from the mortgagor, or on behalf of the mortgagor, to satisfy the lien through refinance of the first mortgage.

Failure to provide adequate maintenance as defined in the Loan Agreement.

**Tempe**

It is the policy of the Maricopa HOME Consortium that each member of the Consortium may use either the recapture option or the resale option based on what is most beneficial to the member and to the potential homebuyer. Consortium members will select the method to be used prior to granting the HOME/ADDI assistance to the potential homebuyers. Each Consortium member will ensure the proper security instruments are executed to guarantee the HOME/ADDI investment for the affordability period for the selected recapture/resale option.

Recapture Option – Under this option, the Net Proceeds of the original HOME subsidy must be returned to the HOME Program. The Net Proceeds are the sales price minus loan repayment (other than HOME funds) and any closing costs. This option allows the seller to sell to any willing buyer at any price. Once the HOME/ADDI funds are repaid, the property is no longer subject to any HOME/ADDI restrictions. The recaptured funds must be used for another HOME/ADDI -eligible activity. Recapture restrictions apply if the “property” is either sold or rented during the affordability (term) of the City of Tempe Promissory HOME note - the unforgiven amount of the principle balance of the CAMP HOME Note must be repaid to the City of Tempe, unless

- The property is sold to an income eligible buyer approved for HOME funds that can assume all or part of the unforgiven balance, or
- The Net Proceeds from the sale of the “property” at its fair market value are insufficient to pay the unforgiven balance of the City of Tempe CAMP HOME Note in full. In this situation, the City of Tempe will forgive repayment of the amount of the unforgiven balance in excess of the Net Proceeds.

**The Standards of Excellence are...** are a set of **performance goals** and **quality standards** for homeless outreach programs / engagement services, emergency / crisis / transitional housing, rapid re-housing, and permanent supportive housing. More importantly, they are a framework for applying **Housing First** principles and **coordinated** practices at the programmatic and system level. Concrete, consistent standards are critical to ensuring we **focus** our efforts and resources in the most effective ways possible. In a reality where all resources are extremely limited, we need to **think smarter** about our current strategies and investments in the community, and to push forward solutions that help us **end homelessness**.

### **Standards are necessary to...**

- ✓ Identify **opportunities** for capacity building and creating more effective programs
- ✓ Make it easier for funders to more consistently **acknowledge and incentivize** those that are the most effective
- ✓ **Reduce the complexity** of performance reports and requests for proposals
- ✓ Push our community to **set goals** towards ending homelessness, especially chronic homelessness, and improving overall outcomes.

**The Standards of Excellence for the Maricopa County Continuum of Care were developed** in partnership by Street Outreach Collaborative, Standing Strong for Families, HEART Group, and the Permanent Housing Workgroup, and then sent to the CoC Board for approval.

# Standards of Excellence

For Outreach Programs, Emergency Shelters, Permanent Supportive Housing, Rapid Rehousing, and Transitional Housing



## Standards for Emergency Shelters

### Performance Goals and Indicators

- ✓ **Standardized Access:** At least 90% of new clients complete intake paperwork within 24 hours of program acceptance; within two weeks, a full assessment is completed with an individual and a housing-based service plan is developed based on the unique needs of the individual.
- ✓ **Prioritization:** Shelter will be prioritized for vulnerable individuals based on acuity and residing in unsafe circumstances (such as the streets, parks, with an abuser, etc.).
- ✓ **Permanent Housing:** The HEART Group will have data from Community Information and Referral by January 2016 to accurately report an exit rate.
- ✓ **Client Safety:** At least 80% of those who complete satisfaction surveys indicate that shelter provided a safe environment for them.
- ✓ **Returns to Homelessness:** This measure will be based on households exiting the homeless system and then returning to shelter within a specified time period.
- ✓ **Permanent Housing Retention:** We are awaiting HUD guidance on measuring returns to homelessness. This measure will be based on households exiting the homeless system for permanent housing and then returning to shelter within a specified time period. \*revisit data in one year to provide a baseline. Aspirational 5% decrease per year.

### Operating Standards

- ✓ **Coordination:** Where funder allows, each agency will provide 10 % of their beds for bridging through Coordinated Access.
- ✓ **Assessment:** All clients should be screened for diversion, given an orientation, and complete a basic intake within 24 hours.
- ✓ **Eligibility:** Clients cannot be required to be clean and sober, have completed treatment, be employed (or at a prescribed income level), or be med- compliant to enter shelter. When possible, shelters may make accommodations for people who may be under the influence of drugs or alcohol but are of no imminent danger to self or others. Clients cannot bring drugs or alcohol to the ES.
- ✓ **Staffing:** As community, complete basic safety protocol. Caseloads and services will be based on need. Agency maintains a ratio of a maximum of 30 clients to 1 case manager/housing specialist.
- ✓ **Alumni-Involvement & Governance:** Avenues exist for alumni involvement, employment opportunities, and peer support, in the delivery of supportive services for current participants. Agencies may seek input from formerly homeless individuals, and at least one individual will be invited to participate in governance activities.
- ✓ **Safety:** The safety of clients, volunteers, and staff will be prioritized
- ✓ **Involuntary Exits:** ES will reserve right for the involuntary exit of a clients for dire situations such as: putting themselves and/or others at risk, blatant disregard of client's right and responsibilities, and theft.
- ✓ **Compliance:** Shelters are ADA (Americans with Disabilities Act) and Fair-Housing compliant or reasonable accommodations are made.
- ✓ **Food Safety:** Staff who will prepare & serve meals must have a valid food handler card/certificate issued by any county in the State of Arizona, or have a valid card/certificate issued by an American National Standards Institute accredited food handler training program.
- ✓ **Documentation of Stay:** Shelter will maintain documentation of every client's shelter stay in order to provide homeless certification when needed through the Homeless Management Information System (HMIS).
- ✓ **Data:** will be confidentially shared upon client consent.

- ✓ Data collaboration with the appropriate tools shall be used for information tracking.
- ✓ **Grievance:** Every client is given protocols for expressing client rights during shelter stay.
- ✓ **Length of Stay:** Individualized Housing & Service Plans are designed to meet the unique needs of each individual housed and facilitate the shortest possible shelter stay.
- ✓ **HMIS Use:** Provider has fully implemented the program in local HMIS and actively participates in it.
- ✓ **Income:** All clients are assisted in receiving all eligible public benefits (cash & non-cash) and/or achieving earned income.
- ✓ **Mandatory Reporting:** All staff are mandatory reporters of suspected abuse or neglect and comply with mandatory reporting statutes.
- ✓ **Identification:** All clients are assisted with obtaining all paperwork needed to access permanent housing. (ie. Social security cards, divorce decrees, DD214s, income or disability verification, etc.)
- ✓ Adopt a **client-centered, strengths-based approach** to case management (e.g., motivational interviewing).

### **Suggested Practices**

#### **Approaches**

- ✓ Safety training for staff and clients should focus in the areas listed below.
  - ✓ De-escalation
  - ✓ Substance abuse and signs
  - ✓ Symptoms of overdose
  - ✓ What to do in emergency situations
  - ✓ Emergency health response
  - ✓ Mental health first aid
  - ✓ Trauma informed care
  - ✓ Motivational interviewing
  - ✓ Harm reduction
  - ✓ Secondary trauma
  - ✓ CPR
  - ✓ Conflict resolution
  - ✓ Communicable diseases
  - ✓ Crisis Intervention
  - ✓ Cultural, gender, and sexual minority competency
- ✓ Policies should be in place for staff to connect clients to care. Services that should be offered are Detox, and/or substance abuse treatment.
- ✓ Create policies and procedures that connect and improve client services/interactions related to disciplinary actions.
- ✓ When possible, establish a warm hand-off.
- ✓ When exiting client households to permanent housing, provide orientation to the neighborhood and ensure connections with local resources.
- ✓ Plan meals that adhere to or exceed USDA's Dietary Guidelines.

#### **Staffing**

- ✓ Employ multilingual staff.
- ✓ Employ multi-disciplinary team or partnership, including housing specialists who locate housing & navigate application processes.
- ✓ Train on emergency health response, Traumatic Brain Injuries, secondary trauma, CPR, &

- communicable diseases.
- ✓ Test for TB annually & on occasions of exposure.
- ✓ Base case management ratio on acuity level.

#### **Systems Recommendations for Individuals**

##### **Data**

- ✓ Utilize standardized assessment to determine acuity levels of client households and inform the housing-based service plans.
- ✓ Use community data to inform community decisions.
- ✓ Establish protocols for standardized data sharing.

##### **Resources**

- ✓ Fund housing locators & navigators to allow for more seamless connections between shelters & permanent housing.
- ✓ Assist with transit and costs of moving.
- ✓ Establish a furniture bank with hot boxes for permanent housing move-ins.
- ✓ Develop a regional Emergency Shelter staff training program.

##### **Processes**

- ✓ Create a system of coordinated entry to quickly connect persons in shelter to next-step housing.
- ✓ Improve benefits application & receipt processes, including SSI processes connect & SOAR Coordination.
- ✓ Reduce processing time at housing authorities.
- ✓ Improve the identification and collection of "unknown client exits".

# Standards for Permanent Supportive Housing for Singles

(Drafted by Permanent Supportive Housing Work Group 9/16/2015)

Standards for Permanent Supportive Housing (PSH) Performance Goals and Indicators	Dimensions of Quality/Positive PSH Approaches and Outcomes
<p><b>Housing Stabilization</b></p> <ul style="list-style-type: none"> <li>✓ At least 90% of tenants retain permanent housing (remain in unit or exit to other permanent housing) at 6 months and 85% retain permanent housing after 1 year.</li> </ul>	<p><b>Tenants Stay Housed</b></p> <ul style="list-style-type: none"> <li>✓ Tenants stay in permanent housing. This is inclusive of tenants who exit supportive housing to other permanent housing.</li> </ul>
<p><b>Prioritization and Access to Housing</b></p> <ul style="list-style-type: none"> <li>✓ Tenants for at least 50% of all new and turnover units, are drawn from the Coordinated Entry System for the Continuum of Care (COC) Prioritized Populations. COC and Emergency Solutions Grants will require 100% participation when the Coordinated Access System is 100% implemented.</li> <li>✓ 100% of tenants are assessed with the Continuum of Care approved Tool, as defined by the COC Board.</li> </ul> <p><b>Increase in Income and Employment</b></p> <ul style="list-style-type: none"> <li>✓ 100% of tenants are assessed for eligible benefits (at minimum SSI/SSDI, VA, SNAP); of those eligible, 95% apply, within 6 months of program entry.</li> </ul> <p><b>HUD Objective: At least 20 percent of participants increased their income from employment.</b></p> <p><b>HUD Objective: At least 54 percent of participants increased their income from sources other than employment.</b></p> <p><b>HUD Objective: At least 56 percent of participants obtained non-cash mainstream benefits.</b></p> <p><b>Tenant Satisfaction/Quality of Life</b></p> <ul style="list-style-type: none"> <li>✓ At least 80% of tenants are satisfied with housing, as measured through a COC approved satisfaction survey.</li> <li>✓ At least 80% of tenants, who participate in supportive services, are satisfied with those services available (as measured through a COC approved satisfaction survey).</li> </ul>	<p><i>See Mapping Standards for PSH to Dimensions of Quality.</i></p> <p><b>Tenants Increase Their Income and Employment</b></p> <ul style="list-style-type: none"> <li>✓ Tenants who have been in supportive housing for one year increase their income, if they moved in with no income and those who moved in with income, maintain that income.</li> <li>✓ Tenants, who enter supportive housing with income and/or employment, have maintained it.</li> <li>✓ Tenants who express a desire to work are supported to do so.</li> </ul> <p><b>Tenants are Satisfied with Services and Housing</b></p> <ul style="list-style-type: none"> <li>✓ Tenants are satisfied with their housing.</li> <li>✓ Tenants are satisfied with the services available.</li> </ul> <p><b>Tenants Improve Their Physical and Mental Health</b></p> <ul style="list-style-type: none"> <li>✓ Tenants improve their access to physical and mental health services.</li> </ul> <p><b>Tenants have Social and Community Connections</b></p> <ul style="list-style-type: none"> <li>✓ Tenants are active community members who choose to participate in organizations, such as faith communities and peer associations, and/or in activities such as, volunteering, voting, community gardens or block parties.</li> <li>✓ Tenants report an appropriate social support network.</li> </ul>

*Operating Standards – Hallmarks of high quality programs  
All PSH programs should integrate and follow these and the Corporation for Supportive Housing Mapping Standards for Permanent Supportive Housing to Dimensions of Quality (separate document).*

- ✓ Supportive Services: 1) Easy access to a comprehensive array of services designed to assist tenants in sustaining stability and productive lives in the community. 2) At minimum, service coordination and client centered case management must be offered to every tenant.
- ✓ Lease: Tenants have lease or similar form of occupancy agreement with 1) no limits on length of tenancy as long as terms and conditions of agreement are met, 2) Participation in services cannot be a condition of tenancy, unless dictated by funding sources, and 3) No curfews or guest fees can be imposed on a tenant.
- ✓ Access to Housing: To enter or retain housing, tenants cannot be required to have completed a program, have had a shelter stay, be clean and sober, or medication compliant.
- ✓ Tenant Notice: All receive and are given notice of a list of Arizona's Tenant Rights and Responsibilities.
- ✓ Every resident in both scattered and single-site housing should have a housing retention plan to both maintain and prevent recidivism.
- ✓ Rent: Tenant ideally pays no more than 30% of their income and never pays more than 40% of income toward rent.
- ✓ Income and Employment: Providers engage in the SOAR process for rapid enrollment of eligible tenants in SSI/SSDI.
- ✓ Providers will promote and support the tenant in increasing their income.
- ✓ Quality of Life: The wellness of clients is regularly measured through the Continuum of Care approved assessment tool (e.g. SPDAT).
- ✓ HMIS Use: Provider has fully implemented the program in the local HMIS and actively participates in it.

#### **Suggested Practices - Strategies for moving forward**

##### **Services**

- ✓ Services will be flexible and client centered, including mental health, substance abuse treatment, life skills development, money management, benefits enrollment, primary health care (and referrals to legal assistance, job training/placement, and education).
- ✓ Every resident in both scattered and single-site housing will have a housing retention plan.
- ✓ Residents in danger of eviction will be assisted to find other suitable permanent housing that will allow them to maintain their current housing voucher.

##### **Approaches**

- ✓ Property Management (PM) and Social Services (SS) need to be coordinated and have same approach/philosophy in project-based housing, have clear delineation of roles and communicate regularly.
- ✓ Harm reduction and motivational interviewing are effective methods in stabilizing clients and setting goals. When possible, services should be coordinated with Housing Specialists and liaisons in scattered-site projects. Case Managers should be trained in these and other best practice interventions.
- ✓ Transparent leasing standards should focus on the hardest to serve, screening in rather than screening out.
- ✓ Options beyond permanent supportive housing, including more independent living situations or other appropriate levels of care, should be made available to clients.

#### **Systems Recommendations - Opportunities for effective change**

##### **Coordination**

- ✓ Funding will match needs for services and align to the right-size of interventions (e.g., funding for chronic homeless populations will provide sufficient funding of services needed for the population; \$2,500-\$15,000/year/resident.)
- ✓ Training will be provided to all staff at the agency level on PSH best practices, COC approved tools and housing based case management.
- ✓ Standards will increase success and expand permanent supportive housing.
- ✓ Housing Authority processes will be improved in order to increase access to housing & quicken placement rates. Admin plans will include local preferences and improve the ability for providers and tenants to navigate housing systems.
- ✓ Housing Authorities will collaborate with the Coordinated Access system.
  - o Housing Authorities will align their voucher strategy to meet the right size of interventions to end homelessness in our communities.
  - o Move on strategies will be created and implemented to graduate people into their highest level of financial independence.
  - o Housing Authorities will consult the COC Standards of Excellence when creating their consolidated plans.

- ✓ The Regional Behavioral Health Authority will improve collaboration with PSH providers to reduce and prevent recidivism.
- ✓ Voluntary services is a key aspect of PSH and funding will not mandate a certain level of treatment or service.
- ✓ Public funding streams (e.g., State LIHTC, Federal Home Loan) will remove unnecessary requirements and consolidate conflicting requirements for financing.
- ✓ The COC will use program data and HUD approved measures to continually monitor and improve performance. This will include monitoring and maintaining a robust and effective Homeless Management Information System (HMIS) that is accountable to the needs of the community.

**Glossary:**

**Permanent Housing:** Housing that is governed by a lease with no limits on length of stay. In terms of housing placement goals, the permanent housing category includes permanent supportive housing, rental by client (no ongoing subsidy), owned by client (no ongoing subsidy), rental by client (with ongoing housing subsidy), owned by client (with ongoing housing subsidy), and staying or living with family or friends (permanent tenure).

**Permanent Supportive Housing:** Affordable housing where the tenant pays no more than 30 to 40 percent of their income for housing costs. The tenants have a lease and there is an indefinite length of stay as long as the tenant complies with lease and/or funding requirements. Tenants should have easy access to a comprehensive array of individualized and flexible services, either on-site or in proximity to the housing site, that are designed to assist tenants in sustaining stability and productive lives in the community.

**Recidivism:** In homeless programs, "recidivism" refers to a return to homelessness after moving into permanent housing, as documented by HMIS.

**PSH Successful Destinations:** The Standards of Excellence employ the same successful destinations as for households exiting outreach programs, which are: emergency shelter, including hotel/ motel with emergency shelter voucher, transitional housing, permanent supportive housing, substance abuse treatment facility or detox center, rental by client (no ongoing subsidy), owned by client (no ongoing subsidy), hotel or motel paid by client, safe haven, rental by client (with ongoing housing subsidy), owned by client (with ongoing housing subsidy), staying or living with family or friends (permanent tenure), and deceased.

**Voluntary Services:** The term "supportive" in supportive housing refers to voluntary, flexible services designed primarily to help tenants maintain housing. Voluntary services are those that are available to but not demanded of tenants, such as service coordination, case management, physical and mental health, substance use management and recovery support, job training, literacy and education, youth and children's programs, and money management. Services are voluntary for the tenant, but required engagement is expected from the service provider.

**Warm Hand-Off:** The transfer of a client from one provider to another, typically with a face-to-face introduction, in order to facilitate the transfer of the trust and rapport the client has developed, to the new provider. In homeless services, such transfers often occur between outreach workers and interim housing providers and between emergency shelter case managers and permanent supportive housing service coordinators.

*(Additional glossary definitions are in development.)*

# Standards for Rapid Rehousing

*(Drafted by Standing Strong for Families 5.8.14)*

## Performance Goals and Indicators

- ✓ **Targeting:** Rapid Rehousing units are targeted based on the community-adopted standardized assessment tools.
- ✓ **Housing Stabilization:** Within two weeks of the least start date, a comprehensive standardized assessment is completed with the household and an Individualized Housing Stabilization Plan (IHSP) is developed based on the unique needs of the household.
- ✓ **Permanent Housing:** At least 85% of households are able to maintain permanent housing upon program exit (when the subsidy and services end).
- ✓ **Permanent Housing Retention:** We are waiting for HUD guidance on measuring return to homelessness. This Measure will be based on the return to shelter (recidivism rate) of RRH households.
- ✓ **Income:** At least 85% of the family households are able to maintain or increase the household income from program entry to exit (when the subsidy and services end).
- ✓ **Client Satisfaction:** At least 80% of those who complete satisfaction surveys express satisfaction with the services provided by the program.

## Operating Standards

- ✓ **Supportive Services:** 1) Easy access to a comprehensive array of services designed to assist tenants in sustaining housing stability and productive lives in the community. 2) At minimum, service coordination and case management must be offered to every household. 3) Participation in services cannot be a condition of tenancy, unless dictated by funding sources.
- ✓ **Staffing:** Agency maintains a ratio of no less than 1 case manager/housing specialist to 20 households. The intensity of services are based on the acuity of the household with a minimum standard of one monthly home visit.
- ✓ **Access to Housing:** To enter or retain housing, households cannot be required to have completed a program, have had a shelter stay, be clean and sober or be med compliant.
- ✓ **Housing Selection:** Programs ensure households have choices within a geographic region among affordable housing units which meet the rent reasonableness guidelines and pass basic habitability and lead-based paint screenings.
- ✓ **Tenant Education:** All households will receive orientation on rights and responsibilities of tenancy and will receive a copy of Arizona Tenants' Rights and Responsibilities Handbook.
- ✓ **Program Duration:** IHSPs are designed to meet the unique needs of each family household. Re-evaluation is required, and continuation of the leasing subsidy is based upon family household needs for additional support in order to maintain permanent housing stability. Households must also meet the requirements of the funder.

- ✓ **Alumni Involvement:** Avenues exist for alumni involvement in the delivery of supportive services.
- ✓ **Governance:** Currently or formerly homeless individuals have opportunities to provide feedback to the Board of Directors on the delivery of services.
- ✓ **Public Benefits:** All households with IHSPs are assisted in receiving all eligible public benefits (cash and non-cash) and/or achieving earned income.
- ✓ **Client Rights:** Every family household is informed of client rights including protocols for expressing grievances during program participation and potential reasons for involuntary exits from the program.
- ✓ **Client Confidentiality:** Program maintains documentation of every family household's rapid rehousing stay for at least 5 years and takes precautions to protect confidential client information. All resident records and information are kept confidential and shared only with purpose and informed written consent from the resident.
- ✓ **Landlord/ tenant Mediation:** Programs work proactively with landlords and households to mediate any landlord/tenant issues or leasing concerns that may jeopardize permanent housing stability.
- ✓ **Mandatory Reporting:** All staff are mandatory reporters of suspected abuse or neglect and comply with mandatory reporting statutes.
- ✓ **Client Centered:** Adopt a client-centered, strengths-based approach to case management (e.g. motivational interviewing)
- ✓ **Harm Reduction:** Employ a harm reduction model. Providers recognize the prevalence of substance use and mental health disorders that have contributed to current or past episodes of homelessness and establish collaborative relationships with community partners to address such issues.
- ✓ **Referral:** When possible, establish contact and ensure continuity of care with new programs or case managers, both interagency and intra-agency.
- ✓ **Community Connections:** Provide orientation to the neighborhood and ensure connections with local resources

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### Suggested Practices

### Approaches

- ✓ Continue to expand housing opportunities with local landlords.
- ✓ Provide ongoing RRH specific training to service providers at least twice annually
- ✓ Implement trauma informed care training for all staff.

### Staffing

- ✓ Employ multilingual staff.
- ✓ Ensure that all staff are culturally-competent and sensitive.
- ✓ Employ multi-disciplinary team or partnership, including housing specialists who locate housing and navigate application processes.
- ✓ Train on home visitation safety, basic habitability & lead-based paint inspections, emergency health response, secondary trauma, CPR, conflict resolution, communicable diseases, and mandatory reporting.
- ✓ Test for TB regularly and on occasions of exposure.

### Data

- ✓ Utilize standard assessment data to inform IHSPs.
- ✓ Comply with HMIS data standards.
- ✓ Merge various triaging
- ✓ Establish baseline return to homelessness rate to set targets for RRH
- ✓ Utilize data to determine utilizations costs

Grantee SF-424's and Certification(s)

OMB Number: 4046-0004  
Expiration Date: 03/31/2016

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision
* 3. Date Received: 05/13/2016		* If (revision, see cc. appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
4. Applicant Identifier: B16-00 06-0901		
5a. Federal Entity Identifier: <input type="text"/>		5b. Federal Award Identifier: <input type="text"/>
State Use Only: 6. Date Received by State: <input type="text"/> 7. State Application Identifier: <input type="text"/>		
<b>8. APPLICANT INFORMATION:</b>		
* a. Legal Name: Maricopa County		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 86 6000472		* c. Organizational DUNS: 1067122450000
d. Address:		
* Street1:	234 N. Central Avenue, 3rd Floor	
* Street2:	<input type="text"/>	
* City:	Phoenix	
* County/Parish:	Maricopa County	
* State:	AZ: Arizona	
* Province:	<input type="text"/>	
* Country:	USA: UNITED STATES	
* Zip / Postal Code:	85004-2256	
e. Organizational Unit:		
Department Name: Maricopa County Human Services		Division Name: Community Development Division
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix:	Mr.	* First Name: Amy
Middle Name:	<input type="text"/>	
* Last Name:	Jacobson	
Suffix:	<input type="text"/>	
Title:	Assistant Director, Community Development	
Organizational Affiliation: Maricopa County Human Services Department		
* Telephone Number:	602-372-1528	Fax Number: 602-506-8789
* Email:	Jacobson@null.maricopa.gov	

Application for Federal Assistance SF-424	
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="B: County Government"/>	
<b>Type of Applicant 2: Select Applicant Type:</b> <input type="text"/>	
<b>Type of Applicant 3: Select Applicant Type:</b> <input type="text"/>	
<b>* Other (specify):</b> <input type="text"/>	
<b>* 10. Name of Federal Agency:</b> <input type="text" value="U.S. Department of Housing and Urban Development"/>	
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text" value="14.219"/>	
<b>CFDA Title</b> <input type="text" value="Community Development Block Grant Program for Entitlement Communities"/>	
<b>* 12. Funding Opportunity Number:</b> <input type="text"/>	
<b>Title:</b> <input type="text"/>	
<b>13. Competition Identification Number:</b> <input type="text"/>	
<b>Title:</b> <input type="text"/>	
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text"/> <div style="display: flex; justify-content: space-around; margin-top: 5px;"> <span>Add Attachment</span> <span>Delete Attachment</span> <span>View Attachment</span> </div>	
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="Urban County Community Development Block Grant"/>	
Attach supporting documents as specified in agency instructions. <div style="display: flex; justify-content: space-around; margin-top: 5px;"> <span>Add Attachments</span> <span>Delete Attachments</span> <span>View Attachments</span> </div>	

**Application for Federal Assistance SF-424**

16. Congressional Districts Of:

\* a. Applicant:  \* b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

\* a. Start Date:  \* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="2,735,554.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="2,735,554.00"/>

\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation by attachment.)

Yes  No

If "Yes", provide explanation and attach

21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:  \* First Name:

Middle Name:

\* Last Name:

Suffix:

\* Title:

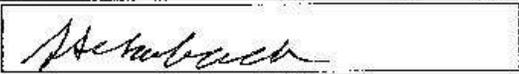
\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:  \* Date Signed:

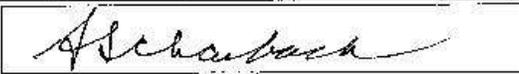
Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): _____ * Other (Specify): _____
* 3. Date Received: 05/13/2016	4. Applicant Identifier: M16-DC-01-0227	
5a. Federal Entity Identifier: _____	5b. Federal Award Identifier: _____	
State Use Only:		
6. Date Received by State: _____	7. State Application Identifier: _____	
<b>8. APPLICANT INFORMATION:</b>		
* a. Legal Name: Maricopa County		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 86-6000472	* c. Organizational DUNS: 1067422450000	
d. Address:		
* Street 1: 234 W. Central Avenue, 3rd floor	Street 2: _____	
* City: Phoenix	County/Parish: Maricopa County	
* State: AZ: Arizona	Province: _____	
* Country: USA: UNITED STATES	Zip/Postal Code: 85004-2256	
e. Organizational Unit:		
Department Name: Maricopa County Human Services	Division Name: Community Development Division	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: Mr.	* First Name: Amy	
Middle Name: _____	* Last Name: Jacobson	
Suffix: _____	Title: Assistant Director, Community Development	
Organizational Affiliation: Maricopa County Human Services Department		
* Telephone Number: 602-372-1528	Fax Number: 602-506-8789	
* Email: JacobsonA@mail.maricopa.gov		

Application for Federal Assistance SF-424	
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="B: County Government"/> Type of Applicant 2: Select Applicant Type: <input type="text"/> Type of Applicant 3: Select Applicant Type: <input type="text"/> * Other (specify): <input type="text"/>	
<b>* 10. Name of Federal Agency:</b> <input type="text" value="U.S. Department of Housing and Urban Development"/>	
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text" value="14.219"/> <b>CFDA Title:</b> <input type="text" value="Home Investment Partnerships Program"/>	
<b>* 12. Funding Opportunity Number:</b> <input type="text"/> <b>Title:</b> <input type="text"/>	
<b>13. Competition Identification Number:</b> <input type="text"/> <b>Title:</b> <input type="text"/>	
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="Maricopa HOME Consortium Home Investment Partnerships Program"/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="2-7"/>	* b. Program/Project: <input type="text" value="2-7"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
* a. Start Date: <input type="text" value="07/31/2016"/>	* b. End Date: <input type="text" value="06/30/2017"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="3,306,878.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="3,306,878.00"/>
19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="Eberly"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Scharbach"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="Interim County Manager"/>	
* Telephone Number: <input type="text" value="602-506-1357"/>	Fax Number: <input type="text"/>
* Email: <input type="text" value="scharbach@mail.maricopa.gov"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="5/5/16"/>

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: 05/11/2016	4. Applicant Identifier: B16-TR-04-0501	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
<b>State Use Only:</b>		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
<b>8. APPLICANT INFORMATION:</b>		
* a. Legal Name: Maricopa County		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 86-6000472	* c. Organizational DUNS: 1067422450000	
<b>d. Address:</b>		
* Street1: 234 N. Central Avenue, 3rd Floor	<input type="text"/>	
Street2: <input type="text"/>	<input type="text"/>	
* City: Phoenix	<input type="text"/>	
County/Parish: Maricopa County	<input type="text"/>	
* State: AZ: Arizona	<input type="text"/>	
Province: <input type="text"/>	<input type="text"/>	
* Country: USA: UNITED STATES	<input type="text"/>	
* Zip / Postal Code: 85004-2256	<input type="text"/>	
<b>e. Organizational Unit:</b>		
Department Name: Maricopa County Human Services	Division Name: Community Development Division	
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
Prefix: Ms.	* First Name: Amy	<input type="text"/>
Middle Name: <input type="text"/>	<input type="text"/>	
* Last Name: Jacobson	<input type="text"/>	
Suffix: <input type="text"/>	<input type="text"/>	
Title: Assistant Director, Community Development		
Organizational Affiliation: Maricopa County Human Services Department		
* Telephone Number: 602-372-1538	Fax Number: 602-506-8789	
* Email: <a href="mailto:jacobson@mail.maricopa.gov">jacobson@mail.maricopa.gov</a>		

Application for Federal Assistance SF-424	
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="B: County Government"/>	
<b>Type of Applicant 2: Select Applicant Type:</b> <input type="text"/>	
<b>Type of Applicant 3: Select Applicant Type:</b> <input type="text"/>	
<b>* Other (specify):</b> <input type="text"/>	
<b>* 10. Name of Federal Agency:</b> <input type="text" value="U.S. Department of Housing and Urban Development"/>	
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text" value="14.211"/>	
<b>CFDA Title:</b> <input type="text" value="Emergency Solutions Grant Program"/>	
<b>* 12. Funding Opportunity Number:</b> <input type="text"/>	
<b>Title:</b> <input type="text"/>	
<b>13. Competition Identification Number:</b> <input type="text"/>	
<b>Title:</b> <input type="text"/>	
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text"/> <div style="display: flex; justify-content: flex-end; gap: 10px;"> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachments"/> </div>	
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="Emergency Solutions Grant"/>	
Attach supporting documents as specified in agency instructions. <div style="display: flex; justify-content: flex-end; gap: 10px;"> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </div>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="2-7"/>	* b. Program/Project: <input type="text" value="2-7"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
* a. Start Date: <input type="text" value="07/01/2016"/>	* b. End Date: <input type="text" value="06/30/2017"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="239,473.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="239,473.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an Internet site where you may obtain this list is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value="Ms."/>	* First Name: <input type="text" value="Shelby"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Scharbach"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="InLee Hill County Manager"/>	
* Telephone Number: <input type="text" value="602-506-1367"/>	* Fax Number: <input type="text"/>
* Email: <input type="text" value="Scharbach@inleehill.maricopa.gov"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="5/5/16"/>

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing --** The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan --** It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

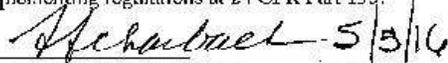
**Anti-Lobbying --** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction --** The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan --** The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3 --** It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

  
Signature/Authorized Official      Date

### Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2015, 2016, 2017 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

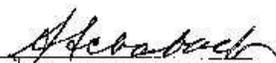
jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, H, J, K and R;

**Compliance with Laws** -- It will comply with applicable laws.

 5/5/14  
Signature/Authorized Official      Date

Maricopa County Manager

Title

**Specific HOME Certifications**

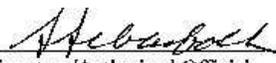
The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

**Appropriate Financial Assistance** -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other federal assistance than is necessary to provide affordable housing;

 5/5/16  
Signature/Authorized Official      Date

Maricopa County Manager  
Title

## ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

**Major rehabilitation/conversion** – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

**Matching Funds** – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

**Discharge Policy** – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

*[Handwritten Signature]*  
Signature/Authorized Official

5/5/16  
Date

Maricopa County Manager  
Title

**APPENDIX TO CERTIFICATIONS**

**INSTRUCTIONS CONCERNING LOBBYING:**

**A. Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**RESOLUTION**

*A RESOLUTION REGARDING FY 2015-2020 FIVE-YEAR CONSOLIDATED PLAN AMENDMENTS; FY 2016-2017 ANNUAL ACTION PLAN, INCLUDING ANY AMENDMENTS, AND ANNUAL FUNDING RECOMMENDATIONS FOR FY 2016-2017 (07/01/16-06/30/17) FOR \$6,804,173*

WHEREAS, the Maricopa County Human Services Department, Community Development Division will administer the Community Development Block Grant (CDBG) FY 2016-2017 in the amount of \$2,735,554 including \$522,268 carryover funds for a total of \$3,257,822; and

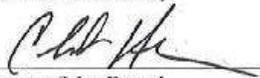
WHEREAS, the Maricopa County Community Development Advisory Committee (CDAC) and all the participating Urban County cities/towns have conducted public forums and public hearings in order to obtain input into defining the Urban County priority housing and community development needs and strategies, and to obtain input from Maricopa County residents regarding allocation of the FY 2016-2017 Urban County CDBG and HOME Funds; and

WHEREAS, the Maricopa HOME Consortium has been formed with Maricopa County as lead agency for the purpose of accessing federal HOME Investment Partnerships Program (HOME) funds in the FY 2016-2017 estimated amount of \$3,306,878; and

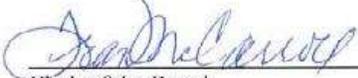
WHEREAS, Maricopa County and all member cities/town of the Maricopa HOME Consortium have conducted numerous public forums to obtain input into defining the priority housing development needs and strategies; and

WHEREAS, the Maricopa County Human Services Department will administer the Emergency Solutions Grant (ESG) for Maricopa County serving the Maricopa HOME Consortium service area in FY 2015-2016 the amount of \$239,473;

THEREFORE, BE IT RESOLVED THAT THE MARICOPA COUNTY BOARD OF SUPERVISORS do approve the FY 2015-2020 Maricopa HOME Consortium and Urban County Consolidated Plan, FY 2016-2017 Maricopa HOME Consortium and Urban County Annual Action Plan, including any plan amendments, Citizen Participation Plan, and appoints the County Manager or his designee as the certifying representative of the County according to the requirements of the U. S. Department of Housing and Urban Development; authorizes the certifying representative to submit the referenced plans to HUD through the Maricopa County Human Services Department, Community Development Division; and authorizes the certifying representative to accept and sign the HUD Grant Agreements for CDBG, HOME, and ESG funds on behalf of the County.

  
\_\_\_\_\_  
Chairman of the Board

\_\_\_\_\_  
MAY 04 2016  
Date

  
\_\_\_\_\_  
Clerk of the Board

\_\_\_\_\_  
May 4, 2016  
Date